



Office of Institutional Effectiveness

Grants and Sponsored Programs

Post-Award Grant Orientation

Keep Records

- The Office of Institutional Effectiveness (OIE) must keep grant proposals, award letters, reports, and compliance documents on file. To eliminate the need for multiple sets of records, OIE has created a central location for grant files on Dropbox. See Sara Larkin for a link for your files. It is part of your responsibility as a PI or grant team member to email applicable files to Sara Larkin.
- These records should include:
 - 1 Solicitation
 - 2 Proposal approvals (early notification and final approval)
 - 3 Proposal (all submitted application materials)
 - 4 Award or rejection (including budget & special conditions)
 - 5 General correspondence with the funder
 - 6 Reports
 - 7 Budget revision correspondence (if applicable)
 - 8 Grant period extension requests (if applicable)
 - A Time and effort reports (if applicable)
 - B Financial conflict of interest forms (if applicable)
 - C Responsible conduct of research certifications (if applicable)
 - D IRB or IACUC approval (if applicable)
 - Miscellaneous

Stay in Compliance

- Carefully read your award letter, grant contract/agreement, and all special conditions. Review them periodically throughout the project to ensure we're meeting funder expectations and requirements.
- Award acceptance: awards must be signed by an authorized institutional official. In most cases, this will be Clair Knapp.
- Please let the Director of Grants and Sponsored Programs know if you need to go on leave during the grant period.

Federal or passthrough grants

- Compensation: All employees receiving compensation from a federal or passthrough grant should fill out a [Time & Effort Report](#) at the end of each academic year and at the end of each summer. These should be on file in OIE.
- Conflicts of Interest: If you applied for a grant under PHS (probably NIH) or NSF, you should have filled out a [Financial Conflict of Interest](#) form.
- Responsible Conduct of Research: Manchester requires a web-based training for individuals working on federally-funded research projects and additional training for individuals working on NIH-funded research projects. See Manchester's [Research Misconduct and Responsible Conduct of Research Policies](#) for more information.
- Drug-Free Workplace: Manchester complies with the Drug-Free Workplace Act (policy in the Employee



Grants and Sponsored Programs

Handbook).

- FFATA/Debarment and Suspension: Subawards in excess of \$25,000 and vendor payments in excess of \$30,000 must be reported on FRS.gov. Subawardees and vendors who meet these criteria must be checked/registered on SAM.gov. If you are planning a subaward or vendor payment that would meet these criteria, please let the Director of Grants and Sponsored Programs or Office of Institutional Effectiveness know.
- IRB: If you are conducting research using human subjects, you should have IRB approval on file in OIE.
- IACUC: If you are conducting research using animals, you should have IACUC approval on file in OIE.

Report on Your Grant

- Note your funder's reporting expectations. If they are not clear, ask for clarification.
- Always submit your reports on time.
- Put final reports in Dropbox or email them to Sara Larkin after submission.

Communicate with Your Funder

- Is your spending on track or will you need an extension? Make sure to contact your funder early to request extended time to spend your grant.
- Is the project going as planned? Have your goals or resources changed? Make sure to keep in touch with your funder about potential changes to your budget or if the scope of your project needs to change.

Spend Your Grant

- As PI, you are the budget officer for your grant and responsible for managing the funds. While the Business Office can provide support tracking spending, their systems have limitations. For example, Purchase Order amounts and p-card purchase amounts are not immediately available in MU accounting systems, so it is important that you are also keeping an eye on expenses (especially at the end of a grant).

What are you allowed to charge to a grant?

- MU Requirements (see the "Stay in Compliance" section above for more information):
 - Allowable costs: See MU's [Reimbursement and Allowable Expenses Policy](#).
 - Direct costs: See MU's Policy Statement on [Direct vs. Indirect Costs](#)
 - Payments to employees: See MU's [Compensation Policy](#)
 - Participant support costs: See MU's [Participant Support Costs guidance](#).
- Federal Requirements:
 - If you are spending federal funds, all expenses must be allowable, allocable, consistent, and reasonable. See this list of [allowable and unallowable costs on federal awards](#) for more information
 - To find federal rates for meals, airfare, hotels, etc...by location, visit www.gsa.gov.
- Funder Requirements: You can charge the items and amounts your funder approved in your award documents. Follow funder requirements to make budget modifications.
- Expenses within the grant period. All funds MUST be spent by the last day of the grant period unless you are granted an extension.*



Office of Institutional Effectiveness

Grants and Sponsored Programs

How do I charge an expense to a grant?

- Payments to employees: [Employee Status Change Form](#) (as supplemental compensation)

- Payments to students:
 - Student Worker: [Student & Supervisor Employment forms](#)
 - Research Assistant or Intern: Start with an HR Blue Card (located in the HR Office)
 - Payments to independent contractors: [Independent contractor forms](#)
- Travel: [Travel Expense Report](#)
- Supplies: [Check Request Form](#)
- Equipment/software over \$5000: [Purchase Order Requisition](#)
- Consulting/contract services: [Check Request Form](#)

Where do recovered indirect costs/overhead charges go?

- Facilities and Administrative (F&A) costs, sometimes called “indirect,” “overhead,” or “administrative costs,” are costs incurred by Manchester University that are related to grant projects, but not directly charged to individual grant projects, such as building maintenance, operation costs, and general administrative expenses.
- Once recovered, these funds are usually allocated to the operational, PI, department, college, and area VP budgets.

Who signs my forms?

- Non-federal grant: If you are the project director/principal investigator on a grant, you can approve your own expenses, except reimbursements, payments to people, or large requests. When your expenses need approved, this should be done by the person who regularly approves your other expenses, such as your direct supervisor, your department chair, or your dean (if you are the chair of your department). This person should have received a copy of your budget from the Office of Institutional Effectiveness. If you are a collaborator on a grant, the project director/principal investigator should approve your expenses.
- Federal grant: A dean should approve all expenses. If you are a dean, this will be covered by your normal p-card review.

Communicate with Your MU Team

- The Office of Institutional Effectiveness and the Business Office will be able to help you with most questions that arise, but Human Resources, Student Success, and other offices may also handle some questions.
- OIE will set up regularly recurring check-in meetings to keep everyone on the same page. These meetings will occur at least annually and when the grant period is close to ending. For some grants, meetings that are more frequent may be helpful.
- Check-in meetings can cover: obligations for upcoming reports, ensuring spending is on track, ensuring activities are on track, compliance needs, and anything else that is helpful.