

Pharmacogenes

Scientific Background
and Clinical Applications

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Pharmacogenes: Scientific Background and Clinical Applications

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With love to Shirley, Jenna, and Devin Kisor
David Kisor

To my love and lifelong inspiration, Shannon,
Sarah and Erin Kane

Michael Kane

With love to Annie, Eliza, Lydia, and Laura Talbot

Jeffery Talbot

With love to Heather, Lainey, and Lydia Bright

David Bright

With love to Aimee, Emily, and Ryan Sprague

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Preface

This offering of *Pharmacogenes: Background Science and Clinical Applications* is intended as a “foundation” or “primer” text for pharmacy students and pharmacists, as well as other healthcare professions students and professionals. This offering is an update of a previous publication entitled *Pharmacogenetics, Kinetics, and Dynamics for Precision Medicine*. The work is not a comprehensive reference source. Rather, the text is written to help the student develop a solid knowledge base that interfaces the “newer” discipline of pharmacogenomics with our understanding of the more established disciplines of pharmacokinetics and pharmacodynamics. The foundations presented will serve as a basis for understanding some of the observed variability seen in pharmacokinetics and pharmacodynamics among various populations of patients and in the individual patient.

A quick note about the terms pharmacogenetics and pharmacogenomics; and personalized medicine and precision medicine. In this offering, the term pharmacogenomics will be used as it includes pharmacogenetics. The two terms will be defined in the first chapter “key terms” table and text, with pharmacogenomics and the abbreviation PGx being used throughout. The term precision medicine will be used as it is the preferred term as compared to personalized medicine.

In Section I of this text, the authors have described the basic mechanisms of the expression of genetic information and the variation expressed in the genotype and phenotype of individuals. This section describes how genetic variation can explain an individual’s response to drug therapy. This section is written in a narrative style to “tell a story” that encompasses the broad topic of genetics and the application of genetics and drugs (i.e., pharmacogenomics) to patient care.

Section II of this text focuses on the relationships between pharmacogenomics and how the body handles a drug (pharmacokinetics) and pharmacogenomics and how a drug affects the body

(pharmacodynamics). Here, the genetic basis for altered drug absorption, distribution, metabolism, excretion, and drug action is presented, with numerous “genetic–kinetic connections” and “genetic–dynamic connections.” As the student will be presented with mathematical equations in the framework of pharmacokinetics and pharmacodynamics, this section describes how pharmacokinetic and pharmacodynamic parameters are influenced by an individual’s genetic constitution, leading to the need for an altered dose or, in some cases, alternative drug therapy. Example equations are provided to show how genetics plays a role in dosage regimen design and calculation from a mathematical standpoint. Numerous examples are provided in structured tables and figures, and key terms and key equations are provided as well.

With respect to the key terms, some terms lack consistent definitions, as various authors and organizations define the same term differently. For instance, as noted above, we utilize the brief definitions of pharmacogenetics and pharmacogenomics found on the Pharmacogenomics Knowledge Base website, and we utilize the abbreviation for pharmacogenomics, PGx, as provided by the U.S. Food and Drug Administration (FDA). We also refer to definitions of phenotypes from the Clinical Pharmacogenetics Implementation Consortium (CPIC). Although authors may have their own definitions for these terms, we have decided to utilize those from an established source so as to not introduce further confusion. Regardless, a glossary is provided with definitions of terms used in this text. While it would be optimal to have consistent definitions and abbreviations, the use of different definitions and abbreviations across the literature should be viewed as an opportunity to question oneself and think about a term from different points of view.

Section III presents a number of specific drug-gene(s) interactions, describing relationships between genetics and drug action and how the body handles the particular drug. We look at specific examples of genetics related to drug efficacy and adverse drug reactions. In each chapter in this section, learning objectives include case questions that are answered at the end of each chapter. As in Section II, genetic-kinetic and genetic-dynamic connections are presented. At the time of publishing *Pharmacogenetics, Kinetics, and Dynamics for Precision Medicine*, there were approximately 33 oncology drugs and 27 psychiatry drugs that included gene (a type of biomarker) information in their package labels. At the time

of this update, there are approximately 80 oncology drug-biomarker combinations and 36 psychiatry drug-biomarker pairs. There are many other drug-biomarker(s) pairs across therapeutic area, e.g., cardiology, infectious diseases, neurology, etc. We chose not to provide a chapter on each of these drugs. Instead, the chapters in section III provide example drug-gene(s)/biomarker(s) interactions across therapeutic areas that provide a foundation for understanding pharmacogenomics.

For the science of pharmacogenomics to be applied clinically, many issues beyond the interface of genetics with kinetics and dynamics must be considered. In Section IV, we have chosen to briefly discuss a number of these issues and present the information as introductory material because volumes can and have been written about each topic. This section includes introductory information on the cost of sequencing a whole genome, education in pharmacogenomics, genetic privacy, and pharmacogenomics resources, among other topics.

We believe that precision medicine is emerging from its infancy and is now growing consistently and that a key component in advancing precision medicine to an accepted standard of care is the education of pharmacy students and other healthcare professions students and practitioners. Although there are many hurdles to the implementation of precision medicine, education is the key to overcoming these hurdles.

In the future, it is likely that an individual's genome will be sequenced early in life, likely with a DNA sample obtained at birth. For the most part, individual genetic testing will no longer be required because the whole-genome information, stored in a secure database, will be available for query when an "actionable" intervention can be made to optimize drug therapy. We truly hope that this work provides a basis for the understanding of pharmacogenomics relative to precision medicine, thus expanding the role of the pharmacist and other healthcare professionals to interpret and apply pharmacogenomic information to optimize drug therapy, serving the patients to the best of our abilities.

*Please note that this book is the basis for a professional education program and therefore, answer to chapter "Review Questions" are not provided. The questions are readily answerable by reviewing the text.

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The authors are indebted to numerous individuals who have helped review and shape the original text, *Pharmacogenetics, Kinetics, and Dynamics for Personalized Medicine*. We would like to thank all of our students for their inspiration and specifically those who served as initial reviewers of the material, including Michael E. Spiller, Olivia Hollo, Nicole E. Sivak, MaryAnne M. Ventura, Olivia Hiddleston, Shane M. Parks, Brian C. Thomas, Katherine M. Lorson, Joanne K. Tran, Adam Trimble, Lauren Desko, and Elizabeth M. Calcei. Finally, we would like to thank the numerous external reviewers of this text. Their constructive input and comments helped “hone” specific chapters and improve this text overall.

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Michael D. Kane

Dr. Kane's professional experience includes performing preclinical research in the pharmaceutical industry, serving as Vice President of Research and Development at a publicly traded genomics/biotechnology company, and cofounding several companies based on technology and methods that he has developed and patented. His primary interests have involved the development and utilization of genomic detection technologies, primarily DNA microarray methods, which have been applied to exploratory discovery efforts in agriculture, ecology, and medicine

in preclinical and clinical studies. In addition, he has led efforts to develop data management tools in support of pharmacogenomics and precision medicine, which have been extensively utilized as a teaching tool for healthcare professionals. Dr. Kane also serves as an expert witness in criminal cases involving DNA evidence. His hobbies include custom conversions of electric vehicles as well as building and playing custom electric guitars.

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Dr. Talbot received a BS degree in Biochemistry from the University of Nevada. He went on to earn a PhD in Pharmacology from the University of Nebraska Medical Center, utilizing yeast genetics to identify and characterize novel regulators of G protein-coupled receptors in the central nervous system. Talbot continued his studies as a National Institute on Drug Abuse (NIDA) research fellow at the University of Michigan Medical School, where he investigated the effects of transgene-mediated mutations on neurotransmitter function in mouse genetic models. Dr. Talbot is the Director of the Research Center on Substance Abuse and Depression at the Roseman University of Health Sciences, in Henderson, Nevada. His research focuses on the discovery and development of psychiatric drugs targeting substance abuse and mood disorders using genetic, in vivo animal models. His most recent work is a multidisciplinary effort involving researchers from both academia and the National Institutes of Health developing investigational drugs that possess both rapid and sustained antidepressant properties.

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Dr. Bright is Associate Professor of Pharmaceutical Sciences at Ferris State University in Big Rapids, MI. He received his PharmD from the University of Toledo (UT) and subsequently completed a community pharmacy residency with Kroger Pharmacy and UT. Prior to joining the faculty at Ferris State University, Dr. Bright held a faculty position at the Ohio Northern University, where he practiced in an outpatient setting and directed a community pharmacy residency program. As a Board Certified Ambulatory Care

Pharmacist, his research has primarily involved the pragmatic implementation and improvement of non-dispensing pharmacy services in the outpatient setting. Recently, this has involved the integration of pharmacogenetics into practice in both community pharmacy and ambulatory care settings. Associated work has involved educating pharmacists and pharmacy students as to pharmacogenetics principles and the application to clinical practice.

Jon E. Sprague

Dr. Jon Sprague is the Director of the Ohio Attorney General's Center for the Future of Forensic Sciences at Bowling Green State University (BGSU). Prior to joining BGSU, Dr. Sprague was the University Director of Academic Research and Head of Pharmaceutical Sciences for the College of Pharmacy at Ferris State University. Before joining Ferris State University, he served as Dean and Professor of Pharmacology at the Raabe College of Pharmacy at Ohio Northern University. Dr. Sprague was also Chair and Professor of Pharmacology at the Virginia College of Osteopathic Medicine, Virginia Tech University. He received his PhD. in Pharmacology and Toxicology from Purdue University and was a faculty member in the College of Pharmacy at Purdue. His research interests include studying the hyperthermic mechanisms of the substituted amphetamines, namely 3,4-methylenedioxymethamphetamine (MDMA, Ecstasy) and synthetic cathinones (bath salts).

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Additional feedback was considered from reviewers who wished to remain anonymous.

Section I

Pharmacogenomics, Pharmacokinetics, Pharmacodynamics, and Precision Medicine

Section I presents, in a narrative manner, genetics related to evolution. The structure of the genome and its regulation are discussed in terms of the underlying cell biology. Variations in the genome are connected to precision medicine, describing specific types of polymorphisms and their relationship to phenotypes and drug response.

CHAPTER One

Introduction to Precision Medicine

Learning Objectives

Upon completion of this chapter, the student will be able to:

1. Recognize basic mechanisms of the expression of genetic information as traits—from the deoxyribonucleic acid (DNA) sequence to transcribed ribonucleic acid (RNA), to translated proteins, to phenotype.
2. Differentiate among the major types of genetic variation, including nonsynonymous, synonymous, nonsense single nucleotide polymorphisms (SNPs), and insertion/deletion (indel) polymorphisms in both genotypic and phenotypic terms.
3. Provide specific examples that establish the relationship between altered drug disposition (absorption, distribution, metabolism, and excretion; ADME) and polymorphic cytochrome P450 enzymes.
4. Describe how polymorphic genetic variation can be utilized to predict individualized responses to drug therapy.

Key Terms	Definition
allele(s)	Alternate sequences or versions of the same gene inherited from each parent.
biomarker (genomic)	A measurable DNA and/or RNA characteristic that is an indicator of normal biologic processes, pathogenic processes, and/or response to therapeutic or other interventions.
codon	Three adjacent nucleotide bases that ultimately encodes a specific amino acid.
exon	A nucleotide sequence that codes information for protein synthesis.
gene	Regions of the genome (DNA) that contain the instructions to make proteins.
genome	The entire DNA of an organism.
genotype	The specific set of alleles inherited at a locus on a given gene.
haplotype	A series of polymorphisms that are inherited together.
heterozygous	Possessing two different alleles for the same trait.
histone	A protein around which DNA coils to form chromatin, thus “packaging” DNA.
homozygous	Possessing identical alleles for the same trait.
indel	Insertion or deletion of DNA either as single nucleotides or spanning regions of DNA involving many nucleotides.
intron	A nucleotide sequence in DNA that does not code information for protein synthesis and is removed before translation of messenger RNA.
monogenic trait	Characteristics derived from a single gene.
multigenic trait	Characteristics derived from multiple genes.
mutation	A change in DNA sequence between individuals.
nucleoside/nucleotide	One of the structural components, or building blocks, of DNA, including adenine (A), cytosine (C), guanine (G), and thymine (T), and of RNA, including adenine (A), cytosine (C), guanine (G), and uracil (U). Nucleoside linked to a phosphate group.
precision medicine	The use of patient-specific information and biomarkers to make more informed choices regarding the optimal therapeutic treatment regimen for a given patient. "an emerging approach for disease treatment and prevention that takes into account individual variability in genes, environment, and lifestyle for each person."
pharmacodynamics (PD)	The relationship between drug exposure and pharmacologic response.
pharmacogenetics (PGt)	The study of <u>a gene</u> involved in response to a drug. Pharmacogenomics will be used as the preferred term, which encompasses pharmacogenetics.
pharmacogenomics (PGx)	The study of <u>many genes</u> , in some cases the <u>entire genome</u> , involved in response to a drug.
pharmacokinetics (PK)	The relationship of time and drug absorption, distribution, metabolism, and excretion.
phenotype	An individual's expression of a physical trait or physiologic function due to genetic makeup and environmental and other factors.
polymorphism	A mutation in DNA in a given population that may be observed at greater than 1% frequency.
reference sequence number (refSNP, rs#, rs)	A unique and consistent identifier of a given single nucleotide polymorphism (SNP).
single nucleotide polymorphism (SNP)	A variant DNA sequence in which a single nucleotide has been replaced by another base.
topoisomerase	A class of enzymes that alter the supercoiling of double-stranded DNA.
wild-type	The typical or normally occurring genotype of an organism.
xenobiotics	Substances (often drugs) introduced into the body but not produced by it.

Introduction

In its simplest terms, **precision medicine** is the use of patient-specific information and **biomarkers**, including genes to make more informed choices regarding the optimal therapeutic treatment regimen for that patient, rather than reliance on population-based therapeutic trends. **Pharmacogenomics (PGx)** is the aspect of precision medicine whereby patient-specific genomic biomarkers are used to choose the optimal “first-line” drug and/or dose for the patient, with the goal of assuring drug efficacy in the patient while minimizing or avoiding the risk of an adverse drug reaction. The successful implementation of pharmacogenomics in the clinic is dependent upon a number of different processes and data, including *a priori* knowledge about a specific **allele** in the genome and its linkage to altered **pharmacokinetics (PK)** and/or **pharmacodynamics (PD)** (compared to the statistical norm in the population), the ability to accurately test a patient for the presence of a specific allele in his or her genome, and the ability to offer the patient more effective alternatives than would be typically offered to a patient in the statistical norm of the population. Key to this process is the utilization of prior discoveries and clinical findings (e.g., data) regarding a specific genomic allele relevant to the pharmacokinetics and/or pharmacodynamics of the prescribed or intended drug, and then *predicting* how the patient will respond to the drug. Finally, the utilization of pharmacogenomics and precision medicine must add value to healthcare. In other words, the costs associated with the implementation and utilization of pharmacogenomics and precision medicine must be ethically and economically justified by reducing the negative (adverse) effects and costs associated with adverse drug reactions as well as the assurance of more effective drug therapy outcomes for the healthcare consumer population.

Here, we distinguish the term *pharmacogenetics* from the now more commonly used **pharmacogenomics (PGx)**. In its purest sense, pharmacogenetics refers to the study of a gene involved in response to a drug, whereas pharmacogenomics (PGx) refers to the study of all genes in the genome involved in

response to a drug.¹ However, the vernacular that has emerged in recent years often uses the term *pharmacogenomics* to reference the entirety of the science and the methods that study the interface of genomics, genetics, and drugs used in clinical therapeutics.

Living Systems and the Genome

The adult human body contains trillions of different cells, each performing different functions to sustain life. Some of these are muscle cells, some make up our skin, some are blood cells, some form bone, some are brain or liver cells, and so on. Each of these cells has developed within a specific tissue in the body to perform a specific function. For example, our red blood cells are capable of transporting molecular oxygen from our lungs to organs and tissues, and then transporting carbon dioxide back to the lungs to be removed from the body. This unique cellular capability is due to the presence of a specific protein in the red blood cell called hemoglobin. More specifically, what we commonly refer to as hemoglobin is actually a multimolecular structure that contains a heterocyclic organic molecule called heme, which is bound to an atom of iron, as well as two specific globular proteins. These globular proteins are alpha-globulin and beta-globulin, which are each derived from a specific gene in our genome. Thus, the genes are used by the cellular machinery as a “blueprint” or “instruction set” on how to make these proteins. Hence, **genes** are the regions of our genome that contain the instructions to make proteins, and proteins are the functional components of living systems. In simpler terms, if the genes are the “blueprints” for life, then proteins are the “bricks and mortar” of living systems. Proteins are the inherited, functional components of living organisms—inherited because they are derived from our genome, which we inherit from our parents and ancestors. Interestingly, less than 2% of our genome is actually used as template genes to make proteins.² We will discuss aspects of proteins later; let us first take a closer look at our genome.

The **genome** of an organism is the instruction set for that organism, or, more specifically, the instruction set for the

development of its cells and tissues, as well as the maintenance of these cells and tissues throughout the life of the organism. The functional molecule that makes up our genome is DNA (deoxyribonucleic acid). Our genome is made up of four different DNA **nucleoside** bases (adenine-A, cytosine-C, guanine-G, and thymine-T), which are somewhat equivalent to a written language with four different letters. The human genome contains about three billion nucleotide bases (or letters; A, C, G, T) in the genome, and essentially each cell (that contains a nucleus) contains a copy of the entire genome. To appreciate the size of the human genome, consider that, if our genome was printed in paperback novel form, it would contain over four million pages. By no means is the human genome considered large within the spectrum of living organisms on earth. The onion (yes, the one you eat) has a genome that is more than six times the size of the human genome, and it has been estimated that certain lilies (flowering plants) have a genome that is 30 times bigger than our own.^{3,4} We will not be discussing the complexities of plant genomes in this textbook, as scientists are only beginning to understand the different complex genomes in living organisms.

If we take the perspective of our genome representing information, then we must recognize that each cell has the instructions (genes) for all the proteins that the organism can ever make, even though the cell may not use all this information. In other words, each cell (that has a nucleus) has all of the chromosomes of the genome, and therefore all the genes that we have inherited from our parents, yet each cell only uses a subset of these genes to make the proteins it needs to thrive and carry out its various functions. To conceptualize this phenomenon, imagine that each person in your college or organization was a cell, and each person has a computer that contains all the programs needed to make the entire organization run successfully. A person working in the accounting office would use the computer programs (i.e., genes) that are used to manage the organization's resources and inventory but would not use the programs used for personnel management (even though those programs are stored on the computer). Similarly, a muscle cell uses the genes to make the proteins used for mechanical

contraction, but not the genes that make the proteins for detecting light that are used in the retinal cells of the eye.

Genetic Evolution and the Evolution of Genetics

Sexual reproduction is the fundamental process for enabling genetic diversity and the propagation of life on earth. It involves the passing of genetic information from viable parent organisms to their offspring. Thus, the offspring inherit the genetic information that allowed their parent organisms to thrive and survive in the environment. Furthermore, it allows two different successful organisms (the biological mother and father) with different genomic content to create variations of their respective genomes in their offspring, thereby creating genetically varied offspring. The life of these offspring represents a test of the content of their genome, and reaching sexual maturity and successfully reproducing reinforces the rigor of their genomic content (i.e., the viability of the organism was sufficient to endure its environment), which is passed on to subsequent generations. This is the basis of natural selection, or “survival of the fittest,” from the perspective of the inherited genome. The genetic variability among the population (of a given species) appears extremely important for the evolutionary success of the species because it allows the species to adapt to changes in its environment over generations by reinforcing the traits that confer viability. In other words, as changes in the environment emerge and exert selective pressure on the species, members of the population that harbor the genetic content (that encode physical or behavioral traits) to overcome these environmental changes survive and shape the genetic content and physical traits of subsequent generations. This process is a fundamental tenet of evolution on earth.

We are all familiar with the genetic diversity and variation in the human population, as evidenced by obvious physical traits, such as eye color, hair color, and so on. These physical traits are the result of inherited genes from our biological parents, and dictate aspects of our physical appearance. This genetic variation extends into many aspects of our genome and cell biology that

are not always as obvious, such as those that affect behavior or aspects of cellular biology. These variations in the human population are very important to the perpetuation of our species. As our regional and planetary environments change over long periods of time, those individuals that are best suited to survive in the changing environment will thrive and continue to bear offspring. The makeup of our genome, and therefore our physiology, is the result of millions of years of evolution under the selective pressures of our environment as well as competition for survival. In other words, the physical and behavioral traits that provided our ancestors with a competitive advantage allowed those individuals to thrive and bear offspring, whereas individuals who lacked a given competitive advantage were much less likely to thrive and bear offspring. Therefore, the individuals that harbored advantageous traits passed their genes onto their offspring, and after thousands of generations of human evolution, the content of our genome is the result of this evolutionary process. Thus, our genome contains the genes that conferred the beneficial traits needed for our ancestors to thrive.

These traits may be very subtle yet important, such as the ability to digest lactose into adulthood and therefore derive sustenance from the milk of the beasts of burden that our ancestors domesticated over the last 10,000 years. Our ancestors who experienced this evolutionary adaptation could better survive periods of famine and drought, and this trait was retained in our recent evolution. It should become obvious that the changes in our genetic makeup that resulted in specific competitive advantages would be passed down through generations, whereas changes in our genome that did not serve a beneficial purpose, or in many cases even reduced the viability of an individual, are not seen in the modern human genome. Hence, we are the modern beneficiaries of this genetic “arms race” of inherited traits that has been ongoing for millions of years.

When we consider the past influences that have shaped our biology and the content of our genome, we can begin to

understand why exposure to certain chemicals and compounds that we may ingest pose a threat to our survival whereas other substances are safe. For example, certain mushrooms (e.g., the death cap mushroom) and frogs (e.g., the poison dart frog of South America) synthesize compounds that are toxic to organisms that would otherwise consume them as a nutrient source. The death cap mushroom synthesizes a compound called amanitin, and the poisonous dart frog synthesizes epibatidine (among other alkaloids). It is well known that these compounds are highly toxic to humans and many other organisms.⁵

No evidence suggests that there are variations in the sensitivity to these poisons among humans, and thus we all avoid consuming these mushrooms and frogs, an adaptation that benefits the mushroom and the frog. Because these organisms, and their poisons, have existed in nature for millions of years alongside our ancestors, it is not necessary to consider variations in the toxicity of the poisonous compounds across the modern human population. Building upon our evolutionary theory, we can imagine a fictionalized paradigm of selective pressure 50,000 years ago where these mushrooms were abundant and only a subpopulation of humans harbored the ability to detoxify the poison in the mushroom and therefore safely consume the mushroom as a nutrient source. If this were the case and the mushrooms were an abundant source of nutrients, the humans that could safely consume the mushrooms would thrive, whereas the humans that were sensitive to the toxin would be less likely to thrive. In this fictitious example, it is likely that all humans living today would harbor the ability to detoxify and safely consume the poison simply due to selective pressure on our ancestors. In genomic terms, modern humans would harbor a gene in their genome that encoded an enzyme capable of breaking down the poison. Although this is not true for the poisons mentioned in this example, it is true for many other substances in nature that our ancestors encountered.

The example above is presented to demonstrate a fundamental difference between naturally occurring substances

and modern pharmaceutical products. When we look at modern pharmaceutical compounds, we see a much more varied response among humans to both the safety and efficacy of these compounds, even though the process of drug development attempts to provide drugs that are efficacious and safe for the entire population. One reason for the varied responses to drugs is that these pharmaceutical compounds did *not* exist in nature and were not available for consumption by our ancestors. Therefore, no evolutionary selective pressures have been experienced in humans with respect to exposure to these pharmaceutical compounds, and the outcome of evolutionary selective pressure has not been manifested in the genome through thousands of generations. Thus, we expect a much more varied response in the population to these modern medicinal chemicals, compared to naturally occurring substances.

The development of safe and effective medicinal compounds is a challenge because there can be a spectrum of responses in the population regarding the safety and efficacy of a drug and this can complicate the management of pharmacy and therapeutics in our modern healthcare system. In other words, due to the methods used to assess and approve new drug entities, modern drug approval requires that it be safe and effective in a large majority of the population. Thus, drugs under development that have shown large variation as to their safety and/or efficacy have not gained marketing approval. It should be obvious that if the genetic basis for variations within the population to the safety and/or efficacy of a drug are studied and understood, then a drug that is effective in a known subpopulation could be approved, if that subpopulation can be identified through genomic testing. In fact, this movement in pharmacotherapy will result in safer and more effective drug use within subpopulations in our society and enable healthcare professionals to use genomic screening to predict how a patient will respond to a specific drug and therefore inform healthcare professionals as to which drug and/or dose is optimal for the patient. This is a principal tenet for the adoption of precision medicine.

We have discussed how selective pressure and evolution

have shaped the content of our genome, now let us look at our genome from a completely different perspective: How have advances in modern healthcare and disease management or, more specifically, extending the length of human life, inadvertently revealed (or invented) a new type of genetic predisposition to disease?

At some time in our recent history, pre-modern humans lived together in groups composed primarily of three generations (i.e., children, parents, and grandparents). In a simple version of anthropological theory, the parental generation (in the physical prime of their life) worked to search for resources, gather food, and defend the group, while the elders helped oversee the young of the group. It is important to note that larger groups of individuals consume, and therefore require, more resources (e.g., food, water) than smaller groups. Thus, larger groups of early humans were at a disadvantage in times of limited resources (e.g., drought, famine), compared to smaller groups. Therefore, it was not beneficial for pre-modern humans to have a long lifespan, as this would result in large groups that were at a competitive disadvantage; for this reason, human life expectancy was much shorter than it is today.

Australopithecines appear to have had an average life expectancy of only 15 to 20 years and survived for about 300,000 generations, ending about two million years ago. More recently, early agriculturalists and nomadic pre-modern humans had an average life span of about 25 years and survived only about 500 generations. These ancestral life spans suggest that age-related declines in function after the age of 25 were due to the forces of natural selection. In modern times, the last 200 years of human history (about 10 generations), the average life span has increased from 43 to 75 years of age.⁶

If we consider that the vast majority of our ancestors only had life expectancies of younger than 45 years, then certainly their genomic content and function was to maintain optimal health until this age, with no evolutionary advantage to

extending life span. The increase in life expectancy between pre-modern and post-modern humans is nearly instantaneous as compared to the much longer timelines associated with pre-modern human evolution. For the purposes of illuminating this perspective, it can be assumed that our genome is essentially identical to our pre-modern ancestors' of 5,000 years ago.

In other words, the modern human genome has evolved to support an individual's life until they reach about 45 years old (this may even be a generous estimate), even if living in a modern society. Or, more accurately, any health problems that have a basis in genetics would not have been passed down from our ancestors if the health problem manifested itself early in life (i.e., before about 35 years). However, if the genetic-based health problem manifests itself after the age of 45 years, it would not have exerted selective pressure against individuals that harbored this genetic allele. Therefore, it would not have negatively influenced the survival of our pre-modern ancestors, and it would be expected to be present in our genome today. In other words, extending human life beyond the age of 50 years "reveals" new diseases in the human population, and the management of these age-related disorders becomes more dependent on modern healthcare methods, practices, and technology as we age. From this perspective, if it is determined that an individual has a genetic predisposition for a disease or disorder with an expected onset at 60 years of age, it is not a failure of human evolutionary processes but simply an artifact of extending human life.

Many examples of age-related disorders with genetic underpinnings can be found in humans, and it is certain that more discoveries will be made linking specific genetic markers with age-related disorders. An example of an age-related disorder with a known genetic link is Huntington's disease. Huntington's disease involves an inherited genetic defect where an expansion of a three-nucleotide repeat (CAG) in the protein-coding region of a specific gene (named Huntington) causes the protein to self-aggregate. The deleterious effects (symptoms) of this genetic defect are usually first manifested at about 40 years

of age. Thus, there were no selective pressures to eliminate this genetic defect from the population in pre-modern humans because it was not a genetic defect until our life expectancy increased beyond 40 years. This can be said for essentially all genetically linked diseases in humans older than 40 years of age.

As we consider age-related diseases, the ability to utilize genomic screening in the clinic is very important in identifying people who are predisposed to a specific age-dependent disorder. Ideally, utilizing genomic screening in this paradigm allows the patient ample time to take measures to reduce or eliminate the risk of the disorder, such as changes in diet, exercise, prophylactic medicines, and so on. In this case, it is important to note that although there is currently no “cure” for Huntington’s disease, the disease can be diagnosed using genetic screening methods prior to the appearance of any disease symptoms. Thus, the use of genetic screening methods for disease risk should be carried out with adequate genetic counseling because (1) the results of genetic screening must be interpreted correctly and (2) there can be significant psychological ramifications associated with the results of genetic screening for the patient and family.

Genome Structure and Gene Regulation

Less than 2% of the human genome is made up of gene sequences that encode proteins, and these genes are distributed throughout the 23 chromosomes and mitochondrial DNA of the human genome. The remaining 98% of the genome exists between gene sequences (i.e., intergenic DNA sequence) and contains many important regions that are key elements to DNA replication and DNA regulatory machinery. For example, polymorphic variations in intergenic DNA sequence may influence DNA tertiary structure directly or alter binding sites of DNA regulatory machinery, including **histones** and **topoisomerases**, which exert profound influence on overall gene regulation, cellular signaling, and homeostatic responses to environmental stresses. Indeed, recent studies implicate mechanisms of DNA–histone binding in the potential underlying pathophysiology of mood disorders and drug addiction while

pointing to potential therapeutic targets for novel antidepressant and antipsychotic therapies.⁷ One insight that is gained when considering the size of plant and animal genomes, and the relatively small fraction of these genomes that actually encode proteins (i.e., genes), is that the retention of large noncoding regions in the genome over millions of years of generations does not appear to consume excessive cellular resources that place the organism at a disadvantage to survival and/or there is an evolutionary advantage to retaining these large noncoding regions, even those regions that do not appear to be critical for DNA replication. Note that the relevance of intergenic DNA sequence to pharmacogenomics is emerging. One potential example of these effects is that of the O⁶-methylguanine DNA methyltransferase (*MGMT*) enzyme that repairs DNA damage induced by alkylating chemotherapeutic drugs such as temozolomide. Evidence suggests that hypermethylation of DNA regions upstream of *MGMT* suppress its expression in some types of B lymphoma cells, causing increased susceptibility to the cytotoxic effects of anticancer medications used in treatment.⁸ However, more research and linkage studies must be carried out to fully understand how specific allelic variations in these regions will be utilized to alter drug dose and/or drug choice in clinical practice.

The human genome is made up of approximately 25,000 distinct genes, each capable of coding a unique protein, and it is these proteins that enable our cells to carry out the many different molecular, enzymatic, and mechanical processes that enable life. Because most drugs interact with proteins, pharmacogenomics deals primarily with genetic variations that affect gene regulation (i.e., DNA sequence variations that alter how much of each protein is being synthesized in the cell) and protein function or activity (i.e., DNA sequence variations in the gene that alter the amino acid sequence of the protein). Pharmacogenomics involves an understanding of how individual genetic differences in a population are the cause of variable responses to a specific dose of a drug in a population. In order to effectively examine the interactions between pharmacokinetics, pharmacodynamics, and genetics, we must first understand how genes are regulated in the cell and how the gene sequence (coding sequence) defines the

primary sequence of a protein.

The simplest description of a gene's structure can be divided into (1) a regulatory region, where the cellular machinery exerts its effect on if, and how much, the gene will be "activated" or used by the cell and (2) the coding region, where the DNA sequence directly correlates with the protein sequence (see **Figure 1-1**).

The regulatory region contains specific DNA sequences and motifs where transcription factors and other regulatory elements bind, thereby promoting or preventing the transcription of the gene. During gene transcription, ribonucleic acid (RNA) polymerase binds within the regulatory region and then moves along the coding sequence to create a direct copy of the gene sequence. This RNA copy will undergo further processing before leaving the nucleus of the cell, ultimately coupling with the ribosome to synthesize the protein from the gene. In **Figure 1-2**, the details of eukaryotic transcription are described.

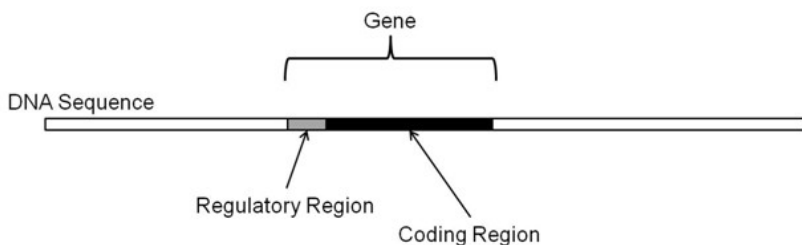


Figure 1-1 Simplified gene structure. The structure of a gene can be divided into the regulatory region, which is responsive to cellular machinery controlling its expression, and the coding region, where the DNA sequence directly correlates with the protein sequence.

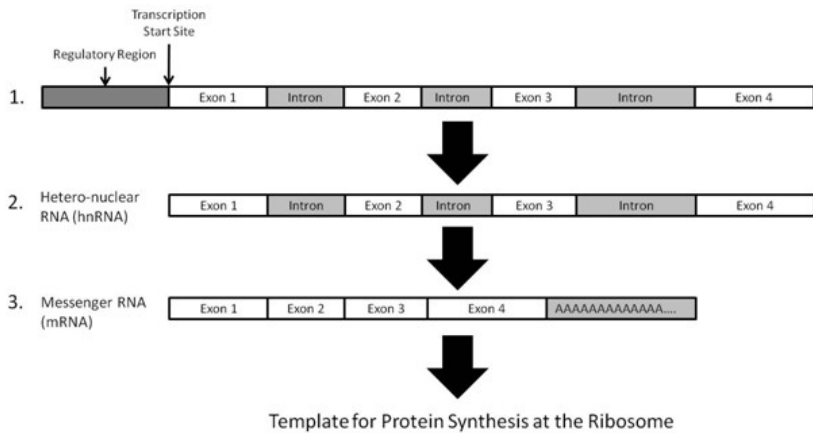


Figure 1-2 Eukaryotic transcription and translation. Genetic information derived from the DNA sequence is converted to functional proteins when: beginning at the transcription start site, the double-stranded DNA sequence is directly copied into a single-stranded heteronuclear RNA (hnRNA); messenger RNA (mRNA) is formed when introns are spliced or removed from the hnRNA and a poly-A tail is added; and mRNA is translated into a protein via protein synthesis at the ribosome.

As shown in the figure, the double-stranded DNA sequence (1) is directly copied into a single-stranded RNA sequence known as heteronuclear *RNA* (hnRNA), beginning at the transcription start site. Heteronuclear RNA is then (2) processed by removing the **intron** regions, a process termed **splicing**, and (3) a poly-A tail is added, resulting in messenger RNA (mRNA), which moves from the nucleus to the ribosome for protein synthesis.

Note that the removal of the intron sequence in the hnRNA results in the concatenation of the **exon** sequences in the mRNA, which represents the coding sequence for the protein. At the ribosome, the genetic coding sequence (nucleic acids) is converted to the protein sequence (amino acids). Each and every

amino acid in a protein is coded by three nucleic acids, called a **codon** (see **Figure 1-3** for a codon key). For example, the nucleic acid codon “AUG” encodes for the amino acid methionine in a protein sequence. Note that the thymine (T) in DNA is replaced by uracil (U) in RNA. In addition to the codons that encode specific amino acids, three codons (UAA, UAG,

		Second		Position			
		U	C	A	G		
First	U	UUU - Phe	UCU - Ser	UAU - Tyr	UGU - Cys	U	Third
		UUC - Phe	UCC - Ser	UAC - Tyr	UGC - Cys	C	
		UUA - Leu	UCA - Ser	UAA - STOP	UGA - STOP	A	
		UUG - Leu	UCG - Ser	UAG - STOP	UGG - Trp	G	
Position	C	CUU - Leu	CCU - Pro	CAU - His	CGU - Arg	U	Position
		CUC - Leu	CCC - Pro	CAC - His	CGC - Arg	C	
		CUA - Leu	CCA - Pro	CAA - Gln	CGA - Arg	A	
		CUG - Leu	CCG - Pro	CAG - Gln	CGG - Arg	G	
Position	A	AUU - Ile	ACU - Thr	AAU - Asn	AGU - Ser	U	Position
		AUC - Ile	ACC - Thr	AAC - Asn	AGC - Ser	C	
		AUA - Ile	ACA - Thr	AAA - Lys	AGA - Arg	A	
		AUG - Met	ACG - Thr	AAG - Lys	AGG - Arg	G	
Position	G	GUU - Val	GCU - Ala	GAU - Asp	GGU - Gly	U	Position
		GUC - Val	GCC - Ala	GAC - Asp	GGC - Gly	C	
		GUA - Val	GCA - Ala	GAA - Glu	GGA - Gly	A	
		GUG - Val	GCG - Ala	GAG - Glu	GGG - Gly	G	

Ala	Alanine	Gly	Clysine	Pro	Proline
Arg	Arginine	His	Histidine	Ser	Serine
Asn	Asparagine	Ile	Isoleucine	Thr	Threonine
Asp	Aspartic Acid	Leu	Leucine	Trp	Tryptophan
Cys	Cysteine	Lys	Lysine	Tyr	Tyrosine
Gln	Glutamine	Met	Methionine	Val	Valine
Glu	Glutamic Acid	Phe	Phenylalanine		

Figure 1-3 The genetic code. In the expression of genetic information, the codon key describes the code for each amino acid in a protein based on three nucleic acids, termed a “codon.”

and UGA) encode a “stop” command, thereby stopping the growth of the protein at that point in the sequence.

Cell Biology and the Human Genome

In living systems, the cell is the basic unit of life. Each cell that contains a nucleus contains the entire genome of the organism, although the cell only utilizes a subset of genes to enable its viability and function within the organism. Within the nucleus of human cells are 23 pairs of chromosomes (46 chromosomes total). Chromosomes were originally discovered over 100 years ago using basic dyes and state-of-the-art microscopes (at that time), thus the name chromosome simply means “colored body” as a description of how they were first observed in the nucleus of the cell. One pair of chromosomes is associated with gender and is commonly referred to as the sex chromosomes. Females have two “X” sex chromosomes, whereas males have an “X” and a “Y” sex chromosome.

In simple terms, chromosomes are essentially unbroken polymers of double-stranded DNA. They often are associated with histone proteins that enable an efficient “packaging” of the DNA prior to cell division. The state of DNA in the cell correlates with the different phases of cell division (see **Figure 1-4**). It should be obvious that when a cell divides into two daughter cells, each cell must have a copy of the genome to remain viable. The cell goes through four phases to replicate itself, which includes replication of its genomic content. In the G1 phase, the activity of the cell is largely dedicated to growth and maintenance of the functions of the cell. As a cell prepares to undergo mitotic division, it enters the S phase, during which the entirety of the DNA (chromosomes) in the cell is duplicated (i.e., DNA synthesis = “S” phase), resulting in two copies of each chromosome. Completion of the DNA (chromosome) duplication leads to the G2 phase, and the chromosomes are

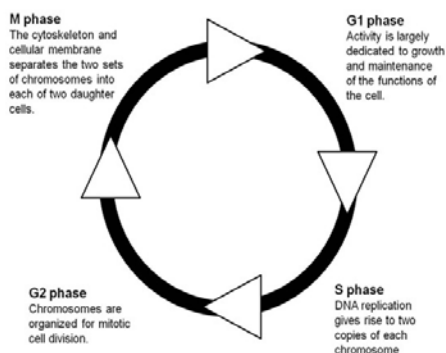


Figure 1-4 The four phases of the cell cycle.

organized in preparation for mitotic cell division. During the M phase of cell division, the cellular membrane separates the two sets of chromosomes into each of two daughter cells, and each daughter cell reenters the G1 phase, each with a complete copy of the genome within the chromosomes of its nucleus.

In addition to the chromosomal DNA found in the nucleus of the cell, a relatively small amount of DNA is found in the mitochondria. Mitochondria harbor about 16 kilobases of DNA (called mtDNA) in a circular form. In humans, the mtDNA contains 37 genes that encode proteins utilized by the mitochondria for energy production and protein synthesis. The mtDNA undergoes its own replication as mitochondria replicate within the cells of our body. Importantly, mtDNA is maternally inherited because the large female gamete (egg cell) contains hundreds of thousands of mitochondria, whereas the mtDNA in the much smaller male gamete (sperm cell) is not incorporated during fertilization of the egg. Thus, the fertilized egg only contains maternal mtDNA and is therefore used in genetic research for mapping maternal inheritance across generations.

Cells exist in the human body that do not harbor a nucleus

and therefore lack a copy of the human genome. The red blood cells (RBCs, also known as erythrocytes) and platelets (also called thrombocytes) of the blood are derived from parent cells in the long bones of our bodies. Red blood cells are involved in oxygen transport in the blood and are derived from a process called erythropoiesis involving progenitor cells (e.g., proerythroblasts, polychromatic erythroblasts), whereas platelets are involved in blood clotting and are derived from megakaryocytes. These non-nucleated cells lack nuclear DNA, yet they harbor genetic information in the form of RNA, allowing the cells to synthesize proteins. The DNA that is obtained from a blood sample is actually derived from the white blood cells, which make up about 1% of blood volume in healthy adults.⁹

This is important when we consider using DNA genotyping to support advances in healthcare. If we use genotyping to screen for a neurological disease, we do not need to sample the human brain directly because almost all other cells in our body contain the complete genome. Therefore, we can carry out genotyping using cells that are easily obtainable (e.g., white blood cells, from a buccal swab to gather the cells from inside the mouth), thereby providing a noninvasive, nondestructive method for gaining access to our genomic information.

Categorically, genetic testing in humans is routinely carried out in four distinct areas: paternity and/or maternity, DNA forensics, disease predisposition, and pharmacogenomics (see **Table 1-1**). Paternity/maternity testing is used to establish a biological relationship between a parent and an offspring, whereas DNA forensics can determine the origin and/or identity of a biological sample. In both of these areas, the genomic

Table 1-1 Categories of Human Genetic Testing

	Paternity or Maternity Testing	DNA Forensics	Disease Predisposition	Pharmacogenomics
Utility	Determine biological parent.	Determine identity of crime scene DNA sample.	Determine cause of, or predisposition for, disease or disorder, or if the patient is a carrier for an inherited disease.	Predict optimal drug and/or dose for specific patient.
Sample source	Buccal swab	Varied	Buccal swab, saliva, or blood sample	Buccal swab, saliva, or blood sample
Target	Short tandem repeats (STR)	Short tandem repeats (STR)	Allelic variations linked to disease/disorder	Genes for drug metabolism enzymes, drug transporters, and drug receptors
Rapid testing turnaround required	Infrequently	Infrequently	No	Yes

biomarkers commonly tested are called short tandem repeats, or STRs, which are short repeated sequences of DNA. Another growing area of human genomics involves testing for specific genomic biomarkers associated with disease, where the genetic cause for a disease or disorder is established as a diagnostic tool or used to determine the risk of developing the disease.

Pharmacogenomics, however, points to important distinctions among these areas of genetic testing. Each has shown tremendous utility and societal value. Yet, in order to derive the full clinical potential of genetic testing in pharmacogenomics, information regarding genetic variation as it relates to the disposition and effect of medications must be immediately available to caregivers. Thus, the value of pharmacogenomics is more likely to be dependent on technologies and information systems/ procedures that allow for rapid testing and provide clinicians with more real-time access to a patient's individual genetic data.

Genetic Variation and Precision Medicine

The essence of precision medicine is individual genetic

variation. The most obvious and perhaps most basic examples of individual genetic variation are observed outwardly. Readily apparent physical traits, such as skin tone, eye color, hair color, height, and even shoe size, are all dictated by genes that vary, in some cases dramatically, between individuals. In this sense, the gene–trait interface could be described in modern, colloquial terms as “designing” an avatar in a video game. Each player is offered choices that determine the appearance of the avatar. Analogous to a genetic menu of sorts, one can scroll through screens of options, ranging from body type to facial structure, where nuances such as the thickness of the eyebrows, shape of the nose, and distance between the eyes are presented. These choices allow an avatar to assume a uniqueness that, although immensely oversimplified, can be extrapolated to represent genetic variation and the direct relationship between genetic identity and physical traits (see **Figure 1-5**). Yet, as we move from electronic simplification to genetic reality, the avatar analogy quickly fades—the vast complexity of the human genome provides for a much deeper level of variation between individuals.

Analysis of the human genome following publication of its first complete sequence in 2003 only begins to describe this complexity. As described earlier, each human germ-line cell contains approximately three billion nucleotide base pairs of DNA comprising around 25,000 genes, and among this immense store of genetic code there is tremendous intraspecies homogeneity, a fact underscored by the discovery that all humans share roughly 99.9% of the DNA sequence. Such uniformity makes perfect sense. Genes encode for proteins for which functions are nearly always precisely limited by their tertiary and quaternary structure, which dictates efficiency of enzymatic and/or biological processes. One dramatic example is actin, a type of cytoskeletal scaffold that owns the title of being the most abundant protein in nearly all human cells, comprising anywhere from 10–20% of total cell protein. In fact, the typical hepatocyte contains an estimated 500 million actin molecules, giving the cytosol a gel-like rather than fluid consistency.¹⁰ As is implied by their abundance, actin proteins are essential for a variety of biological functions, such as structural integrity, cell

shape, cell motility, chromosome morphology, and muscle contraction, as well as a host of intracellular events, including gene transcription and translation. Thus, it is of little surprise that the six human genes encoding for the three actin isoforms (α , β , and γ) are among the most highly conserved in the entire genome, being second only to the histone family of DNA-binding proteins. In fact, the DNA sequence of human actin is over 80% identical to that found in yeast, with a near 96% amino acid homology.¹¹

This incredible degree of interspecies homogeneity means that biological activity in eukaryotes is extremely sensitive to changes in the DNA sequence. Indeed, entire clusters of genes exist with a sole recognized function of minimizing DNA mutations during cell -division. One such family of proteins is known as the mismatch repair genes.¹² Also highly conserved, this family of nine unique proteins “proofread” newly replicated daughter strands of DNA for relatively common errors in base incorporation by DNA polymerases, errors that would otherwise result in nearly one mutation for every 1,000 base pairs replicated. Instead, mismatch repair enzymes identify “mismatched” bases, excise them from the newly replicated daughter strand, and finally reinsert the correct deoxyribonucleotide base. This effectively reduces the average mutation rate by six orders of magnitude, or to less than one base change per billion bases.

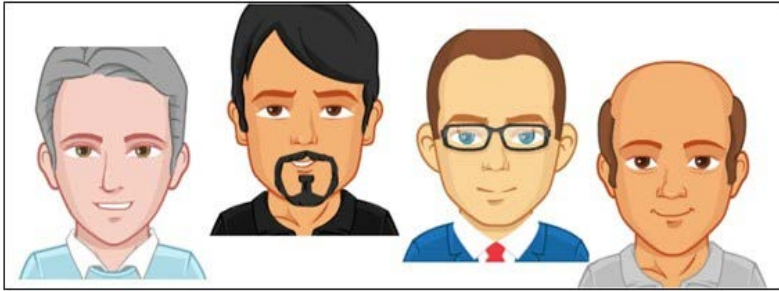


Figure 1-5 Avatars representing four of the authors of this text (generated by www.pickaface.net). *Source:* Courtesy of Fredy Sujono from www.pickaface.net.

The importance of mutation-reducing enzymatic activity is obvious. DNA sequence fidelity transmitted from parent cell to daughter and from parent organism to offspring allows for continuity of gene sequence, which provides for continuity of inherited traits. Moreover, evolutionary pressures of selection work toward maintaining individuals with as little genetic diversity as possible, at least with respect to the many thousands of genes, like those for actin, whose activity is required for sustainable life.

In spite of these Herculean cellular efforts and the constant evolutionary pressures that favor DNA fidelity across generations, genetic variation persists. Small changes in genetic code continue to arise, and these often more subtle mutations, known as polymorphisms, give rise to a deeper, and in some ways more defining, characteristic of genetic variation among individuals.

Polymorphic Genetic Variation

In the most basic sense, changes in the genetic code are observed as differences in DNA sequence called **mutations**. These changes in sequence may or may not produce observable differences in traits either in an individual or in its offspring. Mutations that occur in genomic DNA between individuals gives rise to genetic variation—that one person’s DNA sequence differs from another at specific bases. Some mutations are more common than others in a population. When a particular mutation occurs in at least 1% of individuals in the population, it is -commonly referred to as a **polymorphism**, which is derived literally from the Greek word meaning “many forms.” For example, if at a given location in the genome 4% of individuals contain adenine (A) but the other 96% contain a cytosine (C), the A represents a polymorphism. In this way, the term polymorphism is used to help describe the prevalence of a specific genetic variation between individuals within a population.

Variants are incredibly common. Individuals differ in their DNA on average by one base pair for every 100 to 300 base pairs throughout the genome, although their frequency can be much greater within a given gene. It has been estimated that as many as 9 to 10 million polymorphisms may reside in the human genome, yet it is highly unlikely that any one individual will carry all possible polymorphic variations.¹³ However, because of their frequency, polymorphisms are particularly useful in describing genetic differences between individuals, especially differences that define discrete subpopulations within the population as a whole.

The manifestation of variation in the genetic code can be dramatic. One such example is found in the human α -actin gene. Familial hypertrophic cardiomyopathy (FHC) is an autosomal dominant congenital disease that leads to compromised cardiac function (syncope, angina, arrhythmias, and heart failure) and is the leading cause of sudden death in young people.¹⁴ At least nine different mutations in α -actin have been directly linked to FHC, including a guanine to thymine (G→T) mutation at base 253 of exon 5 in the actin gene. This change, where the **wild-**

type or typical sequence found in “normal” individuals is altered, results in the substitution of the amino acid serine for alanine at position 295 within the actin protein and is denoted as Ala295Ser. The simple G→T variation results in an actin molecule whose binding affinity for β-myosin is diminished, which reduces the strength of cardiac muscle contraction and can -contribute to -potentially fatal hypertrophy of the left ventricle (see **Figure 1-6**).¹⁵

Understandably, potentially serious physiological consequences that can be expressed at a young age make the actin Ala295Ser variation less likely to be transmitted generationally. However, a far greater degree of genetic variation is interspersed throughout the genome. Remember that nearly 99% of the genome is contained within regions of DNA considered noncoding or intergenic that do not directly encode for protein. Thus, the vast majority of variations are likely to be neither harmful nor beneficial *per se*. Yet, there is a growing appreciation for the potential role of polymorphisms in directly causing, or indirectly associating with, characteristics and traits that vary between groups within a population, especially as it pertains to individual responses to drugs.

In general, polymorphisms can be categorized into two main types: **single nucleotide polymorphisms**, commonly referred to as **SNPs** (pronounced “snips”), and insertions or deletions, commonly referred to as **indels**, with each category further differentiated into subcategories based on the nature, location, and effect of the polymorphism.

The most common type of polymorphism in pharmacogenomics is the SNP (see **Figure 1-7**). Single nucleotide polymorphisms are polymorphisms that occur at a single nucleotide where any one of the four bases of DNA (A, C, G, and T) may be substituted for another. An estimated 90% of all genetic variation in the human genome is thought to be derived from SNPs. Interestingly, the substitution of C → T constitutes roughly two out of every three SNPs.¹³ Single nucleotide polymorphisms can be located in either coding or noncoding regions of DNA. Recall that coding regions contained within the genes make up

less than 2% of the total DNA in the genome. As a result of the relative paucity of bases that make up this region, SNPs in coding regions occur less frequently than SNPs in noncoding regions but have a far greater potential to influence the phenotype of an individual. In this sense, the old colloquialism “location, location, location” certainly applies to SNPs.

Single nucleotide polymorphisms with the most direct genetic influence are located within the coding region of DNA. These polymorphisms are classified as either synonymous (also

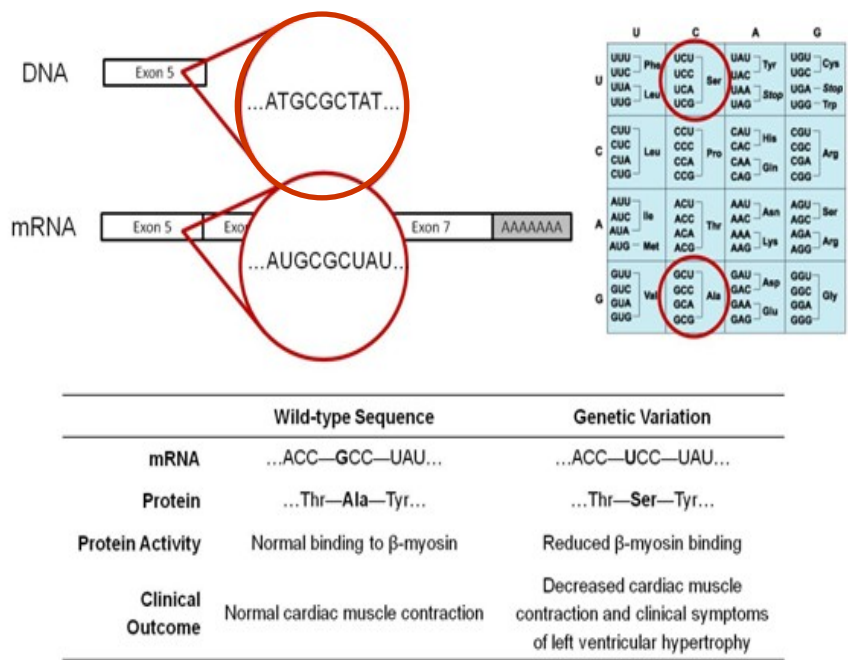


Figure 1-6 Variation in the human cardiac α -actin gene associated with familial hypertrophic cardiomyopathy (FHC). A guanine to thymine (G→T) mutation in exon 5 of the human cardiac α -actin gene results in variation in the mRNA codon sequence and subsequent mistranslation of serine at amino acid position 295 rather than alanine. The resulting actin molecule exhibits reduced binding affinity for β -myosin, resulting in diminished cardiac muscle contraction and clinical symptoms associated with hypertrophy of the left ventricle.

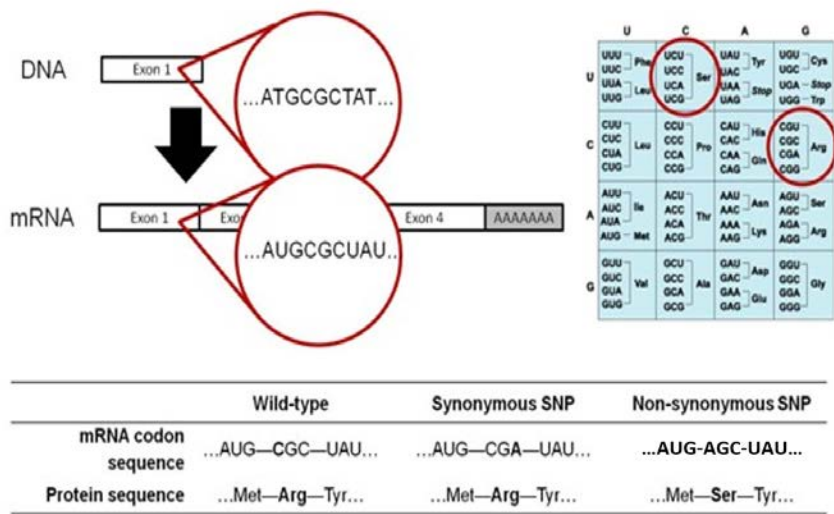


Figure 1-7 Synonymous and nonsynonymous SNPs. Synonymous, or sense, SNPs are changes to a single nucleotide that alter the mRNA codon sequence without changes to the translated protein. In this hypothetical example, a cytosine to adenine (C → A) polymorphism changes the codon from CGC to CGA, but both codons are translated to arginine. In contrast, nonsynonymous, or missense, SNPs are changes to a single nucleotide that result in altered mRNA codon sequence and subsequent mistranslation of the protein. In this case, a cytosine to adenine (C → A) polymorphism results in the translation of a serine rather than arginine.

called sense mutations), which result in translation of the same amino acid, or nonsynonymous (also called missense mutations), which result in translation of a different amino acid. Another type of coding SNP can be classified as a nonsense mutation in that the polymorphism results in the inappropriate insertion of a stop codon in the growing mRNA, ultimately leading to a truncated protein product. In these ways, SNPs may cause important differences in gene function and/or expression. For example, mRNA transcripts used for translation can be directly altered by SNPs, leading to compromised transcript stability or altered RNA splicing. Likewise, coding nonsynonymous or nonsense SNPs may influence protein structure, stability, substrate affinities, and so on.

Apolipoprotein E (ApoE), a gene associated with Alzheimer's disease, can serve as an example of the effects of nonsynonymous SNPs located in the coding region of a gene.¹⁶ Apolipoprotein E is a member of a family of proteins whose function is to bind to and assist in the transport of lipids in the circulatory system and is the predominant lipoprotein in the brain. Two SNPs, both thymine to cytosine (T→C) substitutions, are located within ApoE that result in the translation of more basic arginine residues at amino acid positions 112 and 158 instead of neutral cysteines. These changes, when found together, are known as the ApoE ε4 allele and transform ApoE into an isoform that exhibits increased binding affinity to amyloid β, a small protein involved in the pathology of Alzheimer's disease.¹⁷ Apolipoprotein ε4 is found in high abundance in neurofibrillary tangles characteristic of Alzheimer's disease.¹⁷ In fact, the SNPs associated with the ApoE ε4 allele, which occur in 5% of the population, are now considered to be the single greatest genetic risk factor for the development of Alzheimer's disease, which is the leading cause of senile dementia in the elderly and affects nearly 25 million adults worldwide.

Importantly, the influence of SNPs is not limited to those found directly in coding regions. At least one important function of noncoding DNA is to regulate the expression of mRNA transcripts. Thus, noncoding polymorphisms located in

regulatory regions, including promoters, areas of DNA that respond to cellular machinery that control gene expression, introns, and the boundary between exons and introns, lead to

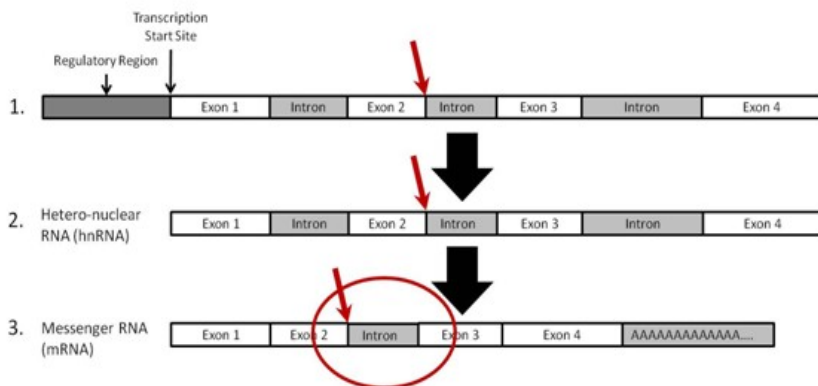


Figure 1-8 The potential impact of noncoding SNPs. SNPs located in noncoding regions of DNA, such as promoters, introns, and the boundary between exons and introns, can result in altered splicing and/or expression of mRNA transcripts. In this example, the SNP located at the proximal intronic boundary between exons 2 and 3 (indicated by arrows) alters the DNA sequence recognized by splicing machinery within the cell, eliminating the splice site. The resulting mRNA transcript erroneously retains the intron, leading to translation of the intron sequence into an altered protein product.

potential changes in transcription factor binding, mRNA transcript stability, or RNA splicing (see **Figure 1-8**).¹⁸

It is worth noting, not without irony, that there is considerable variation in the nomenclature used to describe genetic variation. Frequently, the same polymorphisms are described by different names in various basic science and clinical sources in the literature. For instance, a hypothetical single-base variation from adenine to thymine could be designated as A→T, A/T, A>T, or even A123T or 123A>T to denote base position within the gene. Making matters even more confusing, early studies of polymorphisms did not benefit from the standardized

DNA sequence databases that exist today, such as the National Center for Biotechnology Information, or NCBI (www.ncbi.nlm.nih.gov). Rather, investigators studying identical regions of DNA frequently used sequences or fragments of DNA with different starting points relative to the actual genomic sequence. Thus, studies of our hypothetical polymorphism at position 123 could appear in the literature as A123T in one study and A323T in another if the sequence used in the latter began 200 bases upstream relative to that used in the former. Although it will likely take some time for standardized nomenclature to take hold in the literature, recent efforts have produced several proposals for a systematic methodology of SNP nomenclature.

One prominent example is from the Human Genome Variation Society.¹⁹ Its recommendations for the naming of human sequence variation promote a basic system focusing on first naming the gene of interest followed by designating the level of sequence variation: at the level of DNA, located in either coding regions designated as “c,” genomic or noncoding regions as “g,” or mitochondrial regions as “m.” This nomenclature is not to be confused with the molecular biological term complementary DNA, which also is designated cDNA, and is likewise derived from reverse transcribing messenger RNA, or mRNA, so that only exons are included in the sequence. Thus, a coding reference sequence represents only DNA information contained in processed mRNA, whereas gDNA sequences represent DNA information identical to how it exists in the genome, containing DNA from introns, exons, and intergenic regions. Ribonucleic acid and protein sequence variation are respectively designated by “r.” or “p.” Actual variation in a sequence is described by listing first the reference or wild-type sequence/base followed by the sequence variation. Thus, applying this nomenclature system, the α -actin variation already described would be named c.253G>T to indicate the variation of sequence at position 253 in the coding reference sequence in the α -actin gene where the reference base guanine has been replaced by the variant thymine. This naming system could further be

applied to describe the resulting change in terms of base substitution at the protein level using p.295S>A or p.295Ser>Ala

where the serine at amino acid position 295 in the α -actin protein is changed to alanine.

Another SNP nomenclature system that is widely used is the **reference sequence number**, or the **ref SNP**, **rs#**, or **rs**. Developed for use in the Single Nucleotide Polymorphism Database (dbSNP) hosted by the NCBI, this system is designed to reference genetic variation such as SNPs according to more precise locations within the genome rather than the arbitrary and varying segments of DNA frequently used in individual studies.²⁰ This is akin to providing each SNP with an exact chromosomal street address, where possible, that is used to define the SNP. For instance, rs113513162 is the specific, consistent identifier in dbSNP for the c.253G>T actin mutation in exon 5 of the ACTC gene located on chromosome 15. Efforts such as these that normalize the nomenclature and referencing of variation in the genetic code have proven valuable in decreasing the incidence of ambiguous or misleading literature references to SNPs.

Genetic variation can also be described at the whole-gene level. Perhaps the most relevant example for the purposes of this text is that of the human cytochrome (CYP) P450 genes for which gene-wide variation is defined by well-accepted nomenclature.²¹ In this system, the superfamily designation of “CYP” precedes that given for family (indicated by number), subfamily (indicated by letter), and individual subfamily member (again indicated by number). Importantly, allelic differences are defined by a number or a number and a letter following an asterisk (*) designation. It is important to note that in this nomenclature system the “*1” designation most commonly refers to the wild-type gene, whereas integers of “2” or greater denote polymorphic alleles typically numbered in order of their discovery and validation. For some genes, the nomenclature also includes the designation of “*1A” as the wild-type and “*1B,” “*1C,” “*1D,” and so on as variants.

All told, this system allows for genotypic variation, in some cases involving multiple SNPs, to be described in phenotypic terms by referencing differences in an allele rather than a nucleotide. For example, CYP2C9 is a primary metabolizing

enzyme of drugs, including the antiseizure medication phenytoin, the anticoagulant warfarin, and many nonsteroidal anti-inflammatory drugs, such as naproxen. A SNP that occurs within the *CYP2C9* gene resulting in a cytosine to thymine (C→T; rs1799853) conversion leads to decreased enzymatic function. This allelic polymorphism is designated by *CYP2C9**2 and is used to denote individuals susceptible to elevated drug levels following administration of typical doses of these medications (see **Figure 1-9**).

The other major category of polymorphism is indels. This -genetic variation involves the insertion or deletion of DNA either as single -nucleotides or as two or more nucleotides, in some cases -spanning regions of DNA encompassing an entire gene. One of the best-characterized forms of indels is the duplication of the cytochrome P450 drug metabolizing enzyme CYP2D6, where individuals have been found to possess as many as 13 copies of the gene. In contrast, GSTT1, a gene encoding for the glutathione-conjugating enzyme glutathione S-transferase -theta-1, is entirely deleted in some individuals, which sometimes leads to reduced metabolism of **xenobiotics**, particularly those with electrophilic and hydrophobic properties.²² In this case, the existence of alternative metabolic pathways for some compounds means that the phenotypic effect of this gene deletion may not be observed.

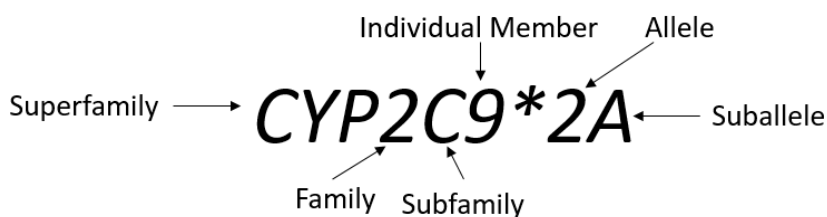


Figure 1-9 Nomenclature for the cytochrome P-450 (CYP) alleles. The established nomenclature system for alleles of the cytochrome P-450 (CYP) superfamily designates “CYP” followed by family number, subfamily letter, and individual subfamily number. Allelic differences are defined by number or a number and letter following an asterisk (*).

A classic example of the pharmacogenomic consequences

of polymorphic variation can be found in a family member of the cytochrome P450 enzymes, CYP2D6. Located on chromosome 22, CYP2D6 is a primary mechanism for the metabolism of nearly 100 drugs, including many antidepressants, such as fluoxetine; many neuroleptics, such as haloperidol; beta blockers, such as propranolol; and analgesics, such as codeine. Individuals carrying the wild-type alleles for *CYP2D6* (*CYP2D6**1) are phenotypically considered extensive/normal metabolizers (NM) in that substrates at CYP2D6, such as the drugs aforementioned, are metabolized efficiently.²³ If one were to compare CYP2D6 metabolic activity to the volume dial on a stereo, the *CYP2D6**1 allele would be analogous to a normal setting (see **Figure 1-10**).

Most of the clinically relevant *CYP2D6* SNPs identified thus far result in diminished enzymatic activity associated with poor metabolizer (PM) or intermediate metabolizer (IM) phenotypes. For example, the *CYP2D6**4 allele containing the 1846G>A polymorphism is a splicing defect in *CYP2D6* that results in a truncated, nonfunctional protein product.²⁴ It is among the most common *CYP2D6* SNPs found in Caucasian populations accounting for a significant percentage of mutant alleles. Another example of the PM phenotype is the *CYP2D6**10 allele containing the 100C>T SNP, which results in diminished enzymatic activity via enhanced protein degradation.²⁵ It is the most common CYP-related polymorphism found in Asian populations (nearly 50% of individuals), whereas the *CYP2D6**4 allele is seen at a much lower frequency in this group. Among individuals of African ethnicity, the *CYP2D6**17 allele containing the 1023C>T polymorphism is most common, resulting in a deficiency of hydrolase activity due to reduced substrate-binding affinity.²⁶

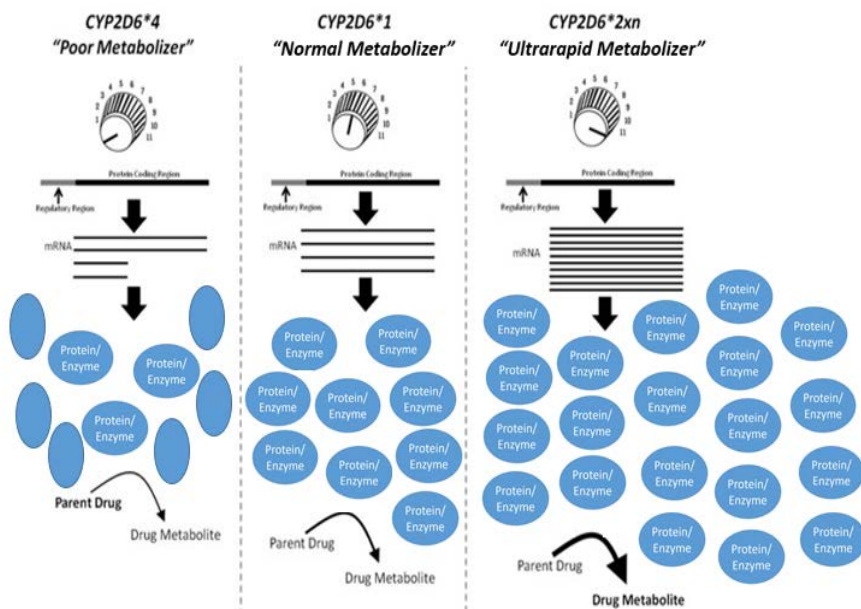


Figure 1-10 Genotypic and phenotypic differences in CYP2D6 mediated metabolism. Individuals carrying the wild-type alleles for *CYP2D6* (*CYP2D6**1) are phenotypically considered “extensive/normal metabolizers (NM)”. Carriers of the *CYP2D6**4 allele containing the 1846G>A polymorphism produce a truncated, nonfunctional protein product and therefore exhibit a “poor metabolizer” phenotype. The *CYP2D6**2xN allele indicates repetition of a 42-kilobase DNA fragment, resulting in *CYP2D6* duplication that is phenotypically expressed as an “ultrarapid metabolizer” phenotype.

Evidence also suggests indel polymorphic expression of *CYP2D6*. Repetition of a 42-kilobase DNA fragment containing *CYP2D6**2 results in *CYP2D6* duplication that is phenotypically expressed as an ultrarapid metabolizer (UM) phenotype.²⁷ In fact, as many as 13 copies of the enzyme have been identified in one individual’s genome. Interestingly, this phenomenon is thought to have arisen from selective pressures associated with specific geographic regions. The incidence of *CYP2D6* duplication has been reported with a frequency of less than 2% in Asians and less than 5% in Western Europeans but as much as 16% in Ethiopians.^{28,29} Thus, the frequency of individuals possessing *CYP2D6* duplication suggests a geographical gradient, possibly

resulting from dietary pressures where, historically speaking, the detoxification capacity afforded by *CYP2D6* duplication may have been essential for African diets relative to more European-based diets.

Fascinating though they may be from purely anthropological and genetic viewpoints, these observations have profound clinical implications. First, for each of these groups, individuals possessing PM *CYP2D6* polymorphisms may require reduced dosing of substrate drugs in order to avoid toxicities associated with decreased drug metabolism, which in many cases can be severe or even fatal. In contrast, individuals possessing *CYP2D6* UM polymorphisms may require the polar opposite therapeutic course, that of increased rather than decreased dosing, in order to avoid symptoms associated with drug inefficacy. Second, these examples highlight the potential for pharmacogenomics to provide a mechanistic basis as to why individuals belonging to specific ethnic groups may respond very differently to standard drug therapy and eventually may provide a means for precision dosing of those medications in advance. At the same time, these findings should provide ample caution against making assumptions based on ethnic background when treating individual patients. Remember, precision medicine deals with using individual genetic information to support clinical decision making for optimal patient care. Ideally, increased prevalence of a “pharmacogenomically” relevant SNP in an ethnic population affords valuable consideration, but not a conclusion, at least not without genetic data specific to the individual patient.

Consider the therapeutic challenge of treating a patient with a needed medication whose primary metabolism occurs via *CYP2D6*, all while facing the unknown possibility that the patient’s metabolic capacity could range anywhere from PM status to UM status. With this perspective, it is hardly surprising that according to the U.S. Food and Drug Administration nearly one million adverse drug reactions are reported each year in the United States, half of which lead to serious patient outcomes such as hospitalization or disability and almost 100,000 directly result in death.³⁰ Clearly, not all of these adverse events are attributable to pharmacogenomic influences. Many are

undoubtedly the result of human error, such as administering the incorrect dose contrary to a correctly prescribed regimen. However, it ought to give one pause to realize that many adverse events are -attributable not to human error, but to errors in humans. Or, in other words, adverse events arise not just when incorrect medications and/or doses are administered, but also when they are correctly prescribed and administered to individuals whose pharmacogenomic profiles may contraindicate such therapy.

In spite of these examples of dramatic phenotypes of polymorphic variation between individuals, it is more common that a pharmacogenomic trait cannot be clearly associated with a single SNP or indel. In this case, haplotypes can sometimes be used to associate **genotype** with phenotype. In true genetic terms, a **haplotype** refers to regions of DNA, such as a combination of alleles, that are inherited but that may or may not determine phenotype traits. Haplotypes are relatively common. It has been estimated that most genes contain between 2 and 53 haplotypes, with an average of 14. A haplotype has been frequently used to describe groups of SNPs that are inherited together. Haplotypes themselves may not have a direct effect on drug response, but their proximity to an unidentified causative mutation may allow them to act as a marker for a particular drug response.

One example of the use of haplotypes in predicting individual drug responses is found in the β_2 adrenergic receptor (β_2 AR).³¹ Twelve haplotypes have been identified in the 5' untranslated region (UTR) and in the coding region of the *ADRB2* gene that encodes for the β_2 AR receptor. Several of these haplotypes have been associated with a greater than two-fold increase in response to the β_2 AR agonist albuterol, which is the prototypical agent in the class of sympathomimetic drugs used as first-line bronchodilators in treating symptoms of both asthma and chronic obstructive pulmonary disease (COPD). Importantly, no individual SNPs located within the haplotypes were able to be causatively linked to improved β_2 AR-mediated bronchodilation. Thus, both SNPs and haplotypes can be used to map genetic changes that are associated with an

individualized drug response.

The examples provided thus far show a direct link between genotype and phenotype—between the specific genetic makeup of an individual and the response of the individual to a drug. However, establishing an association between a genetic polymorphism and a specific drug response is more complicated when multiple polymorphisms within a gene and/or multiple genes are involved.

This is most easily discussed when considering traits that are **monogenic**, or those derived from a single gene. For example, each individual inherits two alleles of *CYP2C9* (one from mom and one from dad). Therefore, the overall activity of *CYP2C9* results from the combined contribution of both alleles. By definition, most individuals inherit two wild-type copies of *CYP2C9*, which means that most of us exhibit “normal” metabolic activity of the enzyme. However, what if an individual inherits the wild-type *CYP2C9* allele from one parent but the *CYP2C9*2* polymorphism from the other parent? In this case, the individual would be considered **heterozygous** for *CYP2C9* (written *CYP2C9*1/*2*) in that he or she possesses two different alleles for the same gene, one fully functional and the other with compromised enzymatic activity. If both alleles were to contain the *CYP2C9*2* polymorphism, the individual would be considered **homozygous** (*CYP2C9*2/*2*), resulting in greatly diminished metabolism by the *CYP2C9* enzyme. Thus, one would expect to see a graded loss of metabolism across individuals who are wild-type (*CYP2C9*1/*1*), heterozygous (*CYP2C9*1/*2*), and homozygous (*CYP2C9*2/*2*) for the *CYP2C9*2* polymorphism. This is referred to as a gene-dose response relationship (see **Figure 1-11**).

In this simplistic example, the phenotype of *CYP2C9* activity can be explained by the direct relationship between trait and genotype. However, what if we expand our analysis to consider not just *CYP2C9* activity but the overall response to a drug metabolized by the enzyme?

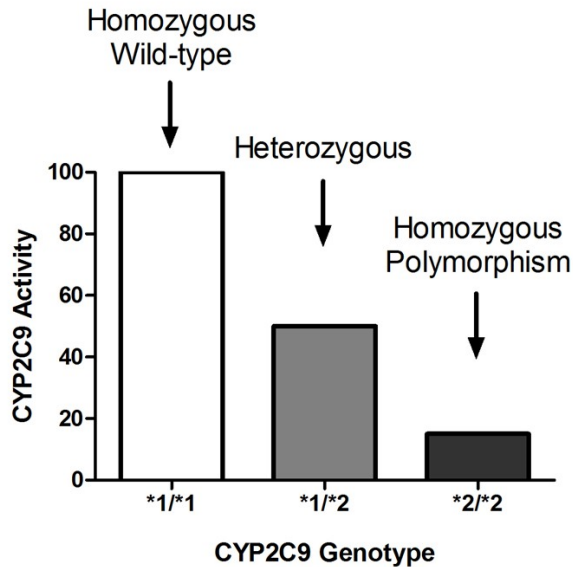


Figure 1-11 Allelic expression of *CYP2C9**2 polymorphisms as an example of a monogenic trait. CYP2C9 activity results from the combined contribution of both alleles. Most individuals carry two alleles of the wild-type *CYP2C9**1 and therefore exhibit full metabolic activity of the enzyme. Individuals who inherit one wild-type *CYP2C9**1 allele and one polymorphic *CYP2C9**2 allele are considered heterozygous for *CYP2C9* and exhibit diminished enzymatic activity. If both alleles are the *CYP2C9**2 polymorphism, the individual would be considered homozygous for the polymorphism, resulting in greatly diminished metabolism via CYP2C9.

As an anticoagulant, warfarin has been used extensively to prevent thromboembolism but is limited in use by a narrow therapeutic index. Inadequate drug therapy increases the likelihood of potentially fatal thrombotic events, whereas toxicity may result in life-threatening hemorrhaging. The anticoagulant effects of warfarin are mediated by inhibition of vitamin K epoxide reductase complex subunit 1 (VKORC1), a key factor in the clotting process.^{32,33} Thus, the warfarin response is dependent on the function of its drug target, VKORC1, and its metabolizing enzyme, CYP2C9. Importantly, polymorphisms have been identified in *VKORC1*, including a guanine to adenine conversion (−1639G>A; rs9923231) that increases an individual's sensitivity to warfarin.^{34,35} This means there exist subpopulations of patients that carry the *CYP2C9**2

polymorphism, the $-1639G>A$ *VKORC1* polymorphism, or both. Moreover, each individual will be either heterozygous or homozygous for each polymorphism, with each polymorphism potentially altering the anticoagulant response to warfarin.

This more intricate scenario describes a **multigenic trait** where the phenotypic expression of the trait (in this case the -anticoagulant response to warfarin) is dependent upon the function of several genes rather than just one. As complicated as this may appear, this gene–gene interaction still greatly oversimplifies the actual clinical -condition. Consider that the warfarin response is influenced by not just two genes (gene–drug interactions) but rather the confluence of many more factors, such as age, weight, and sex, which are further compounded by other environmental variables (gene–environment interactions), such as concurrent drug therapy, and behavioral choices, such as smoking or diet. Merely attempting to approximate such complexity helps to highlight the complicated relationship that can exist between a drug response and genotype.

Review Questions

1. Pharmacogenomics is the study of the relationship between genetic variation and drug response.
 - a. True
 - b. False
2. Genetic variation in the nucleotide sequence of DNA necessarily results in changes in amino acid sequence and protein functionality.
 - a. True
 - b. False
3. In describing genetic variation, mutations and polymorphisms can be differentiated by which of the following?
 - a. Frequency of the variation
 - b. Functional effects of the variation
 - c. Location of the variation within the genome
 - d. Mutations and polymorphisms are indistinguishable

4. A coding synonymous single nucleotide polymorphism is most likely to induce a change in which of the following?
 - a. Enzyme–substrate affinity
 - b. Receptor–ligand binding
 - c. RNA splicing
 - d. Transcription
5. Which of the following metabolic enzymes is associated with both poor metabolizer (PM) and ultrarapid metabolizer (UM) phenotypes?
 - a. NADP
 - b. CYP2D6
 - c. TPMT
 - d. VKORC1
6. Which of the following *CYP2D6* polymorphisms is an example of an indel?
 - a. *CYP2D6**4
 - b. *CYP2D6**2
 - c. *CYP2D6**10
 - d. *CYP2D6**17
7. Which of the following is the best description of a haplotype?
 - a. A common mutation in DNA in a given population observed at greater than 1% frequency.
 - b. An observable characteristic or trait.
 - c. A series of polymorphisms that are inherited together.
 - d. Possessing two different alleles for the same trait.

8. Polymorphisms such as those found in *CYP2C9* result in heterozygous individuals who often display intermediate enzyme activity and wild-type and homozygous individuals who display either fully functional or nonfunctional enzyme activity, respectively. This trimodal phenotype is indicative of which of the following?
 - a. A monogenic trait
 - b. A multigenic trait
 - c. Neither A nor B
 - d. It is not possible to tell.
9. A patient who recently started taking the antipsychotic medication haloperidol presents with dry mouth, restlessness, spasms of the neck muscles, and weight gain, all of which are adverse effects associated with haloperidol toxicity. Based on your knowledge of the pharmacogenomic influence of CYP polymorphisms, you speculate that this patient is:
 - a. homozygous for the *CYP2D6**4 allele.
 - b. homozygous for the *CYP2D6**2xn allele.
 - c. homozygous for the *VKCOR1* (AA) allele.
 - d. homozygous for the *CYP2C9**1 allele.

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Section II

Pharmacogenomics Related to Pharmacokinetics and Pharmacodynamics

Section II presents the interface between pharmacogenomics and pharmacokinetics, and pharmacogenomics and pharmacodynamics as underlying concepts influencing a drug's concentration-time profile and concentration-effect relationship(s). This section relates genetic influences on pharmacokinetics and pharmacodynamics in a conceptual and mathematical sense.

CHAPTER Two

Pharmacogenomics and Pharmacokinetics

Learning Objectives

Upon completion of this chapter, the student will be able to:

1. Recognize the influence of genetic polymorphisms on the absorption, distribution, metabolism, and excretion of drugs.
2. Differentiate, based on genetic polymorphisms, cytochrome P450 poor metabolizers, intermediate metabolizers, extensive/normal metabolizers, and ultrarapid metabolizers relative to the absorption, distribution, metabolism, and excretion of drugs.
3. Explain how a specific genetic polymorphism would affect the design of a patient's drug dosing regimen.
4. Differentiate between influx and efflux transporters relative to tissue location and influence on the absorption, distribution, metabolism, and excretion of drugs.
5. Propose alterations to a patient's dosing regimen based on the pharmacogenomic influence on absorption, distribution, metabolism, and excretion.

The student should demonstrate an understanding of how drug metabolizing enzymes and drug transporters are influenced by genetic variation. Genes producing proteins that are drug metabolizing enzymes and drug transporters are called pharmacogenes. The student should also understand that variation in these proteins results in variation in pharmacokinetics, which can influence how a person absorbs, distributes, metabolizes, and excretes a given drug, all in the context of the patient's response to the drug.

Key Terms	Definitions
absorption rate constant (k_a ; time^{-1})	The rate constant representing the first-order absorption of a drug from an extravascular site (e.g., the gastrointestinal tract).
area under the curve (AUC; $\text{amt/vol} \cdot \text{time}$)	A measure of drug exposure as the integrated area under the plasma drug concentration versus time curve from time zero to infinity.
bioavailability (F)	The rate and extent of drug absorption; the fraction of the dose reaching systemic circulation unchanged.
clearance (CL; vol/time)	The volume of biologic fluid from which drug is removed per unit time.
cytochrome P450 (CYP)	A superfamily of oxidative metabolic enzymes.
efflux transporter	A protein that moves drug out of cells/tissues.
elimination rate constant (k_e ; time^{-1})	The rate constant representing the first-order elimination of drug.
extensive metabolizer (EM) – old term; normal metabolizer (NM; see below)	An individual with two “normal-function” alleles relative to a drug metabolizing enzyme.
genotype	The specific set of alleles inherited at a locus on a given gene.
intermediate metabolizer (IM)	In general, an individual with one “loss-of-function” allele and one “normal-function” allele relative to a drug metabolizing enzyme.
loading dose (D_L ; amt)	The initial dose of a drug administered with the intent of producing a near steady-state average concentration.
maximum concentration (C_{\max} ; amt/vol)	The highest concentration of drug in biologic fluid following drug administration during a dosing interval.
normal metabolizer (NM)	An individual with two “normal-function” alleles relative to a drug metabolizing enzyme.
pharmacodynamics (PD)	The relationship between drug exposure and pharmacologic response.
pharmacogenomics (PGx)	The study of <u>many genes</u> , in some cases the <u>entire genome</u> , involved in response to a drug.
pharmacokinetics (PK)	The relationship of time and drug absorption, distribution, metabolism, and excretion.
phase 1 metabolism	Drug metabolizing processes involving oxidation, reduction, or hydrolysis.
phase 2 metabolism	Conjugative drug metabolic processes.
phenotype	An individual’s expression of a physical trait or physiologic function due to genetic makeup and environmental and other factors.
poor metabolizer (PM)	In general, an individual with two “reduced-function” or “loss-of-function” alleles relative to a drug-metabolizing enzyme.
prodrug	A drug that requires conversion to an active form.
rapid metabolizer (RM)	An individual with two functional alleles, typically a normal and increased function allele.
τ (s; time)	The dosing interval.
T_{\max} (time)	The time of occurrence of the maximum concentration of drug.
ultrarapid metabolizer (UM)	An individual with a “gain-of-function” allele (e.g., overexpression of a metabolic enzyme).
uptake (influx) transporter	A protein that moves drug into cells/tissues.
volume of distribution (V , V_d , V_1 , V_{ss} ; vol)	A proportionality constant relating the amount of drug in the body to the drug concentration.

Key Equations	Description
$AUC = \frac{Dose}{CL}$	Area under the concentration versus time curve, being directly proportional to the dose and inversely proportional to the clearance (CL).
$C_{ave}^{ss} = \frac{F \cdot Dose}{CL \cdot \tau}$	The average steady-state drug concentration being directly related to the bioavailability and the dose and inversely related to the clearance and the dosing interval.
$D_L = C \cdot Vd$	The loading dose related to a desired concentration and the volume of distribution.
$D_M = C_{ss} \cdot CL$	The maintenance dose related to the desired steady-state concentration and the clearance.
$F = \frac{AUC_{po}}{AUC_{iv}} \cdot \frac{Dose_{iv}}{Dose_{po}}$ $= \frac{(\frac{AUC}{Dose})_{po}}{(\frac{AUC}{Dose})_{iv}}$	Absolute bioavailability relating the extent of absorption of an extravascular dose to the intravenous dose.
$F = f_a \cdot ff_p$	Bioavailability related to the fraction of the dose absorbed and the fraction of the dose that escapes first-pass metabolism.
$F = (ff \cdot fg) \cdot ff_p$	Bioavailability as above with the fraction of the dose absorbed expanded to include the fraction of the dose that avoids gastrointestinal lumen-metabolism/degradation and the fraction that avoids gastrointestinal wall metabolism and/or efflux.
$\tau = \frac{\ln(\frac{C_{max}}{C_{min}})}{ke}$	Tau, the dosing interval, as a function of the In quotient of C_{max} and C_{min} and inversely proportional to the elimination rate constant, k_e .
$t_{1/2} = \frac{0.693 \cdot Vd}{CL}$ $= \frac{0.693}{ke}$	The half-life, being directly related to the volume of distribution and inversely related to the clearance; inversely related to the elimination rate constant, k_e .
\uparrow, \downarrow	The number of arrows indicates the relative difference in the magnitude of the change.

Introduction

Pharmacokinetics (PK) is the study of the time course of drug absorption, distribution, metabolism, and excretion (ADME), describing how the body handles a given drug. Thus, these processes determine the plasma concentration versus time profile of a given drug. The pharmacologic effect(s) of a given drug are related to that drug interacting with biologic receptors. As it is not possible to easily measure the drug concentration at the site of the receptors, plasma concentrations are related to the effect(s) based on the assumption that there is equilibration between the drug concentration in plasma and that at the site of the receptors. The study of the relationship between the plasma concentrations of a drug and the observed pharmacologic effects is referred to as **pharmacodynamics (PD)**, and it describes how the drug affects the body. The common variable relating pharmacokinetics and pharmacodynamics is the drug concentration; this relationship is depicted in **Figure 2-1**.

The pharmacokinetics of a given drug “drives” the pharmacodynamics of that drug in such a way that the drug concentration in the plasma will be in equilibrium with the drug concentration at the receptor site, and responses to the drug, whether therapeutic or toxic, will be a consequence of the drug concentration. The variability in the response to a given drug is due, in part, to the variability in the pharmacokinetics of the drug, although pharmacodynamic variability is typically greater than pharmacokinetic variability. The variability in the

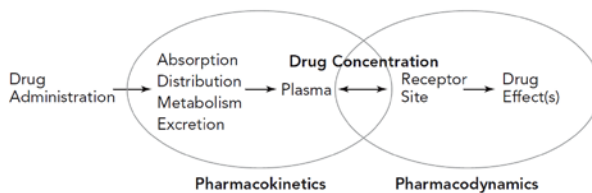


Figure 2-1 The relationship between pharmacokinetics and pharmacodynamics, with the “linking variable” being the drug concentration. As pharmacokinetics determines the plasma concentration versus time profile of the drug, the concentration at the receptor site (i.e., site of action), in equilibrium with the drug in the plasma, elicits the pharmacologic effect(s), which is pharmacodynamics.

pharmacokinetics and pharmacodynamics can be explained, in part, by **pharmacogenomics (PGx)**.

The clinical application of pharmacokinetics is aimed at optimizing drug therapy by designing a **loading dose** (where appropriate) and an initial maintenance regimen, including the maintenance dose and dosing interval, to keep the drug concentration within the desired therapeutic range. This is followed by dosage adjustment based on drug concentration determination for drugs that have a narrow therapeutic range. (i.e., the drug concentrations eliciting a therapeutic effect are close to or overlap those that elicit an adverse effect).

The design of a loading dose is based on the individual's **volume of distribution (Vd)**, which can be influenced, in part, by drug movement into tissues via transporters that are under genetic regulation. Here, a greater Vd will require a higher loading dose to achieve a desired drug concentration in the patient. A lesser Vd in a patient would require a lower loading dose. The maintenance dose of a given drug is determined using the drug's **clearance (CL)**. For some drugs, the CL is determined by drug metabolism via specific drug enzymes that also are under genetic regulation. With a greater CL, a higher maintenance dose is required; conversely, a patient with a lower CL would require a lower maintenance dose. The half-life ($t_{1/2}$) of a drug determines its dosing interval. Here, the Vd and CL influence the $t_{1/2}$. With a larger volume of distribution, the drug has to "travel" farther to the eliminating organ for removal from the body. If the CL is held constant, the increased Vd results in a longer $t_{1/2}$ and the drug will remain in the body longer, meaning that the dosing interval, the time to the next dose, will be longer. For a drug that is eliminated from the body by metabolic routes, an increase in CL is related to increased metabolism. This results in a shorter $t_{1/2}$. With a decrease in metabolism, the CL decreases and the $t_{1/2}$ increases. The relationships among Vd, CL, and $t_{1/2}$ are presented in the Key Equations list. Numerous factors influence these relationships, including the patient's genetic constitution. Many of these relationships are discussed further in this chapter.

A number of pharmacokinetic/pharmacodynamic resources describe the mathematical detail of drug-concentration, concentration-effect relationships. The equations in this chapter are presented only to provide a conceptual “framework” of altered pharmacokinetics, here related to PGx.

Absorption and Bioavailability

Oral drug absorption is the process by which a drug moves from the gastrointestinal lumen, crosses biologic membranes, and reaches systemic circulation. With oral administration, the drug travels down the esophagus to the stomach and then to the small intestine. Although some drug can be absorbed from the stomach, it is the small intestine that is the main site of drug absorption. The small intestine’s large surface area, permeable membranes, and capillary blood flow create a favorable environment for drug absorption.^{1,2}

In order for a drug to be absorbed, it must first be in solution. With oral administration, dissolution of the dosage form, such as a tablet or capsule, results in the drug being in solution in the gastrointestinal lumen, thus creating a concentration gradient of drug across the membranes of the small intestine. This creates a favorable situation for drug absorption, especially via passive diffusion. While passive diffusion is a major mechanism of drug absorption, other absorption mechanisms include active transport, facilitated diffusion (facilitated transport), pinocytosis, and ionic diffusion.³ When considering mechanisms of absorption, variability in drug absorption has been related to drug transporters, both **uptake (influx) transporters** and **efflux transporters**, which are controlled by the patient’s genetic constitution.^{4,5}

Oral drug absorption is characterized by the drug’s bioavailability, which has clinical relevance. **Bioavailability (F)** can be defined as the rate and extent to which a drug (the active ingredient) is absorbed from a drug product and reaches the general systemic circulation unchanged, being made

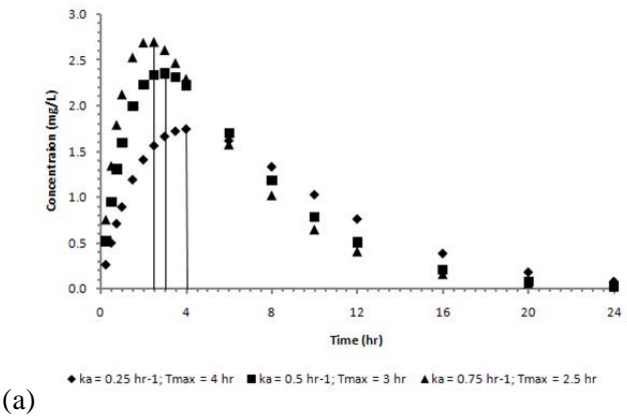
available to the site of action; that is, once a drug reaches systemic circulation it can be “delivered” to the site of action.⁶ As per-oral (po; oral) dosing is the most common route of drug administration, it is the absorption of the drug from the gastrointestinal tract that is of interest and defines oral bioavailability, which will be discussed here. Upon oral drug administration, the dosage form (e.g., tablet, capsule) moves down the esophagus to the stomach. Although some of the drug may be released from its dosage form and absorbed from the stomach, it is the large surface area of the small intestine, with villi and microvilli that is the main site of drug absorption. Once drug molecules move across the gastrointestinal wall via various mechanisms, they are carried to the liver, via hepatic portal vein blood flow, where they may be metabolized. Drug that passes through the liver and reaches systemic circulation is considered to be bioavailable.

The rate of drug absorption is one component of its defined bioavailability. For most clinical purposes, the rate of drug absorption is adequately expressed by the parameter T_{\max} . This parameter represents the time of occurrence of the maximum drug concentration following extravascular (e.g., oral) dosing of a drug and is determined by the **absorption rate constant (k_a)** and the **elimination rate constant (k_e)**. The k_a is a rate constant representing the first-order absorption rate of a given drug. The k_e is the rate constant representing the first-order elimination rate of the drug. **Figure 2-2a** presents the concentration versus time profiles of a given drug following oral administration where only the absorption rate constant is altered. **Figure 2-2b** presents the concentration versus time profiles of a given drug following oral administration where only the elimination rate constant is altered for three metabolizer “types” (i.e., poor metabolizer, intermediate metabolizer, extensive/normal metabolizer).

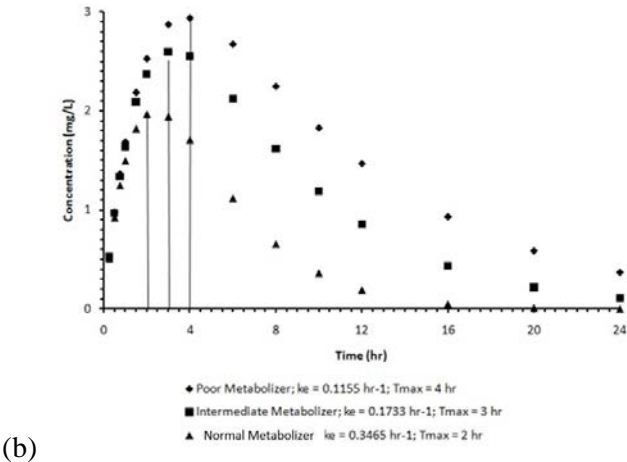
Genetic–Kinetic Connection: T_{\max}

An individual may have the genetic constitution that results in the production of an enzyme that is efficient in metabolizing a given drug. In this case, the patient is considered to be an **extensive/normal metabolizer (EM/NM)** of that drug, and the k_e for the drug in this patient is increased relative to that of an **intermediate metabolizer (IM)** or a **poor metabolizer (PM)**. Because the k_e is increased in this individual, indicating that the drug is eliminated faster, the T_{\max} will occur sooner. Here, the highest concentration of the drug in this individual will occur sooner rather than later (see Figure 2-2b).

The extent of drug absorption is defined by two parameters: the **maximum concentration (C_{\max})** and the area under the drug concentration versus time curve (AUC_{po}). As a component of bioavailability, the values of these parameters for an orally administered drug are compared to those of the same dose of the drug administered intravenously. Equation 1 describes the calculation of a drug's absolute bioavailability, comparing the **area under the curve (AUC)** obtained



(a)



(b)

Figure 2-2 (a) The time of the occurrence of the maximum concentration of a drug (T_{\max}) is dependent, in part, on the absorption rate constant (k_a). With the elimination rate constant (k_e) fixed, as the k_a increases, the sooner the drug reaches its maximum concentration. The T_{\max} is one of the parameters used to describe a drug's bioavailability. (b) The time of the occurrence of the maximum concentration of a drug (T_{\max}) is dependent, in part, on the elimination rate constant (k_e). With the absorption rate constant (k_a) fixed, an increased k_e represents increased drug elimination with the maximum concentration being observed earlier (T_{\max} occurring sooner). Here, with the examples of a poor metabolizer, intermediate metabolizer, and an extensive/normal metabolizer. T_{\max} is one of the parameters used to describe a drug's bioavailability.

following oral dosing to that obtained after intravenous dosing:

$$F = \frac{AUC_{po}}{AUC_{iv}} \cdot \frac{Dose_{iv}}{Dose_{po}} = \frac{\left(\frac{AUC}{Dose}\right)_{po}}{\left(\frac{AUC}{Dose}\right)_{iv}} \quad (\text{eq. 1})$$

Drug administered via the intravenous route is placed directly into systemic circulation, with 100% of the dose reaching systemic circulation, something considered to be “absolute.” The ratio of the dose normalized AUC_{po} to the dose normalized AUC_{iv} provides the fraction of the oral dose of the drug that reaches systemic circulation and is termed the absolute bioavailability of the drug and is considered the oral bioavailability.

Genetic-Kinetic Connection: C_{\max} and AUC

An individual may have the genetic constitution that results in the production of an enzyme that is inefficient with respect to drug metabolism. In this case, the patient is considered to be a poor metabolizer of that drug, and the C_{\max} and AUC for the drug in this patient is increased relative to an intermediate metabolizer or an extensive/normal metabolizer. Such an individual may be at risk of experiencing toxicity, because the drug concentrations will be relatively high (see **Figure 2-3**).

A number of drugs must be “bioactivated” before being able to exert their effects and are administered in the form of a **prodrug**.⁷ The bioavailability related to a prodrug points to the active drug reaching systemic circulation. The active drug is formed by metabolic conversion of the “parent” compound. With oral dosing, as the drug moves along the gastrointestinal tract and reaches the small intestine it is presented to and absorbed through

the gut wall and then travels to the liver via portal blood flow. Metabolic conversion can take place in the gut wall and/or the liver, with the active drug then reaching systemic circulation. With efficient conversion of the prodrug to the active compound in the gut wall and/or the liver, the active compound will be bioavailable. In the case of inefficient metabolic conversion of a prodrug, more of the parent compound will reach systemic circulation because it will not have been converted to the active compound. **Figure 2-4** shows the concentration versus time profiles for the parent compound and the active compound in an extensive/normal metabolizer and a poor metabolizer.

The bioavailability of a drug is the fraction of the dose that reaches systemic circulation unchanged and is “made available” to the site of action. Conceptually, this fraction is a product of the fraction of the dose of the drug absorbed (f_a) and the fraction of

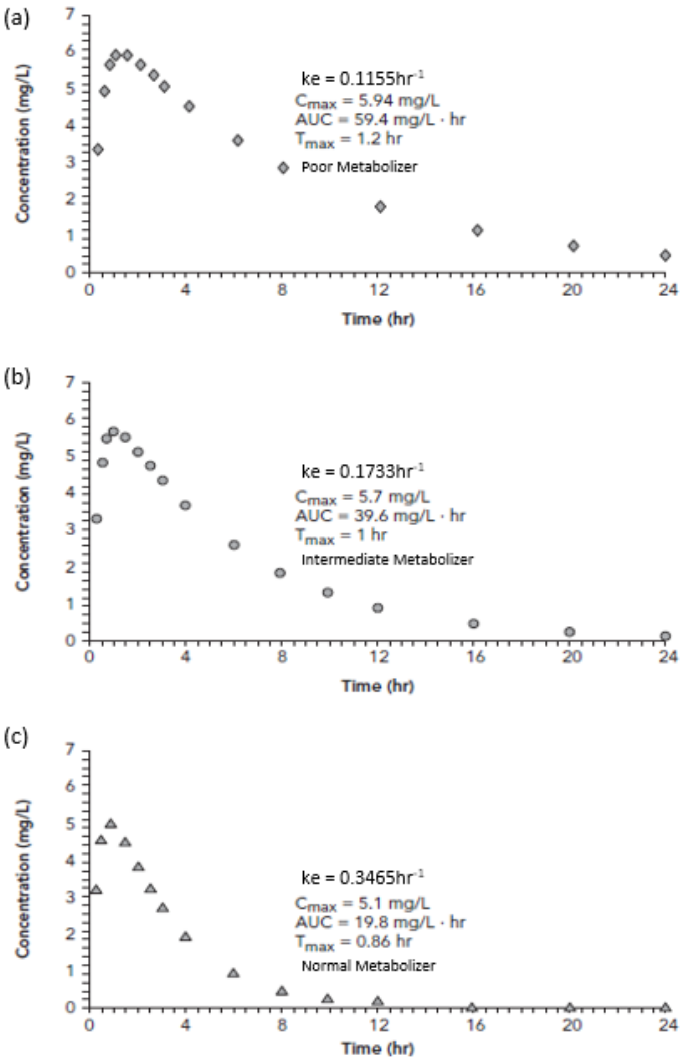


Figure 2-3 As the elimination rate constant (k_e) increases the C_{max} and AUC are lower and the T_{max} occurs earlier. Panel (a) shows the concentration versus time data for a drug that reaches systemic circulation when the k_e is 0.1155 hr^{-1} . The relatively low elimination rate constant may be seen in a poor metabolizer and result in higher drug concentrations. Panels (b) and (c) show the concentration versus time data when the k_e is increased to 0.1733 hr^{-1} and 0.3465 hr^{-1} , respectively, as may be seen in an intermediate metabolizer and an extensive/normal metabolizer.

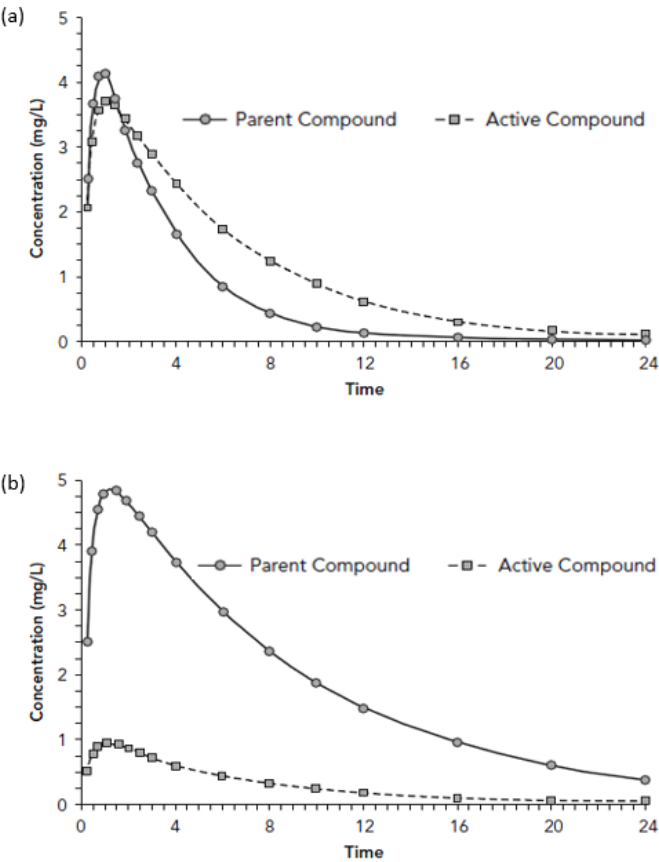


Figure 2-4 Concentration vs. time profile of parent compound (prodrug) and active compound in an extensive/normal metabolizer (EM/NM; panel a) and a poor metabolizer (PM; panel B).

of the dose of the drug that escapes hepatic first-pass metabolism (ffp ; first pass through the liver; Equation 2):

$$F = fa \cdot ffp \quad (\text{eq. 2})$$

The fraction of the dose absorbed can further be defined as the fraction of the dose of the drug that is available for absorption (i.e., that which is neither metabolized/degraded in the gastrointestinal lumen nor eliminated in the feces; ff) and the fraction of the dose of the drug that avoids gastrointestinal wall metabolism and/or efflux (fg). Equation 3 defines the bioavailability of a drug as:

$$F = (ff \cdot fg) \cdot ffp \quad (\text{eq. 3})$$

Gastrointestinal Wall Influx and Efflux Transporters

Drug molecules that are available for absorption may be “taken up” into intestinal epithelial cells and made available to portal blood flow by influx transporters that serve as a mechanism of drug absorption.⁸ Along with other mechanisms of absorption, facilitated transport is recognized as a contributing factor to the bioavailability of some compounds. For instance, a number of organic anion transporting polypeptides (OATP) act as influx transporters.⁹ **Table 2-1** lists examples of influx (and efflux) transporters found in the intestinal epithelia that impact drug absorption, thus influencing the bioavailability of drugs that are substrates for such transporters.

Table 2-1 Examples of Gastrointestinal Transporter Genes, Transporters, and Drug Substrates.

Gene	Transporter/Type	Example Substrates
<i>SLC01A2</i>	OATP/influx	OATP1: enalapril; OATP2: digoxin, thyroxine, pravastatin; OATP1/P2: fexofenadine
<i>SLC15A1</i>	PEPT1/influx	β-lactam antibiotics, ACE inhibitors
<i>SLC10A2</i>	ASBT/influx	Benzothiazepine, dimeric bile acid derivatives
<i>SLC16A1</i>	MCT1/influx	Salicylic acid, nicotinic acid
<i>ABCC2</i>	MRP2/efflux	Tamoxifen
<i>ABCG2</i>	BCRP/efflux	Methotrexate, mitoxantrone
<i>ABCB1</i>	P-gp/efflux	Lansoprazole

Genetic–Kinetic Connection: Influx Transporters, F, and C_{ss,ave}

An individual may have the genetic constitution that results in the overproduction of a protein that acts to move drug from within the gastrointestinal lumen into the epithelial cells (i.e., an influx transporter). If the drug avoids efflux and/or gastrointestinal epithelial metabolism and escapes first-pass metabolism, the bioavailability will increase for that given drug:

$$\uparrow F = (ff \cdot \uparrow fg) \cdot ffp$$

The increased bioavailability will result in a higher drug concentration (Equation 4):

$$\uparrow C_{ss} = \frac{\uparrow F \cdot Dose}{CL \cdot \tau} \qquad (eq. 4)$$

Here, the individual may be at risk of toxicity as the resultant drug concentration may be too high.

Drug molecules available for absorption may not traverse the gastrointestinal wall because efflux transporters move drug back into the gastrointestinal lumen.^{4,5,9,10} These efflux transporters are proteins embedded in the cell membrane that remove drug from the cells. Although these transporters are found on many different cell membranes, the discussion here will focus on the gastrointestinal epithelium.

A number of efflux transporters can impact the bioavailability of a given drug. Two superfamilies of efflux transporters have been studied extensively. These include the adenosine triphosphate (ATP) binding cassette transporters (ABC transporters), which include P-glycoprotein (P-gp), among others, and the solute carrier transporters (SLC transporters).^{5,11,12}

As drug in solution crosses the intestinal epithelium, efflux transporters move the drug back to the gastrointestinal lumen. Here, the fraction of the drug that avoids gastrointestinal wall efflux (fg) decreases, and thus bioavailability (F) is decreased. The resultant concentration of the drug in the blood also would be decreased:

$$\downarrow C_{ave}^{ss} = \frac{\downarrow F \cdot Dose}{CL \cdot \tau}$$

Genetic-Kinetic Connection: Efflux Transporters, F , and $C_{ss,ave}$

An individual may have the genetic constitution that results in the overexpression (overproduction) of a protein that acts to move drug from within gastrointestinal epithelium cells back into the gastrointestinal lumen (i.e., an efflux transporter; e.g., P-glycoprotein). In this case, less of the given drug in this patient avoids efflux (fg), and F is decreased:

$$\downarrow F = (ff \cdot \downarrow fg) \cdot ffp$$

The decreased bioavailability will result in a lower drug concentration:

$$\downarrow C_{ave}^{ss} = \frac{\downarrow F \cdot Dose}{CL \cdot \tau}$$

Here, the individual may be at risk of treatment failure because the drug concentrations will be relatively low (i.e., subtherapeutic) (see **Figure 2-5**). The dose of the drug may need to be increased or an alternative drug may need to be used.

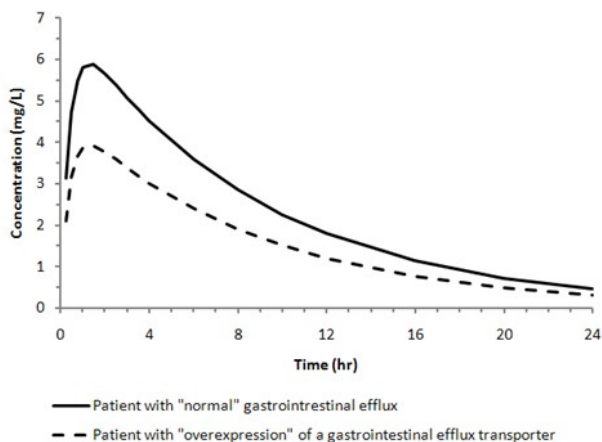


Figure 2-5 Overexpression of an efflux transporter in the gastrointestinal tract results in a decrease in the fraction of the dose that avoids efflux, thus decreasing bioavailability and drug concentration.

Efflux transporters in the gastrointestinal tract can play a major role in the bioavailability of drugs that require transport across the gut wall. Succinctly, with all other processes remaining constant relative to the pharmacokinetics of a drug, “overexpression,” or increased activity of gastrointestinal efflux transporters, results in decreased bioavailability and lower systemic drug concentrations, whereas “underexpression,” or decreased activity of gastrointestinal efflux transporters, results in increased bioavailability and higher systemic drug concentrations.

Gastrointestinal Wall Metabolism

As drug in solution in the gastrointestinal lumen makes its way into the gastrointestinal epithelium, it may be subject to metabolism by enzymes in the epithelium. Drug metabolized by gastrointestinal wall enzymes does not reach systemic circulation, and thus results in decreased bioavailability; that is, the fraction of the dose avoiding gastrointestinal wall metabolism (f_g) decreases, as does the fraction of the dose that reaches circulation (Equation 3). This, too, will affect the drug concentration. Although there is large interindividual variability in the content of gastrointestinal wall cytochrome P450 isozymes, the average percent content of CYP3A, CYP2C9, CYP2C19, CYP2J2, and CYP2D6 in the gastrointestinal tract is 82%, 14%, 2%, 1.4%, and 0.7%, respectively.¹³

Poor metabolizers would be expected to have more drug avoid gut wall metabolism. Conversely, extensive/normal and ultrarapid metabolizers would be expected to have less drug avoid gut wall metabolism. Not only will less drug reach the portal vein to be carried to the liver, but hepatic metabolism will further affect the amount of drug that reaches systemic circulation. In the case of individuals who are extensive/normal or ultrarapid metabolizers, too little of the drug may be available systemically to be effective, and other therapeutic modalities may be required. **Figure 2-6** shows the relative differences in the concentration versus time data for a poor metabolizer, an intermediate metabolizer, an extensive/normal metabolizer (wild-type), and an ultrarapid

metabolizer. Note that the identification of a “rapid metabolizer” phenotype (not shown) results in a curve falling between the normal metabolizer and ultrarapid metabolizer. With ultrarapid metabolizers, an alternative therapy may be required, because the drug concentration may not achieve therapeutic levels.

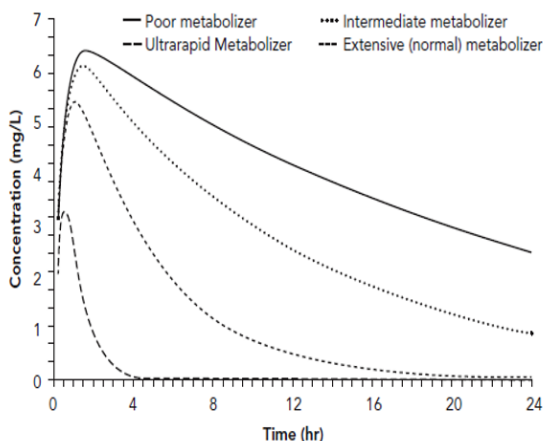


Figure 2-6 Gastrointestinal wall metabolism influences the bioavailability of a given drug. Compared to the extensive/normal (wild type) metabolizer (EM/NM), the poor metabolizer (PM) exhibits a concentration versus time profile with a T_{\max} that occurs later and a C_{\max} and AUC that are higher. The intermediate metabolizer (IM) falls between the PM and the EM/NM. The EM/NM and UM have a T_{\max} that occurs earlier, and a C_{\max} and AUC that are lower, relative to the IM and PM. The bioavailability for a given drug in each individual may be different, due, in part, to genetic (single nucleotide polymorphism) differences between the individuals.

Genetic–Kinetic Connection: Gut Wall Metabolism

An individual may have the genetic constitution that results in CYP2C19 ultrarapid metabolism, (e.g., the *17/*17 genotype). In this case, following per-oral administration of a CYP2C19 substrate drug, less of the drug avoids gastrointestinal wall metabolism (fg), and F is decreased:

$$\downarrow F = (ff \cdot \downarrow fg) \cdot ffp$$

The decreased bioavailability will result in a lower drug concentration:

$$\downarrow C_{ave}^{ss} = \frac{\downarrow F \cdot Dose}{CL \cdot \tau}$$

Here, the individual may be at risk of treatment failure because the drug concentrations will be relatively low. The dose of the drug may need to be increased, or an alternative drug may need to be used.

Hepatic First-Pass Metabolism

Following oral dosing, drug that is available for absorption and that avoids gastrointestinal efflux and gut wall metabolism is carried via hepatic portal blood flow to the liver, where it may be subject to hepatic metabolism, thus undergoing first-pass metabolism. Drug that escapes hepatic metabolism and reaches systemic circulation is said to be bioavailable.

The same potential differences exist for drug metabolism in the liver as were described for gut wall metabolism. Drug that does make it to the liver may be efficiently metabolized in a patient who is an extensive/normal metabolizer or an ultrarapid metabolizer, leaving little drug reaching systemic circulation. Conversely, the patient may be a poor metabolizer with inefficient hepatic metabolism, thus allowing a higher fraction of the drug to reach systemic circulation, resulting in relatively higher bioavailability. The percentage content of cytochrome P450s in the liver has been reported to be 40%, 25%, 18%, 9%, 6%, 2%, and <1%, for CYP3A, CYP2C, CYP1A2, CYP2E1, CYP2A6, CYP2D6, and CYP2B6, respectively.¹⁴

Genetic–Kinetic Connection: Hepatic First-Pass Metabolism

An individual may have the genetic constitution that results in the under expression of a drug-metabolizing enzyme (e.g., CYP2C19). In this case, more of the given drug in this patient avoids hepatic first-pass metabolism (ffp), and F is increased:

$$\uparrow F = (ff \cdot fg) \cdot \uparrow ffp$$

The increased bioavailability will result in a higher drug concentration:

$$\uparrow C_{ave}^{ss} = \frac{\uparrow F \cdot Dose}{CL \cdot \tau}$$

Here, the individual may be at risk of toxicity because the drug concentration will be relatively high. The dose of the drug may need to be decreased, or an alternative drug may need to be used.

Gastrointestinal Wall Efflux, Metabolism, and Hepatic First-Pass Metabolism

The genetic constitution of an individual will influence each of the variables that determine bioavailability. For instance, a

patient may overexpress the efflux transporter protein P-gp while also being an ultrarapid metabolizer who overexpresses CYP2C19. If a drug is subject to efflux by P-gp and is a metabolic substrate for CYP2C19, the bioavailability of that drug would be expected to be quite low because the fraction avoiding efflux, escaping gut wall metabolism, and escaping hepatic first-pass metabolism would be low:

$$\downarrow\downarrow\downarrow F = (ff \cdot \downarrow\downarrow fg) \cdot \downarrow ffp$$

A drug “handled” in this way by the body may not be suitable for oral administration and may need to be administered by a route that avoids gastrointestinal efflux, gastrointestinal wall metabolism, and first-pass metabolism, such as the intravenous or sublingual route, or an alternative drug may need to be used. **Figure 2-7** shows the potential consequences for a drug molecule relative to oral absorption and bioavailability. Recognize that all the potential processes of a given drug’s absorption are influenced by the patient’s genetic constitution.

Distribution and Volume of Distribution

Influx and efflux transporters are found in many tissues and play a role in the distribution of drugs throughout the body. As discussed previously, transporters in the gastrointestinal epithelium can influence drug absorption and bioavailability. However, these transporters do not influence the distribution of a drug because distribution occurs after the drug reaches systemic circulation. The volume of distribution (Vd) is the proportionality constant relating the amount of drug in the body to the drug concentration.

As traditionally described, the volume of distribution is a primary independent pharmacokinetic parameter that influences the half-life (Equation 5) and is used in the calculation of a drug’s loading dose (Equation 6):¹⁵

$$t_{1/2} = \frac{0.693 \cdot Vd}{CL} \quad (\text{eq. 5})$$

$$D_L = C \cdot Vd \quad (\text{eq. 6})$$

Alterations in a drug's volume of distribution can effect the drug's plasma concentration and its efficacy and/or the likelihood of producing toxicity. Rearrangement of Equation 6 shows the implications of an altered volume of distribution relative to the drug concentration:

$$C = \frac{D_L}{Vd} \quad (\text{eq. 6a})$$

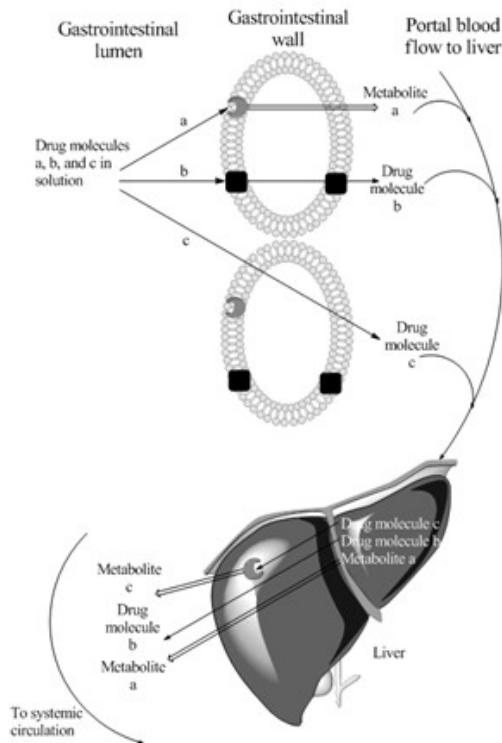


Figure 2-7 Drug being absorbed from the gastrointestinal tract. Upon oral dosing of a given drug, drug molecules a, b, and c, in solution in the gastrointestinal tract, are presented for absorption. Drug molecule (a) is metabolized by an enzyme in the gastrointestinal wall and does not reach systemic circulation and is therefore not bioavailable. The resulting metabolite travels to the liver, via portal blood flow, and then to systemic circulation. Drug molecule (b) is transported, via a protein, from the gastrointestinal lumen to portal blood flow, where it travels to the liver. The molecule moves through the liver and reaches systemic circulation, thus being bioavailable. Drug molecule (c) passively diffuses through the gastrointestinal wall and travels to the liver via portal blood flow. The drug molecule does not reach systemic circulation and is not bioavailable as it is metabolized in the liver (i.e., first-pass metabolism).

Equations 5, 6, and 6a represent relationships for a one-compartment pharmacokinetic model where a drug distributes efficiently throughout the body and administration and elimination are into and from a single compartment. This model describes drugs that exhibit a single declining slope on a semi-log plot, following the maximum concentration, when concentrations are observed over time following drug administration.

Following drug administration, many drugs however exhibit more than one declining log-concentration slope over time, suggesting that the drug distributes at different rates into different tissues and that the rate of elimination is slower than the rate of distribution. In this case, the drug concentration versus time data are best described by multi-compartment models. Here, these models describe drug typically administered into the initial volume (V_1), which represents a component of the total volume of distribution (V_{ss}). Ideally, the initial volume of distribution is calculated by dividing the intravenous push (bolus) dose by the initial drug concentration observed immediately after administration of the intravenous push dose. By definition, volumes of a multi-compartment model are additive, thus V_1 (the volume of the first compartment) is smaller than the V_{ss} . Typically, for a multi-compartment model, V_1 is relatively small because immediately after a push dose drug has not yet moved into slowly perfused tissues (i.e., the drug has not equilibrated with other tissue volumes). Also in these models, drug is typically shown to be eliminated from V_1 because the major, “high blood flow” eliminating organs (i.e., kidneys and liver) are considered to be in V_1 . Relationships of

pharmacokinetic parameters and calculation of the loading dose for a multi-compartment drug as shown in Equations 7 and 7a are related to a multi-compartment model and are similar to the equations for a one-compartment model (Equations 6 and 6a).

$$D_L = C \cdot V_1 \text{ or } D_L = C \cdot V_{ss} \quad (\text{eq. 7})$$

$$C = \frac{D_L}{V_1} \text{ or } C = \frac{D_L}{V_{ss}} \quad (\text{eq. 7a})$$

For calculation of the loading dose for a drug that has its concentration versus time profile best described by a multi-compartment model, V_1 is used when distribution from the first compartment to the other compartments is relatively slow, whereas V_{ss} is used when distribution from the first compartment to other compartments is relatively rapid.

The distribution of a given drug may depend on the function of a drug transporter such that its overexpression or underexpression alters the volume of distribution, which then may alter the half-life. Additionally, as we have learned more regarding the location and function of certain transporters, it has become clear that in some cases there is a relationship between the volume of distribution and clearance, a measure of drug removal from the body, that may or may not influence the half-life.¹⁶

As noted earlier, P-gp, an efflux transporter, is expressed in many tissues in the human body, including the liver, kidney, lung tissue, and, to a lesser extent, muscle, mammary glands, and other tissues. As P-gp works to keep drugs out of tissues, underexpression of P-gp would allow for greater distribution of a P-gp substrate drug; the drug would not be removed from the tissue as it would if P-gp were normally expressed. This would increase the volume of distribution of the drug. If the V_d or V_1 (and hence V_{ss}) of the drug were to be the only altered parameter, it would be expected, that the $t_{1/2}$ would be increased also (Equation 5). It also is noted that the calculated loading dose would be higher (Equations 6 and 7). The above scenario implies

that the tissue “protected” by P-gp would not serve to metabolize and/or eliminate the given drug, because the volume of distribution was the sole parameter that was altered, with clearance remaining unchanged.^{12,16}

Genetic–Kinetic Connection: Drug Distribution, Vd, CL, $t_{1/2}$, and Drug Concentration

An individual with a reduced-function allele for OATP1B1 (resulting in underexpression in liver tissue) is receiving atorvastatin for the treatment of hypercholesterolemia. Atorvastatin is a substrate for OATP1B1 and is metabolized in the liver. The genetic constitution of this individual results in a decreased volume of distribution and a decreased clearance of atorvastatin:

$$\leftrightarrow t_{1/2} = \frac{0.693 \cdot V_d \downarrow}{CL \downarrow}$$

Here, conceptually, it would be expected that the drug concentration would increase because the initial dose is administered into what is effectively a smaller volume of distribution in this individual:

$$\uparrow C = \frac{D_L}{V_d \downarrow}$$

Additionally, as a maintenance dose (D_M) is continued in this individual, the average steady-state concentration will be increased further as the clearance is decreased (Equation 8): $D_M = CL \cdot C_{ave}^{ss}$

$$\uparrow C_{ave}^{ss} = \frac{F \cdot Dose}{\downarrow CL \cdot \tau} \quad (\text{eq. 8})$$

Although the $t_{1/2}$ may not be altered, increases in the drug concentration put the patient at potential risk of toxicity.

Table 2-2 Examples of Overexpression of Drug Transporters in Tissues in Humans and Effects on the Volume of Distribution and the Drug Concentration

Transporter (Type)	Example Tissue	Gene	Effect on Volume of Distribution	Effect on the Plasma Drug Concentration
P-gp (efflux)	Liver	<i>ABCB1</i>	Decrease	Increase
OATP1B1 (influx)	Brain	<i>SLC01B1</i>	Increase	Decrease
OCT1 (influx)	Kidney	<i>SLC22A1</i>	Increase	Decrease

The influx transporter OATP1B1, an organic anion transporter, is expressed in human liver tissue. If a given drug is an uptake substrate for OATP1B1 and also is metabolized in the liver, alterations in the expression of OATP1B1 will have an effect on the volume of distribution and on the clearance of the drug.^{17–19} Here, Vd and CL will change in the same direction. The magnitude of change in each parameter will determine whether the $t_{1/2}$ remains constant or is altered (Equation 5). In this situation, clearance is related to the Vd of the drug.

The relationship between Vd and CL can be thought of as a

relationship between the physical volume in which the drug resides and the functional mechanism of drug elimination that occurs in that volume.

The genetically controlled tissue expression of a given drug transporter is critical in understanding how a drug's pharmacokinetics are related to the drug concentration. **Table 2-2** shows the tissue distribution of example drug transporters in humans and how genetic variation influences drug distribution and drug concentration.

Metabolism

Many drugs are not excreted from the body unchanged; therefore, they require metabolic conversion to be inactivated and primed for removal via excretory pathways. Genetic variation in the expression and/or activity of drug metabolizing enzymes can have a profound effect on the concentration versus time profiles of these drugs and, more importantly, on the therapeutic outcomes of drug therapy. With two phases of drug metabolism, the potential exists for genetic variability to disrupt drug metabolism, especially for a drug which undergoes each phase of metabolism.

Phase I metabolism refers to chemical reactions involving oxidation, reduction, or hydrolysis. These reactions work to make the drug more polar by adding functional amino, sulfhydryl, hydroxyl, and carboxyl groups that make the given drug more hydrophilic, thus promoting excretion of the drug from the body, such as being eliminated in urine.²⁰ **Phase II metabolism** typically refers to conjugation reactions, including glucuronidation, sulfation, acetylation, and methylation, among other reactions. Phase II metabolism, like phase I metabolism, works to make molecules more water soluble, promoting drug excretion. Both phase I and phase II metabolic reactions are under genetic control, and polymorphisms have been identified for specific enzymes that perform these metabolic functions.

A drug undergoing phase I metabolism may be converted to

inactive metabolite(s) that may be excreted or act as substrate(s) for phase II metabolic reactions. Alternatively, a drug may undergo phase I metabolism, resulting in the drug being “activated,” which is the premise for the development of prodrugs. Finally, in some cases, the “inactivation” of a drug by phase I and/or phase II metabolism may result in the formation of a toxic metabolite. In this case, the drug is inactivated and no longer produces the desired therapeutic response; however, the metabolite is toxic, eliciting an adverse reaction or unwanted effect.

With respect to phase I oxidative metabolism, the **cytochrome P450 enzyme (CYP)** superfamily has been the focus of significant research. Although there are numerous CYP enzyme families, three families in particular (CYP1, CYP2, and CYP3) encompass the major drug metabolizing enzymes, with CYP3A being the most prominent.²¹ **Table 2-3** presents examples of the CYP enzymes with polymorphisms involved in drug metabolism and the tissues in which these enzymes are expressed. **Figure 2-8** shows the nomenclature for cytochrome P450 enzymes, and **Figure 2-9** presents the contribution of various CYPs in drug metabolism.

Table 2-3 Examples of Cytochrome P450 Drug Metabolizing Enzyme Tissue Expression, Allele Variation, Metabolic Consequence, and Influence on Drug Concentration

CYP Enzyme	Example Tissue Expression	Gene (SNP) rs# ^a	Primary Pharmacokinetic Alteration	Effect on Drug Concentration
CYP2C9	Small intestine/ liver	CYP2C9*2 (C.430C>T) rs1799853	Poor metabolizer: increased <i>ffp</i> , decreased CL	Increased fraction of drug dose presented to the liver. Increased concentration.
CYP2C19	Liver	CYP2C19*2 (681G>A) rs4244285	Poor metabolizer: decreased CL	Increased concentration.
CYP2D6	Liver	CYP2D6*4 (1846G>A) rs3892097	Poor metabolizer: decreased CL	Increased concentration.

^a Reference SNP (refSNP) number. These numbers are unique and consistent identifiers of the given SNP.

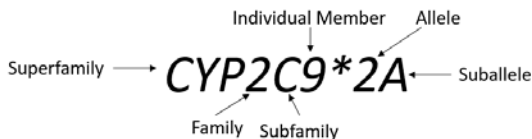


Figure 2-8 Nomenclature for the cytochrome P-450 (CYP) alleles. The established nomenclature system for alleles of the cytochrome P-450 (CYP) superfamily designates “CYP” followed by family number, subfamily letter, and individual subfamily number. Allelic differences are defined by number or a number and letter following an asterisk (*).

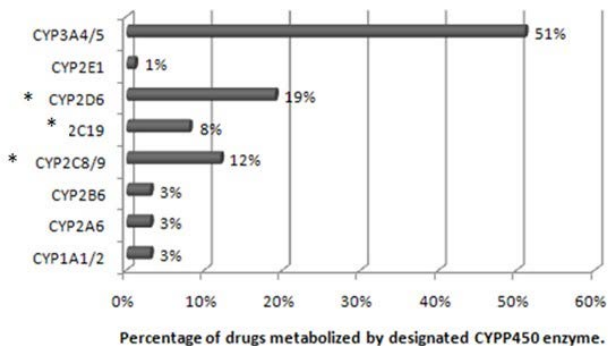


Figure 2-9 Percentage of drugs metabolized by designated cytochrome P450 (CYP) enzymes. Polymorphic (*) expression of certain CYP enzymes confounds the concentration vs. time profile of the drug and may alter the therapeutic response in individuals, thus requiring specific dosing considerations.

Because metabolism of a given drug influences the clearance of that drug and clearance is used to calculate the maintenance dose, identifying a single nucleotide polymorphism (SNP) related to a given CYP enzyme can aid in “personalizing” an individual’s dose. Single nucleotide polymorphisms can result in a patient handling a drug in such a manner that they would be considered to be a particular “type” of metabolizer. Homozygous individuals with a polymorphism resulting in the expression of a “loss-of-function”/“no function” (inactive) or “reduced-function” CYP enzyme would be considered a poor metabolizer. These individuals would have a decreased clearance of substrate drugs and would require a lower maintenance dose to achieve the desired therapeutic response. Individuals who

are heterozygous, with one allele producing a loss-of-function or decreased-function enzyme and the other producing a normal-function enzyme, are termed intermediate metabolizers. These individuals may require a lower maintenance dose because they would have a decreased clearance. However, the reduction in clearance would not be as great as that seen in a poor metabolizer, and the required maintenance dose would not be as low. Extensive/normal metabolizers are individuals who have two normal function alleles, and would receive the “normal” maintenance dose. The fourth type of individual would be one in whom there is gene duplication with a consequential overexpression of the CYP enzyme, resulting in a high clearance of the drug, necessitating a higher maintenance dose. These individuals are called **ultrarapid metabolizers (UM)**.

Terminology used to describe the types of phenotypic metabolizers insinuates two concepts related to drug metabolism. The first is the extent of metabolism, and the second is the rate of metabolism. These terms are related to pharmacokinetics in that the phenotypic category of a given individual (i.e., poor metabolizer, intermediate metabolizer, extensive/normal metabolizer, or ultrarapid metabolizer) implies the characteristics of specific pharmacokinetic parameters and dosing requirements. As described above, clearance is the primary pharmacokinetic parameter that is affected by an individual's genetic constitution. This will result in a potential alteration in the half-life because it is dependent on the clearance (and the volume of distribution). Also, an altered clearance will impact the maintenance dose, and the half-life will influence the dosing interval. **Table 2-4** describes the impact of **phenotype** on pharmacokinetic parameters related to metabolism and dosing considerations.

It is important to understand that an individual's **genotype** may *not* match their phenotype, in that influences other than genetics can alter the expression of a metabolizing enzyme. For instance, an individual with the *1/*1 genotype for *CYP2C19* (*CYP2C19**1/*1) would be considered an extensive/normal metabolizer. However, if this individual is receiving a certain

proton pump inhibitor (PPI), such as omeprazole, for the treatment of esophageal reflux disease, the PPI may inhibit the function of CYP2C19, thus causing the individual to effectively be a poor metabolizer.²² Here, due to the drug interaction, the individual has the phenotype of a poor metabolizer. It is always important to consider drug–gene interactions and drug–drug interactions simultaneously, here considered a drug-drug-gene interaction.

Table 2-4 Metabolic Phenotypes: Pharmacokinetic and Dosing Consequences^a

Phenotype	Pharmacokinetic Parameter	Consequence	Dosing	Potential Consequence
Poor metabolizer (PM)	k_e ; elimination rate constant/ $t_{1/2}$; half-life (related to k_e)	↓↓/↑↑	Dosing frequency	↓↓
	CL; clearance	↓↓	Maintenance dose	↓↓
Intermediate metabolizer (IM)	k_e ; elimination rate constant/ $t_{1/2}$; half-life (related to k_e)	↓/↑	Dosing frequency	↓
	CL; clearance	↓	Maintenance dose	↓
Extensive/Normal metabolizer (EM/NM)	—	—	—	—
Rapid Metabolizer (RM)	k_e ; elimination rate constant/ $t_{1/2}$; half-life (related to k_e)	↑/↓	Dosing frequency	↑
	CL; clearance	↑	Maintenance dose	↑
Ultrarapid metabolizer (UM)	k_e ; elimination rate constant/ $t_{1/2}$; half-life (related to k_e)	↑↑/↓↓	Dosing frequency	↑↑
	CL; clearance	↑↑	Maintenance dose	↑↑

^a Relative to the extensive/normal metabolizer being considered “normal,” with the same dose being administered to each individual with a given phenotype: ↓ = decreased, ↑ = increased. The number of arrows indicates the relative magnitude of the consequence.

Genetic–Kinetic Connection: Drug Metabolism, CL, Dose, and Dosing Interval

An individual with inheritance of alleles resulting in *CYP2D6* gene duplication is receiving doxepin for the treatment of depression. Doxepin, a tricyclic antidepressant, is metabolized by CYP2D6. The genetic constitution of this individual results in the individual being an ultrarapid metabolizer, exhibiting a significantly higher clearance of doxepin. This individual has been taking the drug, but has not been responding. This could be due to the increased clearance of the drug, resulting in low concentrations and drug exposure (Equation 9):

$$\begin{aligned} \downarrow\downarrow C_{ave}^{ss} &= \frac{F \cdot Dose}{\uparrow\uparrow CL \cdot \tau} \\ \downarrow\downarrow AUC &= \frac{Dose}{\uparrow\uparrow CL} \end{aligned} \quad (\text{eq. 9})$$

The increased clearance will require an increased maintenance dose to achieve the desired concentration that would maximize the probability of a therapeutic response:

$$\uparrow\uparrow D_M = \uparrow\uparrow CL \cdot C_{ave}^{ss}$$

Additionally, the significantly higher clearance seen in an individual who is an ultrarapid metabolizer will result in a shorter half-life:

$$\downarrow\downarrow t_{1/2} = \frac{0.693 \cdot Vd}{\uparrow\uparrow CL}$$

If the individual is to remain on the drug, the frequency of administration will need to be increased to maintain therapeutic concentrations. The dosing interval **tau (s)** can be estimated for a rapidly absorbed drug as (Equation 10):

$$\downarrow\downarrow \tau = \frac{\ln\left(\frac{C_{max}}{C_{min}}\right)}{\uparrow\uparrow k_e} \quad (\text{eq. 10})$$

or specifically for an intravenous medication (Equation 10a):

$$\downarrow\downarrow \tau = \frac{\ln\left(\frac{C_{max}}{C_{min}}\right)}{\uparrow\uparrow k_e} + t_i \quad (\text{eq. 10a})$$

where t_i is the infusion time.

Excretion

As previously mentioned, drug influx and efflux transporters are found in many tissues and play a role in the distribution of drugs throughout the body. Not only do these transporters affect

distribution, but they can influence the drug’s removal from the body through drug excretion.

The renal excretion of a drug, moving the compound from the blood to the urine, can be a consequence of genetically mediated drug transport.^{4,23,24} Renal filtration occurs in the glomerulus, and active secretion occurs in the nephron tubules. Both of these sites are “excretory” because drug is moved from the blood to the urine. Relative to tubular secretion, numerous transporters have been identified in kidney tissue, including P-gp (MDR1), OCT1, OAT1, MRP2, cMOAT, and ENT1, among others. **Table 2-5** presents examples of drug transporters in the kidney and their influence on renal drug handling. Transporter distribution among different populations may explain differences in renal excretion of drugs, and SNPs may further delineate drug removal in given individuals.

Table 2-5 Examples of Renal Drug Transporters Responsible for Urinary Excretion

Example Drug	Example Transporter	Renal Drug Process
Cefamandole ^a	OAT1	Renal tubular excretion
Cimetidine ^b	OAT3	Renal tubular excretion
Acyclovir ^b	OCT1	Renal tubular excretion
Amoxicillin ^a	PEPT1	Renal tubular excretion
Zidovudine ^b	OAT4	Renal tubular reabsorption

^a Inhibitor of transporter. ^b Substrate of transporter.

Genetic–Kinetic Connection: Renal Drug Excretion

An individual receiving metformin for treatment of type 2 diabetes may have the genetic constitution that results in the expression of a less active form of the drug transporter OCT1 found on the apical side of the proximal and distal tubules in the kidney. This expression results in decreased uptake of metformin from the plasma, resulting in decreased renal clearance, and hence overall clearance because the clearances are additive (Equation 11):

$$\downarrow CL = \downarrow CL_R \cdot C_{Other} \tag{eq. 11}$$

However, OCT1 is also found in liver tissue, and the decreased activity in this tissue results in decreased hepatic uptake of metformin, which may alter the drug effect (pharmacodynamics).

Similar to renal drug excretion, biliary excretion is another mechanism of drug elimination. Efflux transporters (MDR1, MDR3, and others) move drug from the hepatocyte into the

biliary canaliculi. The drug/metabolite then is moved to the small intestine, where it may be reabsorbed through enterohepatic cycling or excreted from the body in the feces. Therefore,

changes in the level of expression/activity of these transporters within the hepatocytes would be expected to impact biliary drug excretion.

Chapter Summary

The pharmacokinetics of a drug are determined by evaluating the concentration of drug in biologic fluids over time. Drug metabolizing enzymes and drug transporters may influence all aspects of the concentration–time profile, including transporters affecting the volume of distribution, which is used in calculating the loading dose and metabolizing enzymes influencing the clearance, which is used in calculating the maintenance dose. Both the volume of distribution and the clearance influence the elimination rate constant, and hence the half-life, which is used to calculate the dosing interval. It is clear that genetic variation in transporters and metabolizing enzymes are responsible for the varied dosing regimens of the same drug required by different individuals.

Review Questions

1. The study of a gene involved in response to a drug is referred to as:
 - a. pharmacokinetics.
 - b. pharmacodynamics.
 - c. pharmacogenetics.
 - d. pharmacogenomics.

2. The _____ is the main site of drug absorption due to its large surface area, membrane permeability, and capillary blood flow.
 - a. liver
 - b. large intestine
 - c. small intestine
 - d. stomach

3. If an individual is an extensive/normal metabolizer of a drug relative to an intermediate metabolizer or a poor metabolizer, what happens to the k_e and T_{max} of that drug?
 - a. k_e is decreased and the drug is eliminated more slowly; therefore, the T_{max} will occur later.
 - b. k_e is decreased and the drug is eliminated faster; therefore, the T_{max} will occur sooner.
 - c. k_e is increased and the drug is eliminated more slowly; therefore, the T_{max} will occur later.
 - d. k_e is increased and the drug is eliminated faster; therefore, the T_{max} will occur sooner.

4. The _____ of drug absorption is expressed by T_{max} , and the _____ of drug absorption is defined by C_{max} and AUC.
 - a. rate; extent
 - b. extent; rate
 - c. concentration; time
 - d. time; concentration

5. With respect to drug metabolism, which individual, relative to metabolizer status, may be at risk of experiencing toxicity from a standard dose of a particular drug (not referring to a prodrug)?
 - a. Poor metabolizer
 - b. Intermediate metabolizer
 - c. Extensive/normal metabolizer
 - d. Ultrarapid metabolizer

6. Compared to an extensive/normal metabolizer, an ultrarapid metabolizer will need _____ dosing frequency.
 - a. a decreased
 - b. an increased
 - c. the same
 - d. Not enough information has been provided to answer this question.
7. If an individual has a genetic constitution that results in the decreased production of gastrointestinal influx transporters, what will happen to the bioavailability and concentration of a drug that is a substrate for the transporters?
 - a. Bioavailability will increase; the concentration will decrease.
 - b. Bioavailability will increase; the concentration will increase.
 - c. Bioavailability will decrease; the concentration will decrease.
 - d. Bioavailability will decrease; the concentration will increase.
8. How might treatment outcome be affected if less of a dose of drug avoids gastrointestinal wall metabolism in a patient?
 - a. the patient may be at risk of treatment failure due to low drug concentrations.
 - b. he patient may be at risk of toxicity due to high drug concentrations.
 - c. he patient may be at risk of treatment failure due to increased bioavailability.
 - d. he patient may be at risk of toxicity due to increased bioavailability.
9. _____ or _____ activity of gastrointestinal efflux transporters results in decreased bioavailability and potentially lower systemic drug concentrations.
 - a. Underexpression; decreased
 - b. Underexpression; increased
 - c. Overexpression; decreased
 - d. Overexpression; increased

10. With regards to the following equation, if the fraction of a drug that avoids gastrointestinal wall efflux decreases, what would happen to the resultant concentration of the drug in the blood?

$$C_{ave}^{ss} = \frac{F \cdot Dose}{CL \cdot \tau}$$

- a. Decreased
 - b. Increased
 - c. No change
 - d. Not enough information provided to answer the question.
11. An individual has the genetic constitution that shows “loss-of-function” of the drug metabolizing enzyme CYP2C19, and this individual is taking a drug that is metabolized by this isozyme. With regards to hepatic first-pass metabolism, _____ of the given drug avoids metabolism, resulting in _____ bioavailability of the drug.
- a. more; increased
 - b. more; decreased
 - c. less; increased
 - d. less; decreased
12. On average, which cytochrome P450 enzyme has the highest percentage of presence in both the gut wall and the liver?
- a. CYP2C9
 - b. CYP3A4/5
 - c. CYP2C19
 - d. CYP2D6

13. An individual overexpresses the efflux protein P-gp and also is an ultrarapid metabolizer, overexpressing CYP2C19. If a drug is a metabolic substrate for CYP2C19 and is subject to efflux by P-gp, what would be the effect on bioavailability? Consider that: $F = (ff \cdot fg) \cdot ffp$
- Bioavailability would decrease.
 - Bioavailability would increase.
 - Bioavailability would not change.
 - Not enough information has been provided to answer the question.
14. Influx and efflux transporters in the gastrointestinal epithelium can influence _____ and _____.
- distribution of a drug; bioavailability
 - distribution of a drug; drug absorption
 - drug absorption; bioavailability
 - volume of distribution; bioavailability
15. The volume of distribution influences the half-life and is used to calculate a drug's:
- maintenance dose.
 - loading dose.
 - dosing interval.
 - a and c
16. _____ is a primary pharmacokinetic parameter that is affected by an individual's genetic constitution.
- k_a
 - $t_{1/2}$
 - k_e
 - CL

17. If a patient has underexpression of the influx transporter OATP1B1 in the liver, and the volume of distribution and clearance are decreased by the same magnitude, what change would need to be made to the dosing interval of the drug?
 - a. The dosing interval would need to be decreased.
 - b. The dosing interval would need to be increased.
 - c. The drug would need to be discontinued.
 - d. The dosing interval would not need to be changed.

18. A homozygous individual with a polymorphism resulting in a loss-of-function CYP enzyme would be considered a (n) _____ and would have _____ clearance requiring a _____ maintenance dose.
 - a. poor metabolizer; increased; higher
 - b. extensive/normal metabolizer; increased; higher
 - c. poor metabolizer; decreased; lower
 - d. extensive/normal metabolizer; decreased; lower

19. An individual with depression has *CYP2D6* gene duplication and is considered to be an ultrarapid metabolizer. If this individual is taking the antidepressant doxepin, a *CYP2D6* metabolic substrate, what would be the likely treatment outcome and what could be done to correct this?
 - a. The individual would likely experience adverse drug reactions due to the relatively high clearance and would require an increased maintenance dose or the use of another drug.
 - b. The individual would likely experience adverse drug reactions due to the relatively low clearance and would require a decreased maintenance dose.
 - c. The individual would likely experience treatment failure due to the relatively high clearance and would require an increased maintenance dose or the use of another drug.
 - d. The individual would likely experience treatment failure due to the decreased clearance and would require a decreased maintenance dose.

20. With regards to renal excretion, if an individual has overexpression of the ABCB1 gene coding for the P-gp (MDR1) transporter in the kidney, what effect would this have on clearance and the drug concentration?
- a. Increased clearance and increased drug concentration.
 - b. Decreased clearance and decreased drug concentration.
 - c. Increased clearance and decreased drug concentration.
 - d. Decreased clearance and increased drug concentration.

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CHAPTER Three

Pharmacogenomics and Pharmacodynamics

Learning Objectives

Upon completion of this chapter, the student will be able to:

1. Recognize the influence of genetic polymorphisms on the efficacy and affinity of drugs.
2. Explain how a specific polymorphism would affect the design of a patient's drug dosing regimen.
3. Differentiate among receptors, enzymes, and transporters as drug targets, and explain how genetic polymorphisms of these drug targets can influence drug selection.
4. Propose alterations to a patient's dosing regimen based on pharmacogenomic influence on pharmacodynamic parameters.

The student should demonstrate an understanding of how drug targets are influenced by genetic variation. The student should understand that variation in these proteins results in variation in pharmacodynamics, potentially influencing how an individual responds to a given drug.

Key Terms	Definitions
affinity	The strength of the reversible binding between a drug and drug target (receptor).
agonist	An endogenous or exogenous ligand that activates a drug target to induce a response.
antagonist	An endogenous or exogenous ligand that inhibits another endogenous or exogenous ligand from binding to a drug target to induce a response.
dissociation constant (K_D)	Describes the ratio of free drug (D) and free receptor (R) concentration to drug–receptor [DR] concentration. Used to determine the affinity of an agonist.
drug resistance	The inability of a drug to produce a pharmacodynamic response at a standard dose.
drug target	Endogenous binding site for drugs. Drug targets can include receptors, enzymes, and membrane transporters.
EC_{50}	The half-maximal (50%) effective concentration of a drug producing a specific response.
efficacy	The effect (E) elicited by a drug (D) and the concentration of drug–receptor complex [DR].
ligand	Endogenous or exogenous agent that binds to a drug target.
pharmacodynamics (PD)	The relationship between drug exposure and pharmacologic response.
potency	The dependence of the pharmacologic effect(s) of the drug on the drug concentration.
serotonin reuptake transporter (SERT)	A transport protein that regulates the amounts of serotonin in the synaptic cleft.

Key Equations	Description
Drug (D) + Receptor (R) $\xrightleftharpoons[k_2]{k_1}$ DR (response)	The relationship between free drug concentration (D), free receptor (R) concentration and drug–receptor complex (DR) and drug response.
$K_D = \frac{[D][R]}{[DR]}$	Describes the strength of the reversible interaction between a drug and receptor (affinity). K_D is proportional to the free drug concentration and the concentration of unoccupied receptors and is inversely proportional to the drug–receptor complex concentration.

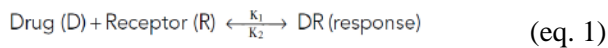
Introduction

The mechanisms of drug action are the fundamental underpinning of pharmacodynamics. Drugs elicit their mechanism(s) of action through biochemical and physiological interactions with drug targets. Thus, the pharmacodynamic effects of a drug determine its overall therapeutic utility.

Pharmacodynamics (PD) is the relationship between drug exposure and pharmacologic response, with elicited effects being related to drug binding to target proteins such as receptors, enzymes, and membrane transporters. Drugs bind to these targets

through a combination of chemical bonding interactions, such as covalent, hydrogen, hydrophobic, ionic, and van der Waals. Because these **drug targets** are all proteins, they are susceptible to the effects of single nucleotide polymorphisms (SNPs). Single nucleotide polymorphisms in the DNA encoding these proteins can result in reduced drug binding (e.g., decreased ability for chemical bonding interactions) and subsequently induce **drug resistance**. Drug resistance is the inability of a drug to produce a pharmacodynamic response at a standard dose. Therefore, the ability to detect SNPs in drug targets represents a method for improving the therapeutic response to drugs.

The **affinity** of a drug for a drug target, such as a receptor, is measured by the strength of the interaction between the drug and the target. The relationship between a drug (D) and receptor (R) determines the drug's overall affinity and efficacy. Affinity describes the strength of the reversible interaction between a drug and drug target. This interaction is described in the following equation:



In this equation (Equation 1), the effect (response) of a drug is directly dependent on the DR interaction. Therefore, the ratio of K_2 to K_1 , or the dissociation of the drug from the receptor, determines the overall effect of the drug. This ratio (K_2/K_1) is known as the **dissociation constant (K_D)**:

$$K_D = \frac{[D][R]}{[DR]} \quad (\text{eq. 2})$$

A high affinity of a drug for a receptor means a small K_D . The generation of a response from the DR complex is determined by the drug's **efficacy**. Efficacy describes the effect (E) elicited by a drug (D) and the concentration of the drug–receptor complex [DR]. Efficacy is a measure of the relative **potency**, or likelihood of a drug to induce a response. Thus, the potency of a drug is determined by the affinity and efficacy of a drug at the receptor. The potency of a drug is also influenced by receptor density and

responsiveness at the target tissue.

Genetic-Dynamic Connection: K_D

An individual may have the genetic constitution that results in the reduced expression of a receptor (R). In this case, the patient is considered to be drug resistant. As the concentration of drug–receptor complex [DR] decreases, the concentration of free drug [D] increases, and the overall K_D increases (therefore the numerator is increasing while the denominator is decreasing in Equation 2). An increase in K_D means a lower affinity of a drug for a receptor, and the patient could appear to be resistant to the drug's effects.

In general, as the concentration of a drug increases, so does the pharmacologic response. Plotting the magnitude of response against the dose of the drug generates a dose–response curve, as depicted in **Figure 3-1**. Note that the x -axis is the log drug concentration [drug]. Semilogarithmic plots allow for graphing of doses that may span several orders of magnitude. Once

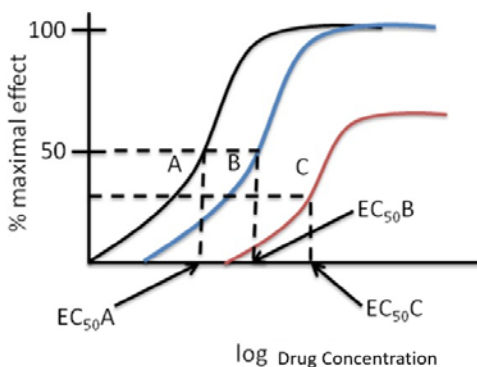


Figure 3-1 Classic dose-response curves. Based on the EC_{50} , the potency of the drugs are in the following order $A > B > C$. However, drugs A and B have the same efficacy because both reach the same E_{max} . Drug C is less efficacious than both drugs A and B.

the maximal drug–receptor complex [DR] concentration is reached, a 100% maximal effect (E_{max}) is achieved, and the dose–response curve plateaus. The drug concentration [D] that produces a 50% maximal response is designated as the effective concentration 50% (EC_{50}). The lower the EC_{50} for a drug, the more potent the drug.

Genetic–Dynamic Connection: Dose–Response Curves (Agonist Example)

An individual may have the genetic constitution that results in a heterozygotic genotype, resulting in decreased drug affinity for a given receptor. If curve A in Figure 3-1 is a consequence of the normal expression of the receptor, then curve B could represent an individual who displays a heterozygotic genotype resulting in decreased drug potency ($\uparrow EC_{50}$). In this example, the drug's overall efficacy (E_{max}) did not change. However, a heterozygotic and/or homozygotic genotype of the same receptor could induce the response depicted in curve C; resulting in a decrease in both potency ($\uparrow EC_{50}$) and efficacy ($\downarrow E_{max}$).

Drugs that block the ability of the endogenous **ligand** to bind to the receptor are classified as **antagonists**. In the classic dose–response curves (Figure 3-1), a competitive antagonist shifts the curve to the right (shifting curve A toward curve B). With competitive antagonism, the effects of the antagonist can be reversed by adding sufficient concentrations of agonist. By comparison, noncompetitive antagonism cannot be reversed by adding high concentrations of agonist (shifting curve A toward curve C).

Pharmacogenomics and Receptors as Drug Targets

Endogenous receptor ligands bind to a receptor to stimulate a biochemical and physiological response. For example, during the fight-or-flight response invoked by the activation of the sympathetic nervous system, epinephrine is released in order to activate a cascade of physiologic effects. The epinephrine that is released binds to β_2 -adrenergic receptors (β_2AR) in the bronchiolar smooth muscle to induce bronchodilation and increase oxygen exchange, which is required during the fight-or-flight response. In this example, epinephrine is serving as the endogenous ligand, activating β_2 -adrenergic receptors as an **agonist**. The β_2 -adrenergic receptor is a cell-surface receptor composed of 413 amino acid residues (see **Figure 3-2**). These amino acids are arranged in such a way that the receptor contains seven transmembrane-spanning domains with an extracellular N-terminus and an intracellular carboxy terminus. To date, 49 SNPs have been reported in the β_2 -adrenergic receptor. Five of these

have been associated with nonsynonymous (missense)

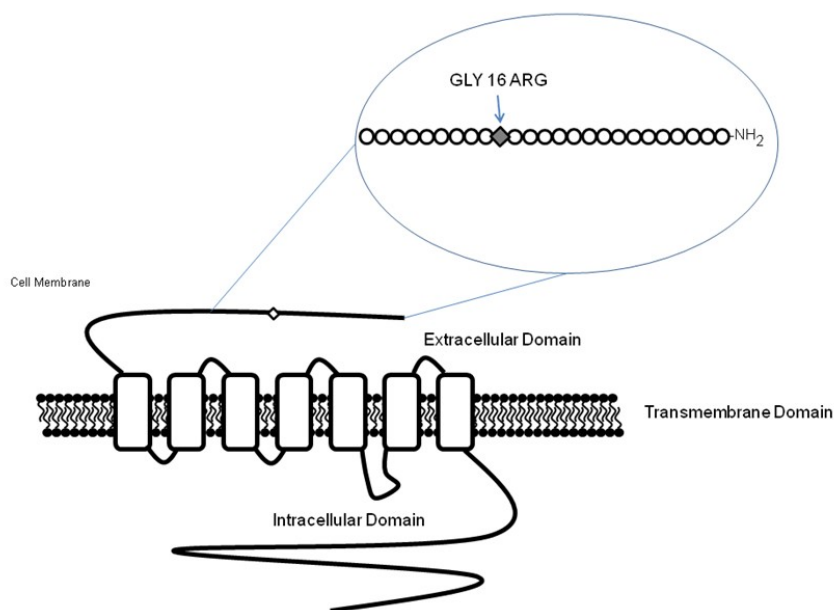


Figure 3-2 The β_2 -adrenergic receptor is composed of 413 amino acids. The Gly16Arg amino acid change in the receptor (indicated by the diamond) predisposes patients to nocturnal asthma and influences asthma severity. Note that this amino acid switch occurs in the external-binding domain.

polymorphisms resulting in a change in the amino acid sequence: Ser220Cys, Thr164Ile, Val34Met, Gln27Glu, and Gly16Arg.¹ The Gly16Arg amino acid change in the receptor (protein) predisposes patients to nocturnal asthma and influences asthma severity.²

With respect to pharmacodynamics, nonsynonymous SNPs encoding for either Arg or Gly at position 16 have been linked to altered responses to short-acting β_2 AR agonists, such as albuterol (Gly at position 16 imparts a better response than Arg at position 16).¹

Pharmacogenomics and Enzymes as Drug Targets

Enzymes also serve as pharmacodynamic targets for drugs. Like receptor targets, enzymes are composed of amino acids that not only regulate the enzyme's endogenous activity but also the ability of the drug to bind to the enzyme to produce a pharmacodynamic response. Asthma is characterized by increased responsiveness of the tracheobronchial tree to a multiplicity of stimuli. The cysteinyl leukotrienes (LTC₄, LTD₄, and LTE₄) serve as a stimulus to increase bronchiolar smooth muscle contraction and mucus secretion, triggering an asthmatic response. 5-lipoxygenase is an enzyme essential to the biosynthesis of cysteinyl leukotrienes, and it serves as the pharmacodynamic target for drugs such as zileuton (see **Figure 3-3**). By inhibiting 5-lipoxygenase, zileuton decreases the synthesis of cysteinyl leukotrienes, and therefore provides symptomatic relief for the asthma patient.³ Polymorphisms in the 5-lipoxygenase gene promoter region are associated with differential responses to 5-lipoxygenase inhibitors.⁴ Insertion- or deletion-type mutations have been identified in the promoter region of the gene in 22% of Caucasians.

Genetic–Dynamic Connection: 5-Lipoxygenase

Genetic information received through a saliva sample obtained from a patient reveals a deletion polymorphism within the promoter region of the 5-lipoxygenase gene. This deletion results in an altered amino acid sequence within the binding region of the enzyme and decreased zileuton binding to the enzyme. The following inhibitory E_{\max} model best describes the altered binding of zileuton:

In this example, the deletion polymorphism within the promoter region results in an increased EC_{50} , and therefore an overall decrease in the patient's sensitivity to zileuton. In this scenario, the patient would require a dose of zileuton that is higher than the standard dose.

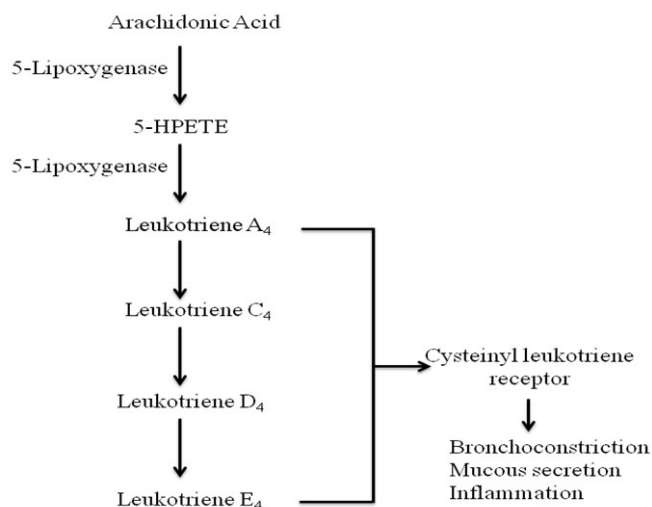


Figure 3-3 The role of 5-lipoxygenase in cysteinyl leukotriene synthesis. The 5-lipoxygenase enzyme catalyzes the initial steps in the synthesis of the cysteinyl leukotrienes LTA₄, LTC₄, LTD₄, and LTE₄. These leukotrienes mediate bronchoconstriction, mucous secretion, and the recruitment of inflammatory cell mediators through the activation of the cysteinyl leukotriene receptor. 5-HPETE = 5-Hydroperoxyeicosatetraenoic acid.

Pharmacogenomics and Membrane Transporters as Drug Targets

The termination of neurotransmitter effects in the central nervous system predominantly occurs as a result of neurotransmitter reuptake into the secreting neuron. For example, serotonin is released into the synaptic cleft to activate postsynaptic receptors, inducing a physiologic response (see **Figure 3-4**). Serotonin's effects are terminated in large part by reuptake mediated by the **serotonin reuptake transporter (SERT)**. Once taken back up by the neuron, the serotonin is recycled for later use. The selective serotonin reuptake inhibitors (SSRIs), such as fluoxetine, induce their pharmacodynamic effects through the inhibition of SERT. Inhibition of SERT increases serotonin levels in the synaptic cleft, thereby enhancing serotonin-mediated effects. A polymorphism in the promotor region of the SERT gene has been identified.⁵ This

polymorphism is often referred to as SERTPR, in reference to the promotor region. Two forms of polymorphisms have been identified in the SERTPR:

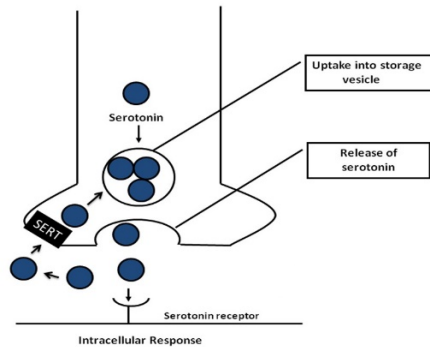


Figure 3-4 Serotonin released into the synapse is recycled back into the neuron for later release and use. The reuptake of serotonin into the serotonergic terminal is mediated by the serotonin reuptake transporter (SERT).

long (l) and short (s). Depressed patients who are homozygotic (l/l) or heterozygotic (l/s) for the variant have demonstrated a better response to SSRIs than those homozygotic (s/s) for the short variant.⁶ Subsequently, other indications for SSRIs (e.g., anxiety) have also demonstrated similar variations in responsiveness.⁷

Pharmacogenomics and Pharmacodynamics Application

DC is a 29-year-old African American male who presents to his primary care physician's office for initial evaluation in the pharmacotherapy clinic. Today, DC reports wheezing, coughing, and shortness of breath at rest and during activity. DC states he has a history of coughing and wheezing beginning in his teenage years and has been treated for multiple episodes of bronchitis. DC reports that he has had numerous unscheduled doctor visits in the last year, about 15 emergency department visits in the past five years, and that he has been hospitalized seven times in his lifetime, with the last hospitalization being four months ago. DC also complains that

he has difficulty exercising and doing some daily activities. DC states that most recently he is feeling short of breath, has a runny nose/nasal congestion, wheezes a few times a week, has night-time symptoms of coughing, and is using his albuterol inhaler daily. His current medications include: albuterol inhaler, two puffs as needed (patient states he has been using it three to four times daily with no relief); Claritin 10 mg, once daily; and Flonase, two inhalations each nostril, as needed.

DC states that he lives alone. His dog sometimes sleeps in the same bed with him; he also has one cat. Carpeting is present throughout his house except for the kitchen and the bathrooms. With pharmacogenomic testing, DC is found to be homozygous for the SNP that results in the Gly16Arg amino acid sequence change in the β_2 receptor. As stated, his asthma pharmacotherapy consists of a short-acting β_2 -agonist, albuterol, which is not providing relief, indicating uncontrolled asthma. Because of the patient's nonsynonymous (homozygotic) SNP resulting in the amino acid change at position 16, he is not responding to his albuterol. Based on the fact that he is frequently self-dosing to no avail, adding an alternative treatment may be of benefit. Two alternatives with different pharmacologic mechanisms to consider would be the addition of a low-dose inhaled corticosteroid or a mast cell stabilizer, such as cromolyn sodium. Additionally, DC should be counseled on the proper use of his medications as well as lifestyle modifications, including no longer allowing his dog to sleep in his bed. Additionally, DC should consider removing the carpeting from his house. Note that in cases where the patient is homozygotic, switching to an alternative drug with a different mechanism of action may be warranted. In cases where the patient is heterozygotic, increasing the dose may provide a therapeutic response. If it does not, it would be prudent to switch the drug choice to a compound from an alternative pharmacologic class.

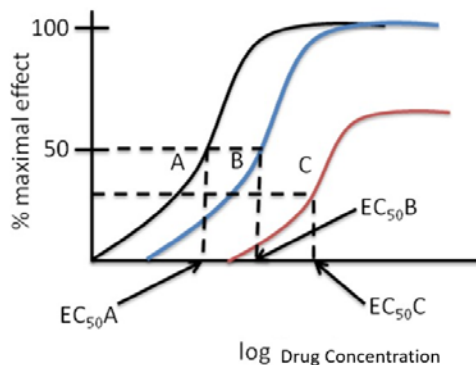
Chapter Summary

Pharmacodynamic variability is usually greater than pharmacokinetic variability. The variability results in different

responses among patients, related to efficacy and/or toxicity. Here, differences in drug receptors based on an individual's genetic constitution can have a significant influence on whether a patient will respond to a given drug therapy. This represents a genetic–dynamic interaction. In the case where genetic constitution results in a kinetic variance that alters the drug concentration, resulting in a varied clinical effect, we have a genetic–kinetic–dynamic interaction.

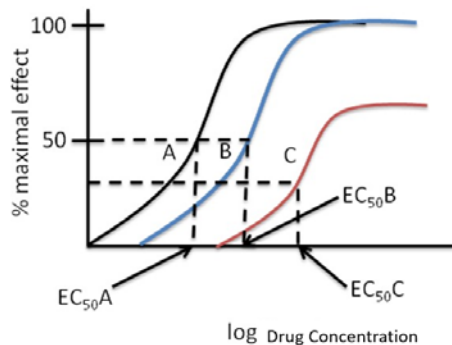
Review Questions

1. The study of the relationship between the plasma concentration of a drug and the observed pharmacologic effects is referred to as:
 - a. pharmacokinetics.
 - b. pharmacodynamics.
 - c. pharmacogenetics.
 - d. pharmacogenomics.
2. If curve A in the following figure represents the dose–response curve to an agonist, which curve would represent the addition of a noncompetitive antagonist?
 - a. Curve A
 - b. Curve B
 - c. Curve C



3. If curve B in the following figure represents the dose–response curve of an agonist, which curve would represent the addition of a competitive antagonist in a patient who is homozygotic for a SNP resulting in a conformational change in the receptor so the antagonist cannot be overcome?

- Curve A
- Curve B
- Curve C



4. An increase in K_D :

- results in a lower affinity of a drug for a receptor.
- means that a patient could appear to be resistant to the effect of the drug.
- could result from a heterozygotic SNP coding for a receptor with decreased drug affinity.
- All of the above

5. A SNP that ultimately results in an increased EC_{50} produces:

- an overall increase in drug sensitivity.
- an overall decrease in drug sensitivity.
- no change in drug sensitivity.

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Section III

Individual Drugs

Section III presents examples of drugs that have their pharmacokinetics and/or pharmacodynamics influenced by genetics. Examples of pharmacogenomic–pharmacokinetic, pharmacogenomic–pharmacodynamic, and pharmacogenomic–pharmacokinetic–pharmacodynamic interactions are presented. Pharmacogenomic–pharmacokinetic and/or pharmacogenomic–pharmacodynamic interfaces are presented to make clear the influence of genetics on the way the body handles a drug and the way a drug affects the body.

The drug-gene(s) interactions described in this section are supported by the Clinical Pharmacogenetics Implementation Consortium (CPIC) level of evidence “A”, meaning genetic information should be used to change prescribing of affected drug.

CHAPTER

Four

Abacavir – *HLA-B*57:01*

Learning Objectives

Upon completion of this chapter, the student will be able to:

1. Recognize the genotype related to abacavir hypersensitivity reaction.
2. Explain the appropriate use of genetic testing in an individual who is to receive abacavir.
3. Interpret and utilize genetic testing information relative to abacavir.

The student should understand the potential for a gene–drug interaction related to adverse events. The student also should understand that a valid genetic test can identify patients at risk of a severe adverse event, with a potential actionable response being utilization of an alternative drug therapy.

Case Questions

Upon completion of this chapter, the student will be able to answer the following questions pertaining to the case of OM:

1. Would genetic testing for the *HLA-B*57:01* allele be warranted in OM?
2. What is the proper use of genetic information related to *HLA-B*57:01*?

Key Terms	Definition
pharmacodynamic	The relationship between drug exposure and pharmacologic response.

Introduction

OM is a 37-year-old Caucasian male with a six-week history of muscle soreness, swollen lymph nodes in the neck, and night sweats and a three week history of a slight rash, with small dark raised bumps on his abdomen and back. He also is experiencing gastrointestinal discomfort and right-lower-quadrant pain.

OM has a history of intravenous narcotic drug abuse, and it has been documented that OM has shared needles with other individuals. Laboratory tests indicate a positive HIV-antigen test, as well as a CD4 count of 372 cells/mm³. Upon further testing, it is determined that OM is positive for the human immunodeficiency virus (HIV), which is confirmed by the results of a Western blot test. The gastrointestinal symptoms are diagnosed as related neutropenic enterocolitis, a condition well documented as being associated with HIV infection.¹

Being HIV positive, OM is started on Trizivir, which contains abacavir sulfate (300 mg), lamivudine (150 mg), and zidovudine (300 mg) twice a day. Three weeks after initiation of the triple-drug combination, OM presents to the emergency department with nausea, diarrhea, mild rash, headache, fever, and other constitutional symptoms, including severe fatigue and myalgia.^{2,3} Although the symptoms appear to be similar to his

original presentation prior to the HIV diagnosis, OM states that the current symptoms seem to “get worse” after each dose of his HIV medication. Additionally, the symptoms continue to become more severe as time progresses. With this information, OM is diagnosed with abacavir hypersensitivity reaction.

A clinical team member wants to perform a challenge test with abacavir using the abacavir “patch test,” where a low concentration abacavir gel is prepared and placed on the middle of the back to look for a skin reaction in the subsequent 48 to 72 hours. Redness and swelling would be an indication of hypersensitivity to abacavir.⁴ The patch test is not recommended; therefore, it is suggested that genetic testing be utilized to determine if OM expresses the *HLA-B*57:01* allele.⁵ Studies have shown that individuals with the *HLA-B*57:01* allele are at risk of abacavir hypersensitivity reaction.^{6–10} The test results in fact show that OM expresses the *HLA-B*57:01* allele, strengthening the diagnosis of abacavir hypersensitivity reaction. OM is in the population of U.S. Caucasian patients, in which 7–8% carry the *HLA-B*57:01* allele. The frequency of the *HLA-B*57:01* allele in the U.S. African American, Hispanic, and Asian populations is 2.5%, 2%, and 1%, respectively.

Abacavir Pharmacodynamics

The influence of genetics relative to abacavir is in reference to a **pharmacodynamic**-mediated allergic response that can result in symptoms that represent delayed hypersensitivity. The mechanism of abacavir hypersensitivity is based on the hapten model, where abacavir is taken up into the cytoplasm of antigen-presenting cells (i.e., cells presenting *HLA-B*57:01*).¹¹ Once in the cytosol, abacavir is converted to carbovir and, with the addition of phosphates via kinases, it becomes the active compound carbovir-triphosphate.¹¹ The triphosphate compound binds to a cytosolic protein resulting in a carbovir–protein conjugate that is presented specifically by *HLA-B*57:01* to CD8(+) T-lymphocytes. This specificity is due to the conformation of the carbovir–protein conjugate that results in preferential binding to *HLA-B*57:01* for presentation.^{11,12}

Because the binding is specific for *HLA-B*57:01*, individuals who express this antigen are at risk for the consequences of T-cell mediated toxicity. This toxicity is mediated by abacavir-specific CD8(+) T-lymphocytes, which secrete interferon-gamma (IFN- γ) and tumor necrosis factor-alpha (TNF- α). Interferon-gamma has been shown to up-regulate HLA presentation; therefore, it may increase the response of CD8(+) T-lymphocytes. Tumor necrosis factor-alpha has been shown to mediate fever, sepsis, and organ failure.¹³

Abacavir hypersensitivity reaction may result in injury to multiple organs, causing a broad range of signs and symptoms. These typically include fatigue, malaise, and myalgia as the most consistent presenting constitutional symptoms. Fever, rash, respiratory, and gastrointestinal signs and symptoms may also be present. Symptoms may appear similar to those seen in patients with influenza A; however, patients with abacavir hypersensitivity have more gastrointestinal signs and symptoms, whereas patients with influenza A have more respiratory signs and symptoms.³ The rechallenge of a patient with abacavir may result in bronchoconstriction, hypotension, and resultant renal failure. These severe reactions with abacavir rechallenge occur in up to 20% of patients; therefore, abacavir rechallenge is not appropriate.^{2,14,15}

Guidelines for *HLA-B*57:01* relative to abacavir dosing were presented by the Clinical Pharmacogenetics Implementation Consortium in April of 2012. The consortium strongly recommends that due to the increased risk of abacavir hypersensitivity reaction that abacavir be avoided in patients who express *HLA-B*57:01*. The recommendations are similar to those of the U.S. Department of Health and Human Services (DHHS) panel on Antiretroviral Guidelines for Adults and Adolescents.^{5,16}

Chapter Summary

The status of a patient relative to *HLA-B*57:01* imparts a clear approach to antiretroviral therapy with regard to abacavir.

Clearly, a patient who expresses *HLA-B*57:01* should *not* receive abacavir, and alternative antiretroviral therapy must be employed. Standardization of terms from CPIC provides the following¹⁷:

Positive = detection of high-risk allele (*HLA-B*57:01* heterozygous or homozygous)

Negative = high-risk allele not detected

Genetic–Dynamic Connection: Abacavir Toxicity

Abacavir toxicity, with respect to hypersensitivity, is related to the *HLA-B*57:01* allele. The frequency of the *HLA-B*57:01* allele is dependent on the specific population. The relatively high frequency of the allele in Caucasian populations (7–8%) warrants pharmacogenomic testing prior to the use of abacavir. A Black Box Warning has been added to the package labeling for the drug.⁶ It states:

Serious and sometimes fatal hypersensitivity reactions have been associated with ZIAGEN (abacavir sulfate).

Hypersensitivity to abacavir is a multiorgan clinical syndrome usually characterized by a sign or symptom in two or more of the following groups: (1) fever, (2) rash, (3) gastrointestinal (including nausea, vomiting, diarrhea, or abdominal pain), (4) constitutional (including generalized malaise, fatigue, or achiness), and (5) respiratory (including dyspnea, cough, or pharyngitis). Discontinue ZIAGEN as soon as a hypersensitivity reaction is suspected.

Patients who carry the *HLA-B*57:01* allele are at high risk for experiencing a hypersensitivity reaction to abacavir. Prior to initiating therapy with abacavir, screening for the *HLA-B*57:01* allele is recommended; this approach has been found to decrease the risk of hypersensitivity reaction. Screening is also recommended prior to reinitiation of abacavir in patients of unknown *HLA-B*57:01* status who have previously tolerated abacavir. *HLA-B*57:01*-negative patients may develop a suspected hypersensitivity reaction to abacavir; however, this occurs significantly less frequently than in *HLA-B*57:01*-positive patients.

Regardless of *HLA-B*57:01* status, permanently discontinue ZIAGEN if hypersensitivity cannot be ruled out, even when other diagnoses are possible.

Following a hypersensitivity reaction to abacavir, NEVER restart ZIAGEN or any other abacavir-containing product because more severe symptoms can occur within hours and may include life-threatening hypotension and death.

Reintroduction of ZIAGEN or any other abacavir-containing product, even in patients who have no identified history or unrecognized symptoms of hypersensitivity to abacavir therapy, can result in serious or fatal hypersensitivity reactions. Such reactions can occur within hours.

Lactic acidosis and severe hepatomegaly with steatosis, including fatal cases, have been reported with the use of nucleoside analogues alone or in combination, including ZIAGEN and other antiretrovirals.

Answers to Case Questions

1. Diagnosis of abacavir hypersensitivity, through symptoms and timing of therapy, was enough to give reason for discontinuing the drug, and genetic testing would not necessarily be warranted. However, at the time of diagnosis of the HIV infection, if abacavir was being considered for this Caucasian male, testing would be warranted.
2. Because the main issue regarding abacavir use is related to an adverse event, the use of *HLA-B*57:01* genetic screening should be considered in the context of safety and prevention. The U.S. DHHS panel on Antiretroviral Guidelines for Adults and Adolescents and the Clinical Pharmacogenetic Implementation Consortium, among others, recommends performing genetic screening for *HLA-B*57:01* before starting any abacavir-naïve patient on an abacavir-containing regimen, such as Ziagen and Trizivir.

Review Questions

1. Abacavir toxicity, expressed as a multi-organ clinical syndrome, has been related to which of the following?
 - a. *HLA-B*15:02*
 - b. *HLA-B*57:01*
 - c. *CYP2C9*1/*1*
 - d. *HLA-A*21:46*
2. Which of the following populations are *most* at risk for a hypersensitivity reaction when prescribed abacavir?
 - a. Caucasians
 - b. Japanese
 - c. Indian
 - d. a and c
 - e. All of the above are equally at risk.

3. If a patient is suspected of having a hypersensitivity reaction to abacavir, the patient should be challenged with a subsequent dose.
 - a. True
 - b. False
4. The relationship between abacavir and the genetic constitution of an individual with regard to HLA allele status is an example of a:
 - a. genetic–dynamic relationship.
 - b. genetic–genomic relationship.
 - c. genetic–kinetic relationship.
 - d. genetic–metabolic relationship.
5. Based on the Black Box Warning, screening for *HLA-B*57:01* is recommended prior to initiating therapy with abacavir.
 - a. True
 - b. False

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CHAPTER

Five

Carbamazepine – *HLA-B*15:02*

Learning Objectives

Upon completion of this chapter, the student will be able to:

1. Recognize the genotype related to carbamazepine-induced Stevens-Johnson syndrome (SJS) and toxic epidermal necrolysis (TEN).
2. Explain the appropriate use of genetic testing in an individual who is to receive carbamazepine.
3. Interpret and utilize genetic-testing information relative to carbamazepine.

The student should understand the potential for a gene–drug interaction related to adverse events seen in specific populations, even in the absence of individual genetic testing data for a given patient. The student also should understand that a valid genetic test can indicate a patient’s risk of experiencing a severe adverse event, with the actionable response being an alternative drug therapy.

Case Questions

Upon completion of this chapter, the student will be able to answer the following questions pertaining to the case of WH:

1. Would genetic testing for the *HLA-B*15:02* allele be warranted in WH?
2. Could oxcarbazepine be used instead of carbamazepine in the Asian population?

Key Terms	Definition
pharmacodynamic	The relationship between drug exposure and pharmacologic response.

Introduction

WH is a 17-year-old African American/Asian male with a history of head trauma suffered four months earlier in a motor vehicle accident (MVA). Recently, he was removed from his study hall at high school and sent to the school administrators because of teacher and student complaints of unprovoked aggressiveness and agitation, which were viewed as behavioral problems. WH visited his family physician, who referred WH to a neurologist. WH's mother states that the aggression and agitation have been increasing in recent months. The neurologist makes the diagnosis of acquired brain injury following examination of a CT scan.

WH is an active young man who participates in extracurricular activities and was always considered to be "happy-go-lucky." He is an average student and has many friends whom he has met through school and other activities. He has an unremarkable medical history, except for a broken arm, broken collarbone, and closed head trauma sustained in the MVA. He does not take any medication, but he has used creatine and whey protein as "supplements" to his wrestling workouts. WH is of African and Asian descent. As WH was adopted, there is minimal family history information.

The diagnosis of acquired brain injury is discussed, and the

neurologist decides to start WH on carbamazepine for the treatment of agitation and aggression caused by the closed head trauma.¹ In consultation with the pharmacist, genetic testing for the human leukocyte antigen (HLA)-B*15:02 allele is undertaken, because there is a strong association between carbamazepine use and Stevens-Johnson syndrome (SJS) and toxic epidermal necrolysis (TEN) in individuals expressing the *HLA-B*15:02* allele.²⁻⁴

Carbamazepine Pharmacodynamics

The influence of genetics relative to carbamazepine is in reference to potentially severe/life-threatening skin disorders that can occur with exposure to the drug. Stevens-Johnson syndrome and TEN have been observed as **pharmacodynamic** responses in patients receiving carbamazepine.²⁻⁵ Stevens-Johnson syndrome initially presents as a febrile illness with stomatitis, purulent conjunctivitis, and skin lesions.⁶ The syndrome, a hypersensitivity reaction, can be mild, resulting in fever, general malaise, and itching, with multiple lesions of the skin. The lesions may have the appearance of hives and may be papular or macular in nature with blisters. These lesions may be found on the trunk and arms and hands, including the palms, and the legs and feet, typically occurring symmetrically.⁷ The severe form of SJS is necrotic skin occurring on less than 10% of a patient's body surface area (BSA).⁸ Far-reaching necrotic lesions covering more than 30% of a patient's BSA is considered TEN.⁹ This medical emergency is marked by full-thickness epidermal necrosis and involves the mucous membranes, with mortality reaching 40%.¹⁰

The hypersensitivity reaction resulting from exposure to carbamazepine is related to HLA- B*15:02, which is part of the human major histocompatibility complex (MHC). The MHC protein molecules that are expressed on cell surfaces work to help the body distinguish between its own cells and "foreign" cells. Although the mechanism of carbamazepine-induced SJS and TEN has not been confirmed, it has been hypothesized that some patients

metabolize the drug in such a way that it results in the formation of metabolites that alter cellular proteins.¹¹ It is suggested that the altered proteins are recognized as foreign, resulting in the cellular (T-cell mediated) immune response that leads to SJS and TEN.

Chapter Summary

It is clear that the Asian population is at higher risk than other populations in regards to the potential for TEN and SJS with the administration of carbamazepine. Genotyping of individuals of Asian ethnicity would be prudent when considering the risk/benefit ratio of the use of carbamazepine. With respect to genotyping of other populations, again, the risk/benefit is to be considered. Here, the risk of TEN and SJS may be lower, and the need for carbamazepine versus other therapy is considered in this context. In patients with *HLA-B*15:02*, oxcarbazepine does not appear to be a choice as an alternative to carbamazepine as skin reactions have been noted in this population.^{13,14} Guidelines for the use of carbamazepine relative to *HLA-B*15:02* expression are available from the Clinical Pharmacogenetics Implementation Consortium.¹⁵ Standardization of terms from CPIC provides the following¹⁶:

Positive = detection of high-risk allele (*HLA-B*15:02* heterozygous or homozygous)

Negative = high-risk allele not detected

Genetic–Dynamic Connection: Carbamazepine Toxicity

Carbamazepine toxicity, with respect to SJS and TEN, is related to *HLA-B*15:02*. The frequency of the *HLA-B*15:02* allele is dependent on the population. Table 5-1 presents the frequencies observed in various populations.

Table 5-1 *HLA-B*15:02* Allele Frequencies in Major North American Populations

Ethnicity	Allele Frequency (%)	<i>n</i>
Asian	5.1	396
African	0.2	251
European	0	287
Hispanic	0	240

Source: Adapted from Ferrell PB McLeod HL. Carbamazepine, HLA-B*1502, and risk of Stevens-Johnson syndrome and toxic epidermal necrolysis: U.S. FDA recommendations. *Pharmacogenomics*. 2008;9(10):1543–1546.

The high frequencies of *HLA-B*15:02* in the Asian population (e.g., Chinese) warrant pharmacogenomic testing prior to use of carbamazepine. In fact, a Black Box Warning has been added to the package labeling for the drug.¹² It states:

Serious and sometimes fatal dermatologic reactions, including toxic epidermal necrolysis (TEN) and Stevens-Johnson syndrome (SJS), have been reported during treatment with carbamazepine. These reactions are estimated to occur in 1 to 6 per 10,000 new users in countries with mainly Caucasian populations, but the risk in some Asian countries is estimated to be about 10 times higher. Studies in patients of Chinese ancestry have found a strong association between the risk of developing SJS/TEN and the presence of HLA-B*1502, an inherited allelic variant of the HLA-B gene. HLA-B*1502 is found almost exclusively in patients with ancestry across broad areas of Asia.

Patients with ancestry in genetically at-risk populations should be screened for the presence of HLA-B*1502 prior to initiating treatment with carbamazepine. Patients testing positive for the allele should not be treated with carbamazepine unless the benefit clearly outweighs the risk.

Answers to Case Questions

1. An individual with the *HLA-B*15:02* allele is at increased risk for developing SJS and TEN. Although approximately 1 to 6 new Caucasian patients per 10,000 placed on carbamazepine experience these detrimental adverse events, some Asian populations incur a 10-fold higher risk (i.e., 10 to 60 Asian patients per 10,000).¹² With WH’s Asian background, testing for the *HLA-B*15:02* allele is warranted.
2. A small study indicated that a low dose of oxcarbazepine administered to each of three carbamazepine-sensitive patients resulted in skin reactions.¹³ Additionally, a pilot study of oxcarbazepine showed a possible relationship

between the presence of the *HLA-B*15:02* allele and a skin reaction, characterized as maculopapular eruption.¹⁴ It stands that oxcarbazepine may not be a clear alternative for use in Asian patients.

Review Questions

1. Carbamazepine toxicity expressed as SJS and TEN has been related to which of the following?
 - a. *CYP2C19*1/*2*
 - b. *HLA-C*14:29*
 - c. *VKORC1* A/G
 - d. *HLA-B*15:02*
 - e. *HLA-B*57:01*
2. Which of the following populations are most at risk for SJS when being treated with carbamazepine?
 - a. Han Chinese
 - b. North American African
 - c. North American Asian
 - d. a and c
 - e. All of the above
3. Oxcarbazepine can be used as a therapeutic equivalent to carbamazepine because data show that it does not cause a skin reaction in patients with the *HLA-B*15:02* allele.
 - a. True
 - b. False
4. The relationship between carbamazepine and the genetic constitution of an individual with regard to HLA allele status is an example of a:
 - a. genetic–pharmacokinetic relationship.
 - b. genetic–pharmacodynamic relationship.
 - c. genetic–pharmacogenomic relationship.
 - d. genetic–metabolic relationship.

5. Patients of Asian descent should be screened for the *HLA-B*15:02* allele prior to the use of carbamazepine.
- a. True
 - b. False

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CHAPTER

Six

Clopidogrel – *CYP2C19*

Learning Objectives

Upon completion of this chapter, the student will be able to:

1. Recognize the various genotypes of cytochrome P450-2C19 (*CYP2C19*), relative to the prodrug clopidogrel.
2. Explain the appropriate use of genetic testing in an individual who is to receive clopidogrel.
3. Interpret and utilize genetic testing information relative to clopidogrel.

Students should understand the potential for gene–drug interactions with regard to drug metabolizing enzymes, recognizing that variation in a drug metabolizing enzyme can affect the formation of an active compound. The student will understand that a valid genetic test can be utilized in assessing a patient’s risk of therapeutic failure and the potential for severe complications. The student should understand that a patient’s genotype may result in the actionable response of selecting an alternative therapeutic agent.

Case Questions

Upon completion of this chapter, the student will be able to answer the following questions pertaining to the case of JK:

1. Based on JK's genetic information, would a standard 75 mg dose of clopidogrel be sufficient?
2. How might the pharmacist explain to JK the need for genetic screening and what her results mean?

Key Terms	Definitions
area under the curve (AUC; amt/vol · time)	A measure of drug exposure as the integrated area under the plasma drug concentration versus time curve from time zero to infinity.
bioavailability (F)	The rate and extent of drug absorption; the fraction of the dose reaching systemic circulation unchanged.
CYP; CYP450	The cytochrome P450 oxidative metabolic enzyme superfamily.
efflux transporter	A protein that moves drug out of cells/tissues.
extensive metabolizer (EM) – old term; normal metabolizer (NM; see below)	An individual with two “normal-function” alleles relative to a drug metabolizing enzyme.
genotype	The specific set of alleles inherited at a locus on a given gene.
intermediate metabolizer (IM)	In general, an individual with one “loss-of-function” or one “reduced-function” allele and one “normal-function” allele relative to a drug metabolizing enzyme.
loading dose (D _L ; amt)	The initial dose of a drug; administered with the intent of producing a near steady-state average concentration.
phenotype	An individual's expression of a physical trait or physiologic function due to genetic makeup and environmental and other factors.
poor metabolizer (PM)	An individual with two “reduced-function” or “loss-of-function” alleles relative to a drug metabolizing enzyme.
prodrug	A drug that requires conversion to an active form.
ultrarapid metabolizer (UM)	An individual with a “gain-of-function” allele, resulting in overexpression of a drug metabolizing enzyme.

Key Equations	Description
$C_{ave}^{ss} = \frac{F \cdot Dose}{CL \cdot \tau}$	The average steady-state drug concentration being directly related to the bioavailability and the dose and inversely related to the clearance and the dosing interval.
↑, ↓	The number of arrows indicates the relative difference in the magnitude of the change.

Introduction

JK is a 55-year-old white female who has just undergone percutaneous coronary intervention with coronary artery stent

placement. JK has a five year history of type 2 diabetes that is well controlled. She also has a 10-year history of hypertension. JK's family history includes her father's passing at age 84 from a myocardial infarction and her mother's history of hyperlipidemia and hypertension. JK is placed on dual-antiplatelet therapy, including aspirin and clopidogrel. She agrees to have her genetic information screened for potential variants that could affect her clopidogrel therapy. Her genetic screening reveals a single nucleotide polymorphism (SNP) of cytochrome P450-2C19 (*CYP2C19*), indicating that she is homozygous for the *2 "loss-of-function" allele (*2/*2). This means that JK is a **poor metabolizer (PM)**. Thus, clopidogrel therapy may not work for JK, because CYP2C19 is responsible for the bioactivation of clopidogrel, which is a **prodrug**. Her physician wants to start her on a standard 75 mg/day dose of clopidogrel daily. Understanding that JK's genotype would negatively affect the use of clopidogrel, the pharmacist works with the physician to optimize JK's antiplatelet therapy.

Excessive coagulation and platelet aggregation are associated with the pathophysiology of numerous cardiovascular disorders, including myocardial infarctions, stroke, and occlusions of stents placed in coronary arteries as percutaneous coronary intervention(s). When the endothelial lining is damaged or a rough surface is exposed, circulating von Willebrand factor (vWF) binds to the exposed collagen. Clotting factor VIII is transported via the much larger protein vWF, with the two circulating as a complex. Factor VIII is synthesized in the liver, whereas vWF is synthesized in the endothelial cells. Factor VIII takes part in the coagulation cascade by activating factor X. Von Willebrand factor induces adhesion of platelets to subendothelial collagen via the glycoprotein (GpIb) platelet receptor. Platelet aggregation results from the formation of a fibrin bridge between the platelets at the GpIIb/IIIa receptor complex. Platelet adhesion is the binding of platelets to damaged endothelium or rough surfaces, while platelet aggregation is the binding of platelets to platelets (see **Figure 6-1**). Clopidogrel is an inhibitor of platelet aggregation.

Clopidogrel Pharmacodynamics

Clopidogrel is an inhibitor of platelet aggregation that works by irreversibly binding to the platelet adenosine diphosphate (ADP) P2Y₁₂ receptor. As stated previously, clopidogrel is a prodrug that requires bioactivation to elicit its therapeutic benefits.^{1,2} In vitro, clopidogrel itself is not active as a platelet inhibitor. Cytochrome P450-2C19 is responsible for the biotransformation that yields a short-lived active metabolite that binds to the P2Y₁₂ receptor (see **Figure 6-2**).³ Recent studies have shown that SNPs resulting in the generation

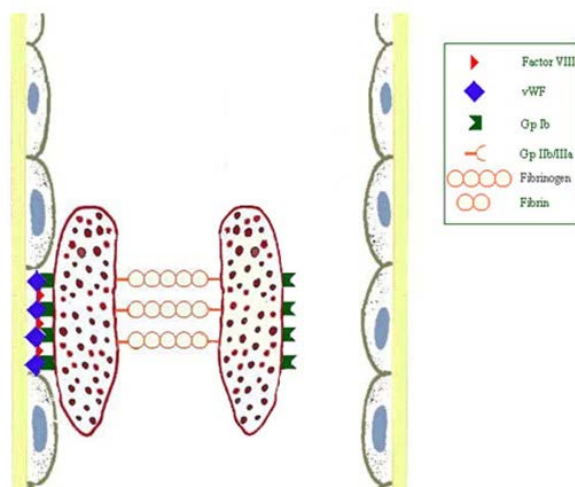


Figure 6-1 Adhesion (a) of platelets to subendothelial collagen via the glycoprotein (Gp Ib) platelet receptor. Platelet aggregation (b) occurs as a result of a fibrin bridge forming between the platelets at the Gp IIb/IIIa receptor complex. Clopidogrel inhibits platelet aggregation.

of resulting in the generation of “no-function” *CYP2C19* enzymes alter the pharmacologic actions of clopidogrel and result in a decrease in efficacy.⁴⁻⁶ Such a decrease in efficacy can result in life-threatening therapeutic failures. Studies have shown

that the genotyping of *CYP2C19* identifies greater than 90% of poor metabolizers.¹ Up to 30% of patients demonstrate some

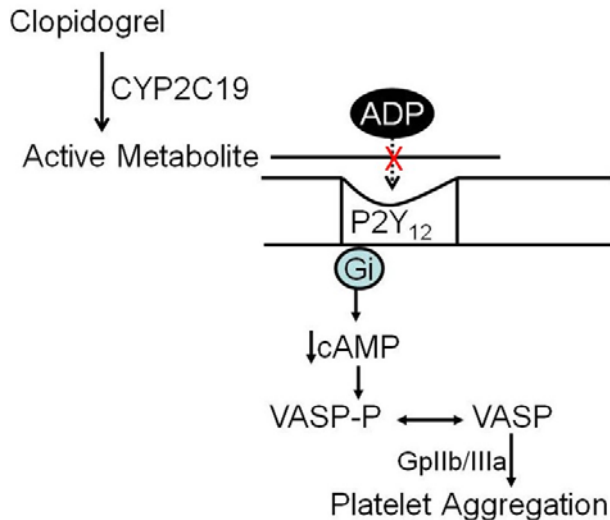


Figure 6-2 Clopidogrel is bioactivated by CYP2C19 to its active metabolite, which inhibits ADP binding to the P2Y₁₂ receptors on the platelet. When activated by ADP, the P2Y₁₂ removes a phosphate (P) from vasodilator stimulated phosphoprotein (VASP-P). VASP subsequently stimulates Gp IIb/IIIa receptor mediated platelet aggregation. The active metabolite of clopidogrel prevents ADP activation of the P2Y₁₂ receptor, which decreases platelet aggregation. However, if *CYP2C19* contains a SNP decreasing clopidogrel bioactivation, platelet aggregation still occurs. Gi = inhibitory g-protein.

level of resistance to clopidogrel.^{7,8} Thus, identifying these SNPs prior to treatment can assist prescribers in determining the best pharmacologic treatment plan for each individual patient.

Receptors are potential sites for SNPs that may result in altered therapeutic outcomes. Single nucleotide polymorphisms and other polymorphisms in the P2Y₁₂ receptor have been investigated for their potential role in clopidogrel resistance. Although mutations in the P2Y₁₂ gene have been associated with congenital bleeding disorders, they have not been associated with clopidogrel resistance.^{9,10}

Clopidogrel Pharmacokinetics

The recommended oral maintenance dose of clopidogrel is 75 mg/day. At this dose, the peak therapeutic inhibition of platelet aggregation can be seen 3 to 7 days following drug initiation.

Loading doses of 300 to 600 mg produce platelet inhibition within 3 to 5 hours. The peak plasma concentration of the parent and active metabolite occur in approximately one hour. Both the parent drug and the active metabolite are bound to protein in excess of 94%.¹¹ The intestinal absorption of clopidogrel is influenced by the **efflux transporter** P-glycoprotein (P-gp).¹² P-glycoprotein pumps drugs and other substances out of cells and, in the case of the gastrointestinal tract, back into the intestine for elimination in the feces. P-glycoprotein expression is encoded by the multidrug resistance gene MDR1. After a single loading dose of 300 or 600 mg of clopidogrel, patients who were homozygous for the MDR1 3435T variant were found to have lower C_{max} and **area under the curve (AUC)** values compared to controls. Food has been shown to increase the AUC of clopidogrel almost nine fold, and the terminal half-life doubles from 2.5 hours (fasting) to 5 hours (fed).¹³ The half-life of the inactive metabolite is 8 hours. Clopidogrel is similarly eliminated in the feces (46%) and urine (50%).¹⁴ Cytochrome P450-2C19 is responsible for the conversion of the parent drug to the active form. **Table 6-1** presents the *CYP2C19* genotypes relative to drug metabolizing status.

Table 6-1 Cytochrome P450-2C19 Genotypes and Drug Metabolizing Status.

Genotype	Metabolizing Status
*17/*17	Ultrarapid metabolizer ^a
*1/*17	Rapid metabolizer ^b
*1/*1	Extensive/normal metabolizer
1/(2, 3, 4, 5, 6, 7, 8); *2/*17	Intermediate metabolizer
(2, 3, 4, 5, 6, 7, 8)/(2, 3, 4, 5, 6, 7, 8)	Poor metabolizer

^a Likely metabolizing status. ^bNewly defined phenotype; Caudle KE, Dunnenberger HM, Freimuth RR, et al. Standardized terms for clinical pharmacogenetic test results: consensus terms from the Clinical Pharmacogenetics Implementation Consortium (CPIC). Genet Med. 2017;19(2):215-223. ^cMost common variant allele (G>681>A; 681G>A; rs4244285).

Genetic–Kinetic Connection: Clopidogrel

The prodrug clopidogrel is indicated for use in patients with acute coronary syndrome as an antiplatelet agent. The following is the Black Box Warning found in the trade name product (Plavix®) package labeling:¹⁵

Warning: Diminished Effectiveness in Poor Metabolizers

The effectiveness of Plavix is dependent on its activation to an active metabolite by the cytochrome P450 (CYP) system, principally CYP2C19. Plavix at recommended doses forms less of that metabolite and has a smaller effect on platelet function in patients who are CYP2C19 poor metabolizers. Poor metabolizers with acute coronary syndrome or undergoing percutaneous coronary intervention treated with Plavix at recommended doses exhibit higher cardiovascular event rates than do patients with normal CYP2C19 function. Tests are available to identify a patient's *CYP2C19* genotype; these tests can be used as an aid in determining therapeutic strategy. Consider alternative treatment or treatment strategies in patients identified as CYP2C19 poor metabolizers.

A patient who is heterozygous, having the *CYP2C19**1/*2 genotype, has intermediate metabolism of clopidogrel (one “normal-function” allele and one “loss-of-function” allele). It is expected that less of the active metabolite will be formed with a given standard dose and that more of the parent drug (clopidogrel) will reach systemic circulation, resulting in an increased concentration of the parent drug:

$$\uparrow C_{\text{parent}} = \frac{F \cdot \text{Dose}}{\downarrow CL}$$

Although the parent drug concentration will be increased, the efficacy of the drug will be decreased, because the active metabolite concentration (C_{active}) will be decreased. In this case, in a sense, as the **bioavailability (F)** of the active metabolite is decreased, the concentration of the active compound is decreased:

$$\downarrow C_{\text{active}} = \frac{\downarrow F \cdot \text{Dose}}{CL}$$

Clopidogrel Dosing

In 2010, the FDA issued a Black Box Warning on the prescribing information for clopidogrel.¹⁵ This warning addresses the reduced effectiveness in patients who are poor metabolizers of clopidogrel and informs healthcare professionals that genetic tests are available for identification of genetic differences in *CYP2C19*. Cytochrome P450-2C19 has a number of “loss-of-function” alleles (*2, *3, *4, *5, *6, *7, and *8) and one gain-of-function allele (*17). The *2 “loss-of-function” allele is the most common, being present in greater than 90% of individuals with a variant form of the enzyme.

Patients who are **extensive/normal metabolizers** (EM/NM;

*1/*1) have demonstrated an enhanced inhibition of platelet aggregation as compared to **intermediate metabolizers** [*1/*(2, 3, 4, 5, 6, 7, 8)]. Platelet inhibition is greater in intermediate metabolizers than in poor metabolizers (combination of two “loss-of-function” alleles, e.g. *2/*3).¹⁶ Therefore, although standard doses would be sufficient in extensive/normal metabolizers, intermediate metabolizers would necessarily require a higher dose of clopidogrel and alternative therapy should be considered. In poor metabolizers, those who are homozygous for two “loss-of-function” alleles, alternative platelet aggregation inhibitors should be utilized.

Drugs that are known inhibitors of CYP2C19 (e.g., the proton pump inhibitor lansoprazole) should be avoided in patients requiring therapeutic antiplatelet effects. Inhibitors of metabolism effectively make the patient a poor metabolizer. Clinicians need to recognize the potential for gene–drug interactions and also understand the influence of a drug–drug interaction in patients with a certain genotype (i.e., gene–drug–drug interaction). A thorough evaluation of the potential for significant interaction must accommodate the influence of more than one drug in the context of the individual’s genetic characteristics. An example of a gene–drug–drug interaction is shown in **Figure 6-3**. Here, the extent of conversion of clopidogrel to its active form is dependent on the patient’s genotype, with patients having a *1/*1 genotype being extensive/normal metabolizers. With the addition of lansoprazole, a CYP2C19 inhibitor, the concentrations of the active metabolite of clopidogrel will decrease, and a higher than normal dose of clopidogrel will be required to obtain therapeutic benefit. However, if lansoprazole is added to therapy in an intermediate metabolizer, the additional inhibition of clopidogrel bioactivation would result in little to no active drug being formed and would likely result in therapeutic failure. In this case, the patient would have the phenotype of a poor metabolizer but the genotype of an intermediate metabolizer.

An individual’s *CYP2C19* genotype can indicate the therapeutic response to clopidogrel. With more than 29 million prescriptions for clopidogrel written in 2010 and more than 28 million in 2011, and with genotyping not being included in the

current standard of care, it is likely that some patients (i.e., intermediate and poor metabolizers) are not receiving the full therapeutic benefit from the drug, and some may not be receiving any benefit.¹⁷ The Clinical Pharmacogenetics

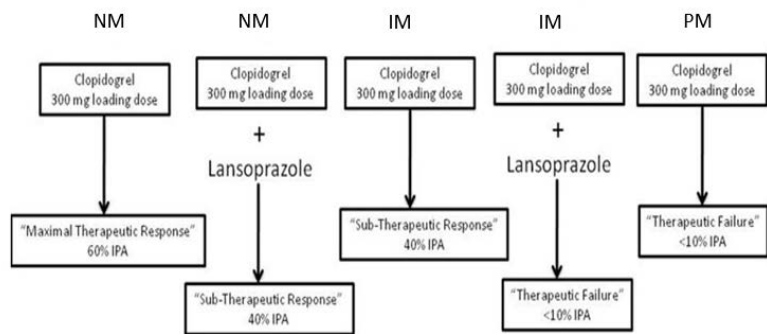


Figure 6-3 Extensive/normal metabolizer (NM) patients administered a 300 mg dose of clopidogrel will obtain a maximal therapeutic response of 60% inhibition of platelet aggregation (IPA). The addition of lansoprazole pharmacologically inhibits CYP2C19, making the NM patient appear like an IM patient. The addition of lansoprazole to an IM patient results in therapeutic failure in a fashion similar to a PM patient.

Implementation Consortium has published dosing guidelines related to the *CYP2C19*–clopidogrel gene–drug pair.¹⁸ Additionally, the indication specific use of genotyping *CYP2C19* in patients undergoing coronary artery stent placement has been clearly presented and explained relative to clopidogrel use.¹⁹

Chapter Summary

Genotyping of *CYP2C19* in the face of clopidogrel therapy in patients with coronary artery stent placement may avoid the administration of the drug to patients who will not benefit from it. Identification of *CYP2C19* intermediate and poor metabolizers will allow for the use of antiplatelet therapies with the potential for greater efficacy in patients having undergone stent placement. **Figure 6-4** presents an example of the genetic influence on clopidogrel metabolism relative to *CYP2C19*.

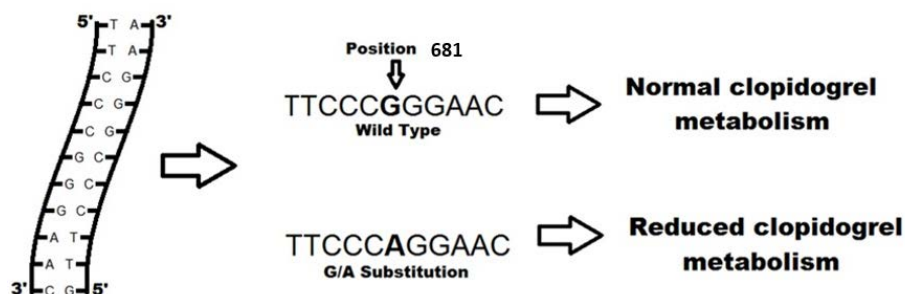


Figure 6-4 Example SNP related to *CYP2C19**2 resulting in reduced clopidogrel conversion (reduced metabolism of the prodrug) to the active form with potentially decreased therapeutic efficacy. *Note:* On the continuous DNA strands, the first two bases are the last two of a codon, with subsequent codons following.

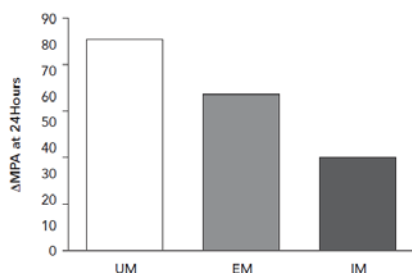
Answers to Case Questions

1. Because clopidogrel is a prodrug requiring bioactivation by CYP2C19, a standard 75 mg dose would *not* be sufficient. Because JK is homozygous for the SNP in *CYP2C19*, she would not respond to clopidogrel even if the dose were doubled. She should be placed on an alternative antiplatelet agent.
2. When first discussing the need for genetic testing, JK needs to be reassured that she is only being screened to determine her likelihood of responding to clopidogrel, not her susceptibility to disease or anything else. The discussion should include how genetic screening can help to save her life, determine the need for a therapeutic alternative, and save her money. Her genetic screening results should be explained to her such that she understands clopidogrel will not work for her and that alternative therapies are available.

Review Questions

1. If a patient has been identified with a SNP in *CYP2C19* that results in decreased enzyme activity and has also been taking ketoconazole (an inhibitor of CYP2C19) for a fungal infection, what would you suggest for this patient if he or she was just given a prescription for a standard dose (75 mg once daily) of clopidogrel?
 - a. Increase the starting dose.
 - b. Decrease the starting dose.
 - c. Maintain the normal starting dose.
 - d. Switch to a drug other than clopidogrel.
2. A SNP in *CYP2C19* may result in the decreased bioactivation of which of the following agents?
 - a. Warfarin
 - b. Clopidogrel
 - c. Codeine
 - d. Azathioprine
 - e. None of the above
3. Platelet aggregation results from a fibrin bridge forming between platelets and the:
 - a. P2Y₁₂ receptor.
 - b. GpIIb/IIIa receptor.
 - c. ADP receptor.
 - d. GpIb receptor.
4. Which of the following is true of the FDA Black Box Warning on clopidogrel found in the package labeling?
 - a. It warns that toxic concentrations of the active metabolite may accumulate in poor metabolizers.
 - b. It informs healthcare professionals that tests are available to identify genetic differences in *CYP2C19* function.
 - c. It recommends doubling the dose of clopidogrel in poor metabolizers.
 - d. All of the above.

5. Based on the following bar graph, which group of metabolizers has the highest exposure to active metabolite and the greatest platelet inhibition? (MPA = mean platelet aggregation)



- Ultrarapid metabolizers
- Extensive/normal metabolizers
- Intermediate metabolizers
- All are exposed to the same concentration of active metabolite and have equal platelet inhibition.

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CHAPTER

Seven

5-Fluorouracil – *DPYD*

Learning Objectives

Upon completion of this chapter, the student will be able to:

1. Recognize the various genotypes of dihydropyrimidine dehydrogenase (DPD) relative to 5-fluorouracil metabolism.
2. Explain the appropriate use of genetic testing in an individual who is to receive 5-fluorouracil. Interpret and apply genetic testing information relative to 5-fluorouracil.

Students should understand the potential for a gene–drug interaction with regard to drug metabolizing enzymes, recognizing that variation in a metabolizing enzyme, if not understood, can lead to severe toxicity and death. Students should understand that a valid genetic test can indicate a patient’s risk of toxicity, allowing for the actionable response of dose adjustment or the use of a different therapeutic agent.

Case Questions

Upon completion of this chapter, the student will be able to answer the following questions pertaining to the case of NC:

1. Based on NC's genetic constitution, would a standard dose of 5-fluorouracil be warranted?
2. How might the pharmacist explain to NC the need for genetic screening and what her results mean?

Key Terms	Definitions
area under the curve (AUC; amt/vol · time)	A measure of drug exposure as the integrated area under the plasma drug concentration versus time curve from time zero to infinity.
clearance (CL; vol/time)	The volume of biologic fluid from which drug is removed per unit time.
pharmacodynamic	The relationship between drug exposure and pharmacologic response.
prodrug	A drug that requires conversion to an active form.

Key Equations	Description
$t_{1/2} = \frac{0.693 \cdot V_d}{CL} = \frac{0.693}{k_e}$	The half-life, being directly related to the volume of distribution and inversely related to the clearance; inversely related to the elimination rate constant, k_e .
$AUC = \frac{Dose}{CL}$	The area under the concentration versus time curve, being proportional to the dose and inversely proportional to the clearance.
↑, ↓	The number of arrows indicates the relative difference in the magnitude of the change.

Introduction

NC is an anxious 39-year-old Caucasian female who presents to the oncology clinic upon referral. While performing a monthly breast self-exam, NC found a lump in the lower outer quadrant of her right breast. NC subsequently saw her gynecologist, who also felt the hard, mobile mass in the described location. There was no associated skin change, pain, or discharge. Mammography was ordered, with the plan to biopsy any suspicious lesions. The mammogram identified a suspicious mass, a core biopsy was performed, and results were positive for malignancy. NC was referred to the oncology clinic for further

evaluation and discussion of treatment options. NC began menses at age 11. She has a 7-year-old daughter and is currently taking birth control pills (started at age 18, stopped for pregnancy, and then resumed). Additionally, NC has a history of anxiety and frequent migraines (more than two per month). Her mother was diagnosed with breast cancer at age 54; she is currently 62. Her maternal aunt died of breast cancer at age 46, and her maternal grandmother died of the disease at age 54. NC denies pain or discomfort but complains of frequent urination and “feeling ill.” A computed tomography (CT) scan of the abdomen and a bone scan were negative for metastases.

The core biopsy shows a histological grade of G1, estrogen receptor–positive tissue (90%) with FISH – 2+ *HER2/neu* overexpression. Additionally, sentinel lymph node biopsy identified the involvement of two axillary nodes, both of which exhibited movement. Pharmacogenomic testing indicates that NC is heterozygotic for an allele (*DPYD**2A) that results in inactive dihydropyrimidine dehydrogenase (DPD).

Purines and pyrimidines are the building blocks of deoxyribonucleic acid (DNA) and ribonucleic acid (RNA). During tumor growth and proliferation, DNA and RNA synthesis is accelerated, increasing the cell’s demand for pyrimidines. 5-Fluorouracil (5-FU) is a pyrimidine analog that replaces pyrimidines during DNA and RNA replication.¹ Therapeutic agents that interfere with purine and pyrimidine synthesis and that are structurally similar to these endogenous compounds are classified as antimetabolites. Colon, breast, and upper gastrointestinal (GI) carcinomas respond to the antimetabolite 5-FU.

5-Fluorouracil Pharmacodynamics

Once transported into the tumor cell, 5-FU is converted through a series of enzymatic reactions to its active metabolite, 5-fluorodeoxyuridine monophosphate (FdUMP). This active metabolite forms a tight covalent complex with thymidylate synthase in the presence of the folate cofactor 5,10-methylene

tetrahydrofolate. Thymidylate synthase catalyzes the methylation of dUMP to dTMP, an essential step in DNA synthesis (see **Figure 7-1**). Because 5-FU is incorporated into both DNA and RNA, RNA processing is also altered.

5-fluorouracil toxicity typically consists of anorexia, nausea, vomiting, and stomatitis. In patients with DPD deficiency, these toxicities are drastically increased, resulting in GI ulcerations, diarrhea, neutropenia, neuropathy, shock, and potentially death. The frequency of no-function *DPYD**2A variant is presented in **Table 7-1**.

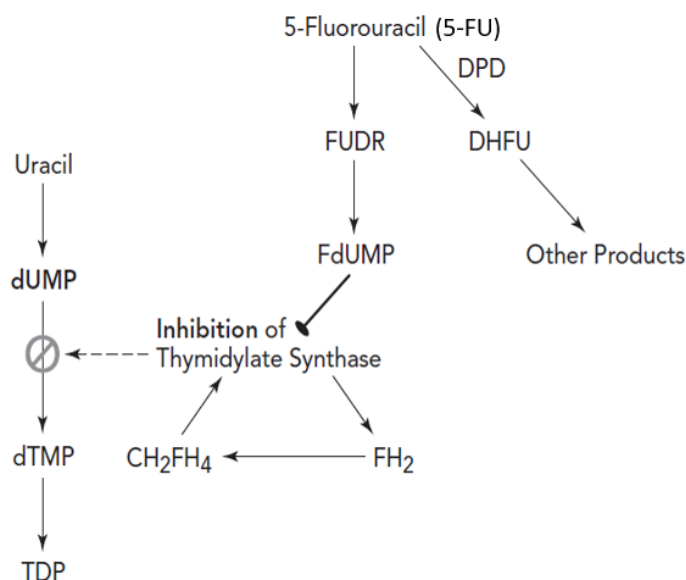


Figure 7-1 The proposed primary mechanism of action of 5-Fluorouracil. 5-FU (via FdUMP) inhibits thymidylate synthase, an enzyme required for the methylation of uracil to deoxyuridine monophosphate (dUMP) to deoxythymidine monophosphate (dTMP). TDP = thymidine diphosphate; FH₂ = dihydrofolate; CH₂FH₄ = methyl tetrahydrofolate. 5-Fluorouracil is metabolized by dihydropyrimidine dehydrogenase (DPD) to form dihydrofluorouracil (DHFU). A SNP resulting in inactive DPD can result in 5-FU severe toxicity and death in the face of standard dosing.

Table 7-1 Average Frequencies (presented as %) of the *2 *DPYD* Allele (rs3918290; no function) in Selected Populations.^a

Allele	Caucasian	Asian	Middle Eastern	African-American or Black
*2	0.862	0.15	0	0

^aCPIC supplemental data. Available at: <https://cpicpgx.org/guidelines/guideline-for-fluoropyrimidines-and-dpyd/>. Accessed August 7, 2017.

5-Fluorouracil Pharmacokinetics

Dihydropyrimidine dehydrogenase is the rate-limiting enzyme in the catabolism of 5-FU (**Figure 7-1**; see **Figure 7-2**). Catabolism is a form of metabolism that results in the breakdown of a molecule and the release of energy. Dihydropyrimidine dehydrogenase has been found to be responsible for 80% of the catabolism of 5-FU, which mainly occurs in the liver. A single nucleotide polymorphism (SNP) in the DPD gene (*DPYD*) has been shown to result in a DPD enzyme without catabolic activity.² This SNP consists of a G → A mutation changing an invariant GT splice donor site into AT, which leads to skipping of a 165 base pair exon. Ultimately, this results in the amino acid residues 581-635 in the DPD protein being incorporated incorrectly into the enzyme, causing a lack of activity. This *DPYD**2A variant is the most common mutation observed in cancer patients and has been reported to be present in 1.8% of the Dutch population.^{3,4} A reduction in DPD activity has been shown to increase the elimination half-life ($t_{1/2}$) and **area under the curve (AUC)** of 5-FU.^{5,6} 5-FU is administered via the parenteral route. Following an IV dose, it has a plasma half-life of 10 to 20 minutes. Less than 10% of the drug is excreted unchanged in the urine. Continuous IV infusion of

Chapter Summary

Individuals who have a loss-of-function variant of DPD may experience severe (high-grade) toxicity with “normal dose” 5-FU administration. Genetic testing can identify individuals who would require a lower dose of 5-FU in order to avoid severe toxicity. Specific 5-FU dosing guidelines are being developed by the Clinical Pharmacogenetics Implementation Consortium.⁸ The Dutch Pharmacogenetics Working Group Guidelines recommends that individuals with one variant DPD allele should receive a dose that is 50% of the normal dose or should receive alternative therapy. Individuals with two variant alleles should receive an alternative therapy to 5-FU.⁹

Answers to Case Questions

1. A standard dose of 5-FU would not be appropriate for NC. In fact, NC would be at risk of increased toxicity if a standard dose was started. A lower-than-normal dose would be required for NC, specifically 50% of the standard dose. Another option may be use of a different therapeutic agent.¹⁰
2. The pharmacist should explain to NC that this genetic screening was performed to determine if she was a candidate to receive 5-FU. The pharmacist would want to explain that chemotherapeutic agents are associated with side effects that may limit their use in some patients. By screening for the *DPYD* genotype, the healthcare team can determine if NC is a good candidate to receive 5-FU and determine an appropriate dose should 5-FU be utilized.

Review Questions

1. Which of the following is 5-FU's pharmacodynamic target?
 - a. Dihydroxypyrimidine dehydrogenase
 - b. Topoisomerase I
 - c. Dihydrofolate reductase
 - d. Thymidylate synthase
2. Which of the following is the rate-limiting enzyme in the catabolism of 5-FU?
 - a. Dihydroxypyrimidine dehydrogenase
 - b. Topoisomerase I
 - c. Dihydrofolate reductase
 - d. CYP2C19
3. 5-FU replaces a _____ during DNA and RNA replication.
 - a. purine
 - b. pyrimidine
 - c. piperazine
 - d. piperidine
4. A deficiency of DPD activity results in a(n) _____ in clearance and a(n) _____ in half-life of 5-FU.
 - a. increase; increase
 - b. decrease; decrease
 - c. decrease; increase
 - d. increase; no change
5. Which of the following is *not* a side effect of 5-FU toxicity?
 - a. Dyspepsia
 - b. Anorexia
 - c. Nausea, vomiting, diarrhea
 - d. Stomatitis

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CHAPTER

Eight

Irinotecan – *UGT1A1*

Learning Objectives

Upon completion of this chapter, the student will be able to:

1. Recognize the various genotypes of uridine diphosphate glucuronosyltransferase (*UGT1A*) relative to irinotecan metabolism.
2. Describe the appropriate use of genetic testing in an individual who is to receive irinotecan.
3. Interpret and utilize genetic testing information relative to irinotecan.

The student should understand that genetic variation can lead to altered conversion of a potentially toxic metabolite to an inactive form. Applying valid pharmacogenomic testing can aid in decision making relative to the actionable response of appropriate dosage adjustment in a given patient.

Case Questions

Upon completion of this chapter, the student will be able to answer the following questions pertaining to the case of DT:

- 1. Based on DT’s genetic constitution, would a standard dose of irinotecan be warranted?
- 2. How might the pharmacist explain to DT the need for genetic screening and what the results mean?

Key Terms	Definitions
clearance (CL; vol/time)	The volume of biologic fluid from which drug is removed per unit time.
genotype	The specific set of alleles inherited at a locus on a given gene.
pharmacogenomics (PGx)	The study of <u>many genes</u> , in some cases the <u>entire genome</u> , involved in response to a drug.

Key Equations	Description
↑, ↓	The number of arrows indicates the relative difference in the magnitude of the change.

Introduction

DT is a 61-year-old African American male who presented to his primary care physician with complaints of abdominal discomfort and blood in his stool for the past four months. Upon physical examination, the physician noted a positive stool guaiac test and decreased hematocrit and hemoglobin compared with values obtained approximately 13 months ago during an annual physical examination. DT was referred to a gastroenterologist, and a subsequent colonoscopy revealed multiple polyps as well as a mass in his transverse colon. A staging computed tomography (CT) scan revealed metastatic disease to the liver. DT was diagnosed with Dukes’ stage D colon cancer (stage IV disease), and the decision was made to surgically resect the transverse colon and regional lymph nodes. The surgeon also

performed a colostomy. DT now presents to the oncology outpatient clinic for his first course of chemotherapy.

DT is a jeweler, specializing in Swiss watch repair. He has smoked a pipe for 30 years. He states that he drinks beer (12 to 24 oz. three times a week). DT is married with two adult children, all alive and well. He is planning to retire and move to Florida in two years. He currently takes the following medications: glyburide 5 mg by mouth twice daily (5 years); Gaviscon® one tablet by mouth before bedtime, as needed; and ranitidine 150 mg by mouth at bedtime (6 years).

DT agrees to have his genetic information screened for potential variants that could affect his current medication therapy. His genetic screening reveals a single nucleotide polymorphism (SNP) of uridine diphosphate glucuronosyltransferase (*UGT1A1**28; homozygotic), which results in decreased enzyme expression.¹ His physician wants to start him on a standard dose of irinotecan. The pharmacist agrees to review **pharmacogenomics (PGx)** medications with DT.

Cancer is a disease in which the cellular control mechanisms that govern proliferation and differentiation are changed. Drugs used in cancer chemotherapy target important biosynthetic processes in proliferating cells. The cell cycle (see **Figure 8-1**) demonstrates the phases of DNA replication and mitosis. During the G1 phase, the cell prepares for mitosis and begins DNA synthesis; it is a period of rapid growth and metabolic activity. Cells that are at rest but not prepar-

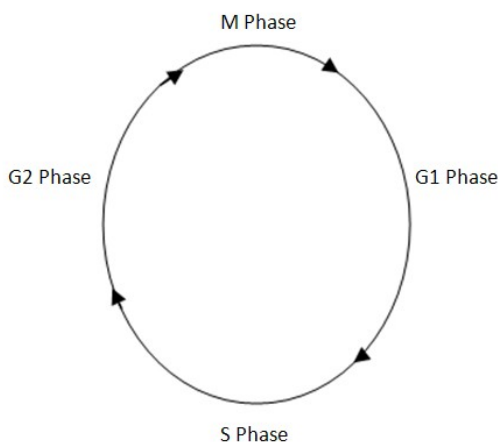


Figure 8-1 The cell cycle. The G1 phase represents cells preparing for mitosis and the beginning of DNA synthesis; it is a period of rapid growth and metabolic activity. Cells that are at rest but not preparing for cell division are in the G0 phase. (not shown). The S phase is the period of DNA synthesis and the target phase of many antitumor drugs, including irinotecan. G2 is a growth phase where the cell prepares for division. The M phase is the cellular division phase.

ing for cell division are in the G0 phase. The S phase is the period of DNA synthesis, and it is the target phase of many antitumor drugs, including irinotecan. G2 is the growth phase where the cell prepares for division. During the M phase, the cell divides.

Irinotecan Pharmacodynamics

During the S phase, the DNA double helix unwinds (see **Figure 8-2**). The unwinding of the DNA double helix results in torsional strain on the DNA strand, which is comparable to taking a tightly twisted rubber band and pulling it straight. If small cuts are made in the rubber band, the torsional strain is reduced. The cellular enzyme topoisomerase I (Top I) relieves this torsional strain in the DNA by creating reversible single-strand breaks. Irinotecan, which is indicated for the treatment of colorectal cancer, binds to Top I and prevents the repair of the single-strand breaks, thus stopping the S phase of the cell cycle.

Irinotecan and its hydrolyzation metabolite, SN-38, are active inhibitors of Top I.² SN-38 is more potent than irinotecan itself; as such, irinotecan is considered to be a prodrug.³ Hydrolyzation of irinotecan to SN-38 occurs in the intestinal mucosa, the plasma, and primarily in the liver by carboxylesterase (see **Figure 8-3**). SN-38 is converted to an inactive metabolite by UGT1A.

The SN-38 active metabolite has been associated with dose-limiting toxicities, including myelosuppression and severe diarrhea.^{4,5} Patients who are homozygous for the *UGT1A1**28 allele are more susceptible to these dose-limiting toxicities due to a decreased conversion of SN-38 to an inactive metabolite. For this reason, a reduction in the starting dose of irinotecan should be considered for such patients.

Irinotecan Pharmacokinetics

Irinotecan is metabolized primarily by hepatic carboxylesterase to SN-38 (see Figure 8-3). The SN-38 active metabolite undergoes conjugation by uridine diphosphate (UDP- (UGT1A1)) to form an inactive glucuronide metabolite. Although smoking increases the formation of SN-38, it has a greater effect on SN-38 glucuronidation, resulting in overall lower levels of the SN-38 active metabolite.^{6,7} SN-38 levels are increased by the *UGT1A1**28 polymorphism due to decreased inactivation. Ten percent of North Americans are homozygous for the *UGT1A1**28 allele. Seven TA repeats in the TATA box of the *UGT1A1* promoter region results in the variant allele *UGT1A1**28. Irinotecan has an elimination half-life of 6 to 12 hours, and the estimated half-life of SN-38 is 10 to 20 hours.

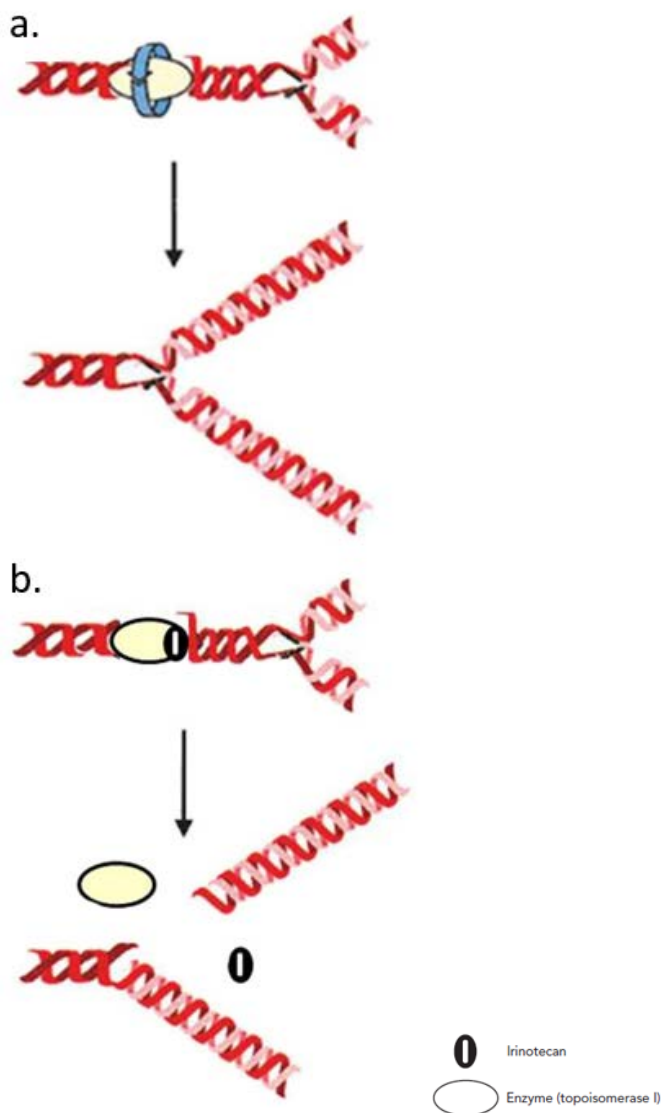


Figure 8-2 Topoisomerase (Top I) activity (a) and irinotecan (b) inhibition. Top I relieves the torsional strain in DNA by creating reversible single strand breaks. Irinotecan binds to Top I and prevents the repair of the single-strand breaks, thus arresting the S phase of the cell division process stopping proliferating cancer cells from synthesizing DNA.

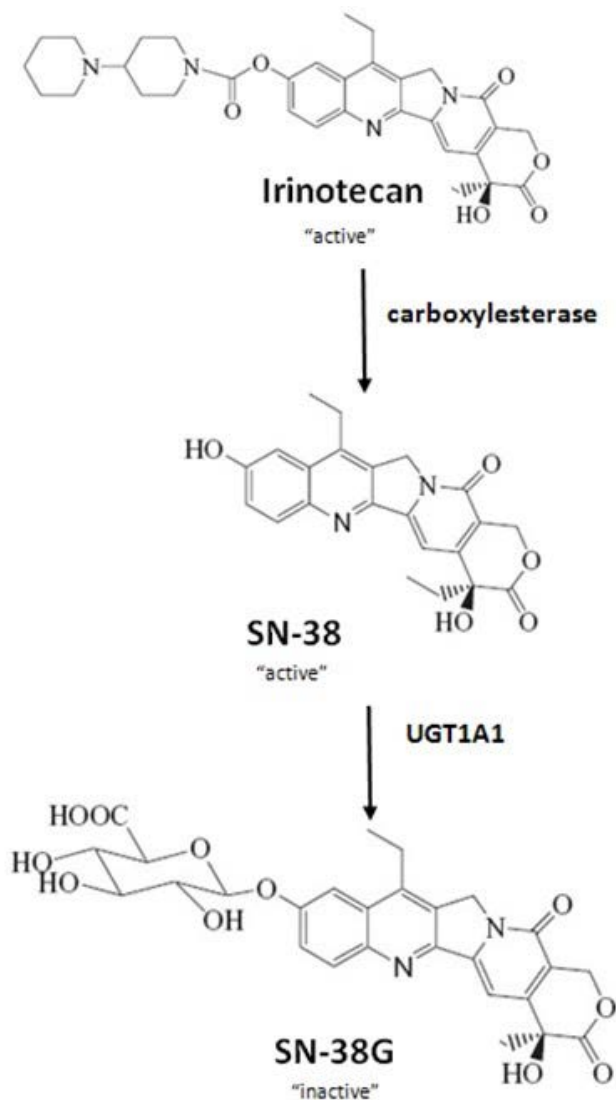


Figure 8-3 Irinotecan bioactivation to SN-38. Both the parent (irinotecan) and carboxylesterase metabolite (SN-38) are active Top I inhibitors; however, SN-38 is more active than irinotecan. The uridine diphosphate glucuronosyltransferase (UGT1A1) metabolite (SN-38G) is inactive.

Genetic–Kinetic Connection: SN-38 Glucuronidation

Irinotecan is a prodrug that provides therapeutic concentrations of the active compound SN-38 in the blood. SN-38 can be eliminated from the body by glucuronidation via *UGT1A1*. An individual may have the genetic constitution that results in a decrease in the formation of SN-38 glucuronide and higher concentrations of SN-38, such as those with the *UGT1A1**28 homology (*28/*28). In such cases, SN-38 concentrations are higher because the **clearance (CL)** of SN-38 via glucuronidation is decreased:

$$\uparrow C_{SN-38} = \frac{SN-38Dose}{CL \downarrow}$$

An individual who does not have the *28/*28 genetic constitution and who smokes may be expected to have an increased rate of formation of SN-38 from irinotecan, effectively increasing the “dose” of SN-38. Such individuals may also expect an increase in the glucuronidation of SN-38. It has been shown that smoking has a greater effect on the glucuronidation ($\uparrow\uparrow$) of SN-38 than it does on the formation (\uparrow) of SN-38:

$$\downarrow C_{SN-38} = \frac{\uparrow SN-38Dose}{CL \uparrow\uparrow}$$

Notably, it has been shown that smokers have a decreased risk of irinotecan-induced neutropenia as compared to nonsmokers.

The relationship between genetics and kinetics must always be taken into account. For instance, what would be expected to happen to the SN-38 concentration and side-effect profile of irinotecan in a *28/*28 individual who smokes?

A decrease in the starting dose of irinotecan is recommended for patients who have been found to be homozygous for the *UGT1A1**28 allele. Clinical research on patients who are heterozygous for *UGT1A1**28 has been variable for increased neutropenic risk, and such patients have tolerated normal starting doses. An FDA-approved test (Invader® *UGT1A1* Molecular Assay) is available for clinical determination of the UGT **genotype**. Irinotecan is only commercially available for intravenous administration, with a weekly regimen of 125 mg/m² over 90 minutes on days 1, 8, 15, and 22 of a 6-week treatment cycle (may adjust upward to 150 mg/m², if tolerated). Other dosing regimens are also available for dosing in 3-week intervals or in combination with other chemotherapeutic agents. Additionally, a patient’s history of cigarette smoking should be taken into consideration when initiating therapy with irinotecan. The Royal Dutch Pharmacists Association–Pharmacogenetics Working Group has offered dosing recommendations for irinotecan based on *UGT1A1* genotype and the Clinical Pharmacogenetics Implementation Consortium plans to evaluate this gene–drug interaction.^{8,9}

Chapter Summary

As with other reduced-function alleles related to metabolism, the substrate, here SN-38, increases in patients who are carriers of the *UGT1A1**28 allele, resulting in potentially life-threatening adverse events. Patients who are homozygous for the *UGT1A1**28 allele are especially at risk for toxicity. Genetic testing can help identify these patients and allow for the use of an appropriate dose of irinotecan.

Answers to Case Questions

1. A decrease in starting dose is recommended for patients who have been found to be homozygous for the *UGT1A1**28 allele.
2. When first discussing the need for genetic testing, DT needs to be reassured that he is only being screened to determine his risk of toxicity relative to irinotecan. He will need to be assured that only genes involved in drug response are being screened and not his susceptibility to disease or anything else. The discussion should include how genetic screening can help to save his life, decrease side effects, and save him money. His genetic-screening results should be explained to him such that he understands that his therapeutic response to a regimen made specifically for him will improve as a result of determining his *UGT1A1* expression. Furthermore, the decrease in the starting dose determined by his genetic screening will decrease his likelihood of suffering adverse events associated with irinotecan.

Review Questions

1. A patient who is homozygotic for the *UGT1A1**28 allele would be predicted to have a higher plasma concentration of which of the following?
 - a. Irinotecan
 - b. SN-38
 - c. SN-38G
 - d. Irinotecan-G
2. The dose-limiting toxicities associated with irinotecan therapy include which of the following?
 - a. Myelosuppression
 - b. Diarrhea
 - c. Nausea and vomiting
 - d. a and b only
 - e. a, b, and c
3. Which of the following is irinotecan's pharmacodynamic target?
 - a. Dihydroxypyrimidine dehydrogenase
 - b. Topoisomerase I
 - c. Dihydrofolate reductase
 - d. Thymidylate synthase
4. A patient who is homozygotic for the *UGT1A1**28 allele would be predicted to have which of the following types of drug–gene interactions?
 - a. Pharmacodynamic
 - b. Pharmacokinetic
5. Which of the following patients would likely require the lowest starting dose of irinotecan?
 - a. A patient who is homozygotic for *UGT1A1**28.
 - b. A patient who is heterozygotic for *UGT1A1**28.
 - c. A chronic smoking patient who is heterozygotic for *UGT1A1**28.
 - d. A chronic smoking patient who is homozygotic for *UGT1A1**28.

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CHAPTER

Nine

6-Mercaptopurine – *TPMT*, *NUDT15*

Learning Objectives

Upon completion of this chapter, the student will be able to:

1. Recognize the various genotypes of thiopurine methyltransferase (*TPMT*) relative to 6-mercaptopurine metabolism.
2. Explain the appropriate use of genetic testing in an individual who is to receive 6-mercaptopurine.
3. Interpret and utilize genetic testing information (*TPMT* and *NUDT15*) relative to 6-mercaptopurine.

Students need to understand the potential for a gene(s)–drug interaction related to a drug metabolizing enzyme, recognizing that variation in a drug metabolizing enzyme can lead to severe toxicity and death with standard dosing of 6-mercaptopurine. A valid genetic test can indicate a patient’s risk of toxicity due to decreased drug metabolism.

Case Questions

Upon completion of this chapter, the student will be able to answer the following questions pertaining to the case of CP:

- 1. Based on CP’s genetic constitution, would a standard dose of 6-mercaptopurine be warranted?
- 2. Is genetic testing required for all individuals who are to receive 6-mercaptopurine?

Key Terms	Definitions
genotype	The specific set of alleles inherited at a locus on a given gene.
pharmacodynamic	The relationship between drug exposure and pharmacologic response.
pharmacokinetic	The relationship of time and drug absorption, distribution, metabolism, and excretion.
prodrug	A drug that requires conversion to an active form.

Introduction

CP is a 10-year-old Caucasian male with a three-month history of general malaise, severe nosebleeds, frequent infections, and, more recently, swollen lymph nodes in the neck. Additionally, CP has been experiencing fever and bleeding of his gums (with and without brushing). CP is pale in appearance and complains of being “very tired.”

The patient’s family history includes an older sibling who was diagnosed with acute lymphocytic leukemia (ALL) at the age of 12 years. Physical exam, blood work, and a bone marrow biopsy indicate that CP has the same disease (ALL) as that diagnosed earlier in his older brother. CP undergoes successful chemotherapy, which results in remission of the disease. CP undergoes consolidation/intensification treatment along with central nervous system sanctuary therapy. At this time, maintenance therapy is considered. However, it is noted that CP’s brother nearly died due to myelosuppression following the

initiation of maintenance therapy with 6-mercaptopurine (6-MP) and methotrexate. The board-certified oncology pharmacist explains the benefits of genetic testing, especially in this setting. Therefore, prior to initiation of maintenance therapy with 6-MP, CP has genetic testing performed to evaluate the activity of thiopurine methyltransferase (TPMT), which is responsible for the metabolism of 6-MP.^{1,2} With testing, it is noted that CP carries a *TPMT* variant allele, having the **genotype** *1/*3A.

Note that because approximately 90% of a dose of azathioprine is converted to 6-MP, this chapter will address 6-MP.

6-Mercaptopurine Pharmacodynamics

6-Mercaptopurine, which was first synthesized in the early 1950s, has been a long-standing ALL maintenance therapy in combination with methotrexate.^{3–5} 6-Mercaptopurine, which is taken up by cells via nucleoside transporters, is an inactive **prodrug** that requires activation within the cell to eventually form the cytotoxic compound thio-deoxyguanosine triphosphate (TdGTP).^{2,6,7} The incorporation of TdGTP into DNA inhibits the action of a number of enzymes relevant to replication and repair of DNA. Damage to DNA ensues, including single-strand breaks and other terminal events.^{8,9}

6-Mercaptopurine Pharmacokinetics

One metabolic pathway of interest involves conversion of 6-MP to thioinosine monophosphate (TIMP) by hypoxanthine guanine phosphoribosyl transferase (HPRT1). Subsequently, TIMP is converted to thioxanthosine monophosphate (TXMP) via inositol monophosphate dehydrogenase (IMPDH), and then to thioguanosine monophosphate (TGMP) by guanosine monophosphate synthetase (GMPS). At this point, TGMP can go on to eventually form TdGTP, as stated earlier, eliciting a therapeutic effect, or TGMP can be metabolized by TPMT to form 6-methyl-thioguanine monophosphate (6-MeTGMP; **Figure 9-1**).

Thus, competition occurs between the conversion of TGMP via the activation pathway to form TdGTP and the conversion of TGMP via the metabolic pathway to form 6-MeTGMP. An inverse relationship exists between TPMT activity and the formation of the active metabolites of 6-MP.

The polymorphic *TPMT* is the potential cause of severe, potentially life-threatening, myelosuppression. The wild-type *TPMT* (*1/*1) denotes normal metabolic function, and individuals with this genotype are dosed with the typical starting dose of 6-MP. Although there are more than 15 known *TPMT* alleles, the frequency of the nonfunctional alleles *2, *3A, *3B, *3C, and *4 are of concern when initiating therapy with 6-MP or azathioprine.^{2,10} These variant alleles constitute greater than 90% of the nonfunctioning alleles, with approximately 1 in 178 to 1

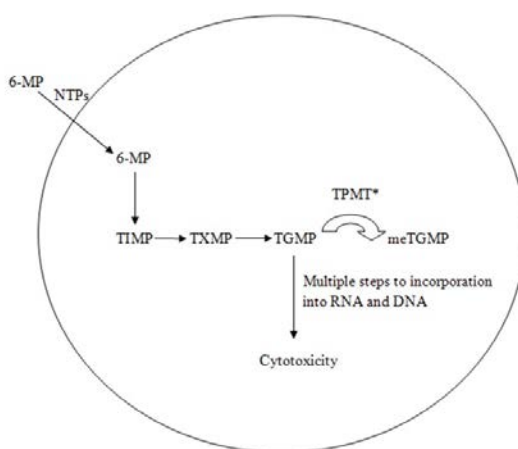


Figure 9-1 Following transport into cells via nucleoside transporters (NTPs), one metabolic step of interest is thioguanine monophosphate (TGMP) conversion via thiopurine methyltransferase (TPMT) to meTGMP. The polymorphisms seen with respect to *TPMT** affect the potential toxicity of 6-mercaptopurine. TIMP = thioinosine monophosphate, TXMP = thioxanthosine monophosphate.

in 3,736 patients being homozygous for a nonfunctioning allele. These individuals would be at greater risk of life-threatening 6-MP–induced toxicity. Additionally, approximately 3% to 14% of individuals are heterozygous for one nonfunctional allele, thus imparting a risk of moderate toxicity with the use of standard

doses of 6-MP. Additionally, a number of rare alleles, including *6, *9, *10, *11, *12,*13, *16, *17, and *18, appear to impart a reduced-function *TPMT*. **Table 9-1** presents information on the frequency of alleles in various populations.

Individuals who are heterozygous with one normal-function allele and one nonfunctional allele require a lower dose of 6-MP to avoid toxicity. This is especially important when treatment is for a malignant disease, such as ALL, for which higher starting doses are used as compared to doses used for nonmalignant diseases. In this case, the dose of 6-MP should be 30% to 70% of the usual dose.^{10–13} For patients who are homozygous for nonfunctional alleles, the dose should be reduced by 10-fold and be administered less frequently (typically three times weekly as compared to once daily).^{10–13}

Table 9-1 Average Frequencies of the *1 *TPMT* Allele and Highest Frequency of a Given Nonfunctional Allele in Selected Populations^a

Allele	Caucasian	Mediterranean	South American	African	Middle Eastern	Mexican	Asian	Southwest Asian
Frequencies of the *1 allele in selected populations.								
*1	0.95671	0.96081	0.95233	0.94284	0.96987	0.925	0.98364	0.97837
Highest frequency of nonfunctioning allele (*3A, *3C) in selected populations.								
*3A	0.0354	0.0254	0.0287		0.0114	0.0533		
*3C				0.048			0.0157	0.0133

^a The *2, *3B, and *4 alleles occur in the above populations at lower frequencies than noted for the *3A and *3C alleles. *Source:* Adapted from Relling MV, Pui CH, Cheng C, Evans WE. Thiopurine methyltransferase in acute lymphoblastic leukemia. *Blood*. 2006;107:843–844. Supplemental information available at <https://cpicpgx.org/guidelines/guideline-for-thiopurines-and-tpmt/>.

Failure to adjust the dose of 6-MP downward for patients with loss-of-function *TPMT* alleles can result in fatal toxicity. Here, a **pharmacokinetic** alteration (metabolism) results in toxicity (**pharmacodynamic** end point). Dosing guidelines for 6-MP and other thiopurines have been developed by the Clinical Pharmacogenetics Implementation Consortium.¹⁰

Two other metabolic pathways of interest are the metabolic conversion of thioguanine triphosphate (TGTP) a toxic mercaptopurine metabolite to thioguanine monophosphate (TGMP) and conversion of thiodeoxyguanine triphosphate (TdGTP) to thiodeoxyguanine monophosphate (TdGMP). The

gene nucleotide triphosphate diphosphatase (*NUDT15*) product *NUDT15* is responsible for these conversions.¹⁴ With the former bearing clinical importance. *NUDT15* no-function (loss of function) and unknown function alleles have been identified. The *NUDT15* *2 and *3 alleles are no-function forms, while *4, *5, *6, *7, *8, and *9 are of unknown function.¹⁵

Metabolism phenotypes include normal (NM; e.g., *1/*1; two normal function forms), intermediate (IM; e.g., *1/*2; one normal function allele and one no function allele), possible intermediate (pIM; e.g., *2/*7; one no function allele and one unknown function allele), poor (PM; e.g., *2/*2; two no-function alleles), and indeterminant which include a combination of unknown function alleles.¹⁶ Reduced doses of 6-MP are recommended for *NUDT15* IM, pIM, and PM individuals.¹⁶ No function alleles are seen at higher frequencies in Hispanic and Asian individuals.¹⁷

Chapter Summary

Patients who are to receive 6-MP may be at risk of severe toxicity due to the potential of having reduced or loss-of-function/no function *TPMT*. Although genetic testing is not currently required prior to the use of 6-MP, it is recommended that such testing be performed. Although patients who are homozygous for reduced or loss-of-function/no function *TPMT* alleles are at risk of toxicity, patients who are heterozygotes are also at risk and require downward dosage adjustment. Individuals who are *NUDT15* IMs, pIMs, or PMs require a lower dose of 6-MP to decrease the risk of myelosuppression.

Answers to Case Questions

1. The *TPMT**1/*3A genotype reveals that CP has one loss-of-function allele (*3A). Therefore, CP would require a lower dose of 30% to 70% of the normal full starting dose. The dose can be adjusted based on the level of myelosuppression.

2. Currently, genotyping for *TPMT* is recommended but not mandatory. However, in CP's case there is a clear rationale for genetic screening. It is recommended that individuals who are to receive 6-MP (or other thiopurines) have their *TPMT* genotype determined.¹⁰

Review Questions

1. A patient with ALL is to receive 6-MP for maintenance therapy. The patient's genotype is determined, relative to *TPMT* activity, and the results identify that the patient's genotype is *1/*3C. Which of the following is correct?
 - a. The patient should receive the usual dose of 6-MP.
 - b. The patient should receive a dose that is 30% to 70% of the usual dose.
 - c. The patient should receive a dose that is reduced 10-fold as compared to the usual dose.
 - d. The patient should receive an increased dose of 6-MP relative to the usual dose.
2. With respect to *TPMT* and genotype, which of the following patients would be *least* likely to experience severe myelosuppression due to 6-MP administration?
 - a. *1/*1
 - b. *1/*3C
 - c. *2/*3A
 - d. *3A/*3C
3. The interaction between the genetic makeup of an individual relative to *TPMT* and myelosuppression with 6-MP dosing is a result of which of the following?
 - a. A gene–pharmacokinetic interaction
 - b. A gene–toxicokinetic interaction
 - c. A gene–pharmacokinetic–pharmacodynamic interaction
 - d. An idiosyncratic reaction (one of unknown origin)
4. 6-Mercaptopurine and azathioprine cannot be used in patients with two loss-of-function alleles relative to *TPMT*.
 - a. True

b. False

5. A reduced dose of 6-MP is recommended in individuals who are NUDT15 IMs, pIMs, or PMs.

a. True

b. False

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CHAPTER Ten

Warfarin – *CYP2C9/VKORC1*

Learning Objectives

Upon completion of this chapter, the student will be able to:

1. Recognize the various genotypes of cytochrome P450-2C9 (*CYP2C9*) relative to warfarin metabolism.
2. Recognize the various haplotypes of vitamin K epoxide reductase (*VKORC1*) relative to warfarin activity.
3. Explain the appropriate use of genetic testing in an individual who is to receive warfarin.
4. Interpret and utilize genetic testing information relative to warfarin.

The student should understand the influence of genetic variation in a drug metabolism enzyme and a drug target protein. The student also should recognize that more than one valid genetic test is needed to be able to appropriately predict and evaluate a given patient's response to warfarin.

Case Questions

Upon completion of this chapter, the student will be able to answer the following questions pertaining to the case of LK:

1. Based on LK's genetic constitution, would a standard dose of warfarin be warranted?
2. Why did the pharmacist point out that although determination of the dosing regimen of warfarin would be aided by genetic evaluation, the clinical outcome of warfarin use based on genetic information is not known?

Key Terms	Definitions
CYP; CYP450	The cytochrome P450 oxidative metabolic enzyme superfamily.
haplotype	Regions of DNA containing multiple single nucleotide polymorphisms (SNPs).
pharmacodynamics (PD)	The relationship between drug exposure and pharmacologic response.
pharmacokinetics (PK)	The relationship of time and drug absorption, distribution, metabolism, and excretion.
pharmacogenomics (PGx)	The study of many genes, in some cases the entire genome, involved in response to a drug.
reference SNP number (refSNP; rs#)	A number that is a unique and consistent identifier of a given SNP.

Key Equations	Description
$D_M = C_{ss} \cdot CL$	The maintenance dose related to the desired steady-state concentration and clearance.
$E = E_0 - \frac{(E_{\max})(C^\gamma)}{EC_{50}^\gamma + C^\gamma}$	The effect related to the baseline effect, the maximal effect, and drug concentration and inversely related to drug concentration eliciting a half-maximal response and the drug concentration.

Introduction

LK is a 57-year-old Caucasian male with a 19-year history of hypertension (stage 1; 148/92 mm Hg). He sees his family practice physician with complaints of shortness of breath with normal activity, lack of energy, and a sensation of his heart

“racing” in his chest. An ECG is performed, and LK is diagnosed with atrial fibrillation, with a heart rate of 147 beats per minute (bpm) at rest. LK is referred to a cardiologist. The completion and review of a 48-hour Holter monitor study confirms this diagnosis.

LK is a writer for a national baseball website. He is sedentary much of the day because he works at his computer. He is overweight and is taking the following medications: atorvastatin 10 mg each morning, aspirin 81 mg each morning, hydrochlorothiazide 25 mg each morning, and a daily multivitamin. LK does not smoke, nor does he drink alcohol. Included in LK’s treatment plan is the use of the anticoagulant warfarin to reduce blood clot formation and the risk of cerebral vascular accident (CVA). LK is referred to the pharmacy department’s “anticoag clinic,” where specific attention is paid to optimizing therapy with warfarin. The treatment goal for LK is the prevention of blood clots while avoiding bleeding episodes, which are a significant risk of warfarin therapy. As part of the clinic’s approach to warfarin therapy, patients are asked to have their genetic information screened for variants of cytochrome P450-2C9 (*CYP2C9*). This is the major metabolic enzyme responsible for metabolism of the more active *S*-enantiomer of warfarin. The clinic also employs genetic testing of vitamin K epoxide reductase subunit 1 (*VKORC1*), which is responsible for vitamin K reduction, leading to activation of blood clotting factors.¹ With testing, it is noted that LK carries a *CYP2C9* allelic variant, having the genotype *2/*2. It also is noted that LK has the AG genotype for *VKORC1* related to the *2 haplotype.

The pharmacist explains that LK’s genetic constitution requires him to receive a lower dose of warfarin as compared to the average individual. It also is explained to LK that the genetic information will be used to design a therapeutic regimen that will hopefully optimize anticoagulation while avoiding bleeding events. The goal is to rapidly achieve an appropriate international normalized ratio (INR) with warfarin. The pharmacist explains that although there is preliminary research showing that genotype-guided warfarin prescribing

helps move patients into a therapeutic INR range sooner, the true outcome of the genetically guided therapy cannot be predicted at this time.

Warfarin, a mainstay in anticoagulation therapy, is a very difficult drug to dose correctly. The drug's **pharmacokinetics (PK)** are marked by stereochemical differences, genetic variation in metabolism, and dietary influences, among other variables. Warfarin's **pharmacodynamics (PD)** are examined in the context of the intricate coagulation system and the genetic variation that further complicate dosing.²

Warfarin Pharmacodynamics

Genetic variability relative to dosage requirements for patients on warfarin includes a pharmacodynamic component. Vitamin K epoxide reductase subunit 1 is responsible for reducing vitamin K 2,3-epoxide back to the active form of vitamin K after the activation of clotting factors (see **Figure 10-1**). This active form of vitamin K is needed for carboxylation of glutamic acid residues in some clotting proteins. The specific clotting proteins (II, VII, IX, and X) are referred to as vitamin K–dependent clotting factors. Thus, VKORC1 “recycles” vitamin K, which aids in the production of active clotting factors. Warfarin is effective as an anticoagulant because it inhibits VKORC1, resulting in decreased active vitamin K and inactive vitamin K–dependent clotting factors. It appears from numerous reports that the genotype of *VKORC1* may be of greater significance in determining the warfarin maintenance dose than is the *CYP2C9* genotype.^{3–5}

Four significant **haplotypes**—regions of the DNA with multiple SNPs—that are related to variations in *VKORC1* have been identified. These haplotypes are termed *VKORC1**1, *2, *3, and *4, with *VKORC1**1 being considered the wild-type, exhibiting normal protein activity.⁶ The *2 variant (including rs9923231), also known as haplotype group A, designates an individual who produces lower amounts of VKORC1 and is more sensitive to warfarin. Such a patient would require a lower

warfarin dose for therapeutic efficacy.^{6,7} The *2 variant is most prevalent in the Asian population (~90%) and among Caucasians (~40%); it is present in only 11% of African Americans. The basis for this variant is an adenine (A) at position 3673 and a thymine (T) at position 6484 of the haplotype.⁶ *VKORC1**3 and *VKORC1**4 are similar, being differentiated mainly by a SNP at position 9041; individuals homozygous for the *3 or *4 variant require a relative higher dose of warfarin.⁶ The *3 and *4 variants are seen in approximately 20% and 10%, respectively, of African Americans, and the *4 variant is observed in 20% of individuals of European descent.

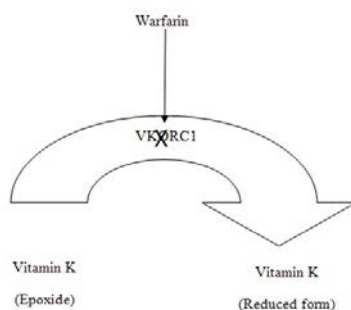


Figure 10-1 Warfarin inhibits VKORC1, which is responsible for producing the reduced form of vitamin K, which is essential for the formation of functional clotting factors (II, VII, IX, and X). In the face of reduced VKORC1, less warfarin is required to elicit an effect.

Warfarin Pharmacokinetics

Commercially available warfarin products are a 50:50 racemic mixture with different metabolic pathways for the *R*-warfarin and *S*-warfarin enantiomers. The *R*-warfarin isomer is metabolized by CYP1A1, CYP1A2, CYP2C19, and CYP3A4 as well as other non-CYP enzymes. The *S*-warfarin isomer is approximately five times more potent than its *R*-warfarin counterpart and is almost exclusively metabolized by CYP2C9, although CYP2C8, CYP2C18, and CYP2C19 play minor, negligible roles in its elimination (see **Figure 10-2**).⁸⁻¹⁰ It is the genetic variation in *VKORC1* and *CYP2C9* that is largely responsible for the varied dose requirements among patients.

The large variability in the metabolism of *S*-warfarin has been attributed to the single nucleotide polymorphisms (SNPs) of *CYP2C9*.¹¹ The gene encoding for the *CYP2C9* enzyme is located on chromosome 10, and numerous SNPs related to this gene have been identified, with the majority producing malfunctioning proteins. Evaluation of *CYP2C9* in 192 European American patients receiving warfarin detected 132 SNPs, of which eight were nonsynonymous SNPs found in the coding region.¹² The SNPs code for a number of alleles, including *CYP2C9**2, *3, *9, *11, and *12. Of these, *CYP2C9**2 and *CYP2C9**3 were

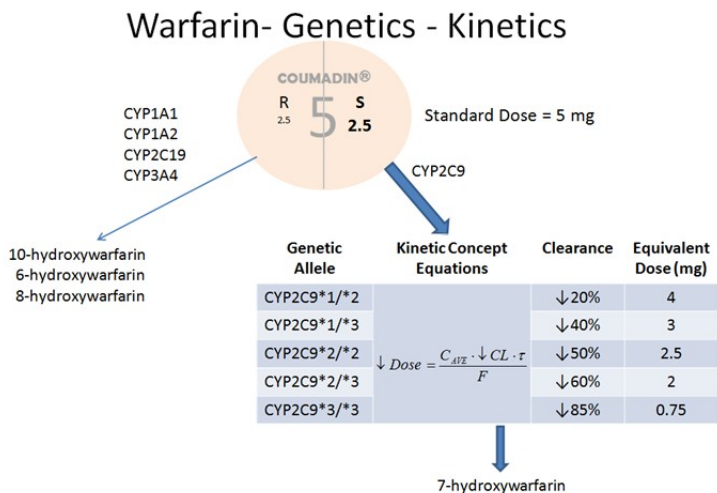


Figure 10-2 The racemic (50/50) R/S warfarin is metabolized by various cytochrome P450 enzymes, with *CYP2C9* being of major importance as it metabolizes the more potent *S* form of the drug. Reduced function *CYP2C9* alleles (*2, *3) result in a decreased clearance of the *S* form of warfarin and thus patients with reduced functioning *CYP2C9* require lower doses of the drug.

represented at a significant frequency (> 1%) of 11% and 6%, respectively (see **Figure 10-3**).¹² Both of these alleles were associated with a statistically significant lower warfarin dosage requirement.¹²

Similar to the above findings, *CYP2C9* allele frequencies

were studied in two patient groups.¹³ One group consisted of 177 Caucasian patients and 12 additional patients of various ethnicities. The *2 allele was pre-sent in 11.6% of the Caucasian patients, and the *3 allele was present in 6.9% of the Caucasians patients. The second group (a comparison control group) consisted of Caucasians (*n* = 87), Asians (*n* = 44), and African Americans (*n* = 47). The *2 allele was found in 15.5% of the Caucasian patients and in 1.1% of the combined Asian and African American cohorts. The *3 allele was seen in 6.9% of Caucasian patients, but was only present in 1.7% of Asian/African American patients.¹³ These reported allele frequencies for *CYP2C9**2 and *CYP2C9**3 in the first group are comparable to earlier reports in a similar population with values of 12.2% and 7.9%, respectively.¹⁴ Patients in this evaluation expressing the *1/*3, *2/*2, *2/*3, and the *3/*3

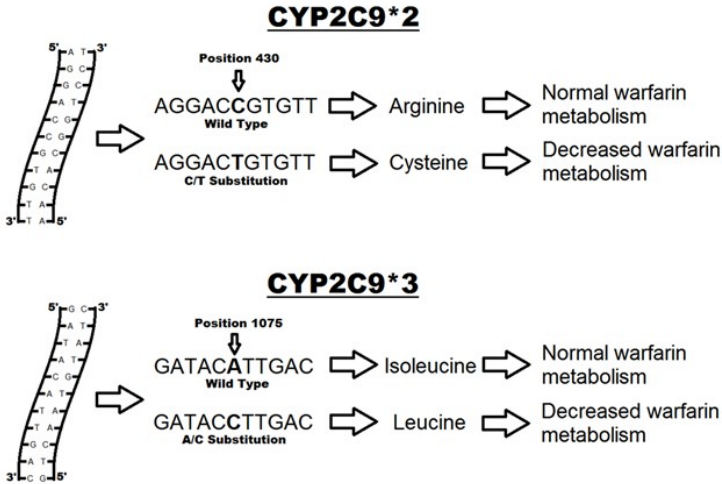


Figure 10-3 Example of *CYP2C9* SNPs resulting in decreased metabolic function relative to warfarin metabolism. *Note:* On the continuous DNA strands, the first two bases are the last two of a codon, with subsequent codons following.

genotypes had a statistically significant lower warfarin dosage requirement, with the *2/*3 and *3/*3 individuals requiring the

lowest doses.¹³ **Table 10-1** presents examples of the known *CYP2C9* SNPs with relevance to enzyme activity. The **reference SNP numbers** are provided (rs#). These numbers are unique and consistent identifiers of the given SNP.

More recent data in individuals of African ancestry provides moderate recommendations that *1/*8, *1/*11, *8/*11 diplotypes are associated with a 15% to 30% lower dose requirement.¹⁵ In African American individuals with the A allele at rs12777823 a decreased dose of 10% to 25% should be considered.¹⁵ This too is a moderate level recommendation. CPIC also provides other optional considerations in genetically guided warfarin dosing.¹⁵

The identified SNPs resulting in various *CYP2C9* alleles and altered enzyme metabolic capacity have been a major component of genotype-guided warfarin therapy. However, an association between another CYP enzyme and warfarin dose requirement has been identified. A SNP in the *CYP4F2* gene has been associated with as much as a 25% variance in the daily dose of warfarin.¹⁶ The altered warfarin dosing requirements with variants of *CYP4F2* are related to decreasing vitamin K availability via vitamin K₁ oxidation, not the metabolism of warfarin (i.e., a pharmacodynamic interaction).^{17,18} Subsequently, a genome-wide study confirmed that *CYP4F2* SNPs accounted for 0.5 mg/day differences in the warfarin dosage requirement.¹⁹ Further research now suggests that individuals of non-African ancestry with the T allele at rs2108622 receive an increased dose of 5% to 10%, although this is noted to be an optional recommendation.¹⁵

Combining the *CYP2C9* and *VKORC1* information allows the use of the FDA-approved dosing guideline table presented in the warfarin package labeling (see **Table 10-2**).²¹ Ideally, validated warfarin dosing algorithms can be employed along

Table 10-1 Representative Single Nucleotide Polymorphisms for *CYP2C9*.

Allele	Nucleotide Change	SNP rs ^{##}	Enzyme Activity
<i>CYP2C9</i> *1 ^b			Normal
<i>CYP2C9</i> *2 ^b	430C>T	rs1799853	Reduced
<i>CYP2C9</i> *3 ^b	1075A>C	rs1057910	Reduced
<i>CYP2C9</i> *4	1076T>C	rs56165452	Reduced
<i>CYP2C9</i> *5	1080C>G	rs28371686	Reduced

^a A number that is a unique and consistent identifier of a given SNP. ^b Seen in populations at significant frequencies (> 1%).

Genetic–Kinetic Connection: Warfarin Dosing and *CYP2C9*

A patient is diagnosed with proximal deep venous thrombosis, having experienced right leg calf pain following knee replacement surgery. The patient is to be started on warfarin and is to be maintained on the drug for six months. In consultation with a pharmacist at the anticoagulation clinic, the patient’s physician orders genetic testing to determine the patient’s *CYP2C9* and *VKORC1* genotype. The results indicate that the patient has a variant *CYP2C9* allele with the genotype being *CYP2C9**1/*3. However, the *VKORC1* results are delayed. The patient’s maintenance dose is determined to be 3 mg per day.

The patient is heterozygous for a reduced-function allele (*1/*3), which indicates the need for a lower-than-normal maintenance warfarin dose. Therefore, the patient receives a maintenance dose of 3 mg per day as compared to 5 mg per day. The decreased-functioning *CYP2C9* enzyme results in decreased clearance of warfarin. Because clearance is utilized in calculating the maintenance dose (D_M), the resultant dosing requirements reflects the patient’s *CYP2C9**1/*3 status:

$$\downarrow D_M = C_{ss} \cdot \downarrow CL$$

Although it is not the standard of practice to monitor the concentration of warfarin (C_{ss}), the concentration is a result of a given dose. Understanding that the clearance of warfarin is decreased in this individual, and desiring a similar average warfarin concentration to that which would be produced by the “average” dose, it is understood that the dose needs to be reduced. The goal here is to have the patient’s INR within the therapeutic range (2.0–3.0) to achieve anticoagulation.

Genetic–Kinetic–Dynamic Connection: Warfarin Dosing, *CYP2C9*, and *VKORC1*

An individual receives his genome results based on the saliva sample he provided to a direct-to-consumer “personal genome” company. Because the individual is a pharmacist and is interested in the drug response results, he looks at the information provided regarding warfarin. The results state that he has “increased sensitivity” to warfarin. With some investigation, he sees the following information: *CYP2C9**1/*1, *VKORC1* –1639/3673 AA

The *CYP2C9**1/*1 result means that he has the normal-function metabolizing enzyme. This allelic designation is related to pharmacokinetics, because the clearance (which determines the maintenance dose) would be considered to be average. Based on this information alone, if he were to require warfarin therapy the average dose would be sufficient.

However, with respect to the pharmacogenomic–pharmacodynamic relationship, the *VKORC1* –1639/3673 AA designation (also named rs9923231), which is another term that refers to the *VKORC1**2 haplotype, indicates that if he were to receive warfarin he would need a lower-than-average dose. The following inhibitory E_{max} (baseline subtraction) model best describes the anticoagulation effect, with the *VKORC1* genotype being related

to warfarin sensitivity: $E = E_0 - \frac{(E_{max})(C^\gamma)}{EC_{50}^\gamma + C^\gamma}$ Here, the –1639/3673 AA genotype results in the lowest EC_{50} value, indicating the increased sensitivity to warfarin.²⁰

Table 10-2 Range of Expected Therapeutic Warfarin Maintenance Doses (mg/d) Based on *CYP2C9* and *VKORC1* Genotypes.

VKORC1 Genotypes	Cytochrome P450 <i>CYP2C9</i> Genotypes					
	*1/*1	*1/*2	*1/*3	*2/*2	*2/*3	*3/*3
G/G	5–7	5–7	3–4	3–4	3–4	0.5–2
A/G	5–7	3–4	3–4	3–4	0.5–2	0.5–2
A/A	3–4	3–4	0.5–2	0.5–2	0.5–2	0.5–2

Source: Coumadin tablets (warfarin sodium tablets, USP) crystalline Coumadin for injection (warfarin sodium for injection, USP). Package labeling can be found at http://packageinserts.bms.com/pi/pi_coumadin.pdf. Accessed October 17, 2011.

Chapter Summary

Millions of warfarin prescriptions are filled in the United States each year.²² Historically, the approach to initial warfarin dosing has been based solely on trial and error, where doses are adjusted frequently until the patient is stable with an acceptable therapeutic INR, generally between 2.0–3.0. The Clinical Pharmacogenetic Implementation Consortium warfarin dosing guidelines were published in 2011 and provide a clear approach to the application of **pharmacogenomic (PGx)** information.¹⁸ The advent of PGx dosing of warfarin has been shown to improve initial dosing; however, further experience and documentation of PGx dosing is needed.²³

Answers to Case Questions

1. The *CYP2C9**2/*2 genotype is associated with a lower required dose of warfarin. Additionally, the *VKORC1* AG genotype is also related to a lower dose requirement, such that the dose requirement of warfarin in a patient with these genotypes is approximately half the average dose.
2. Although one study has shown that the use of genotype-guided warfarin dosing puts an individual into the INR therapeutic range sooner and decreases the incidence of minor bleeds, further studies are needed in larger populations to confirm these findings.

Review Questions

- Which of the following *CYP2C9* alleles are considered reduced-function alleles?
 - *2
 - *1
 - *3
 - both a and c
 - a, b, and c
- The interaction between the *VKORC1* gene and warfarin is considered to be a:
 - pharmacokinetic interaction.
 - pharmacogenetic interaction.
 - pharmacogenomic interaction.
 - pharmacodynamic interaction.
 - non-interaction.
- Consider the following table, as presented in the warfarin package labeling:

	Cytochrome P450 <i>CYP2C9</i> Genotypes					
<i>VKORC1</i> Genotypes	*1/*1	*1/*2	*1/*3	*2/*2	*2/*3	*3/*3
G/G	5–7	5–7	3–4	3–4	3–4	0.5–2
A/G	5–7	3–4	3–4	3–4	0.5–2	0.5–2
A/A	3–4	3–4	0.5–2	0.5–2	0.5–2	0.5–2

- A patient has the genotypes of *1/*3 and A/G for *CYP2C9* and *VKORC1*, respectively. What initial maintenance dose would you recommend for this patient?
- 5 mg daily
 - 3 mg daily
 - 7 mg daily
 - 2 mg daily
 - 6 mg daily

4. An individual with a *CYP2C9**2/*3 genotype would require a lower maintenance dose of warfarin because the drug's _____ is decreased.
 - a. clearance
 - b. volume of distribution
 - c. half-life
 - d. absorption rate
 - e. renal elimination

5. Which of the following *CYP2C9* reduced-function alleles is seen in the highest percentage of patients across ethnicities?
 - a. *3
 - b. *5
 - c. *11
 - d. *8
 - e. *2

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CHAPTER

Eleven

Pain Management – *CYP2D6*

Learning Objectives

Upon completion of this chapter, the student will be able to:

1. Recognize various genotypes of cytochrome P450 2D6 (*CYP2D6*) relative to the prodrug codeine and related opioids.
2. Explain the appropriate use of genetic testing in an individual who is to receive codeine or related opioids.
3. Interpret and utilize genetic testing information relative to codeine and related opioids.

Students should understand the potential for gene–drug interactions with regard to drug metabolizing enzymes, recognizing that variation in these enzymes may affect formation of an active compound required for drug efficacy. The student will understand that a genetic test can indicate a patient’s risk for lack of drug efficacy or risk of toxicity. The potential lack of efficacy or potential for adverse events due to toxicity may result in the actionable response of altering the dose of the drug or selecting an alternative therapeutic agent.

Case Questions

Upon completion of this chapter, the student will be able to answer the following questions pertaining to the case of SA:

1. Based on SA's genetic information and clinical observations, would the use of a standard dose of codeine be appropriate?
2. How might the pharmacist explain to SA the need for and use of genetic testing relative to codeine?
3. What other opioid medications would be expected to react similarly in SA given her genetic testing results?

Key Terms	Definitions
activity score	A numerical value associating genotype to a metabolic phenotype (i.e., PM, IM, EM, UM).
bioavailability (F)	The rate and extent of drug absorption; the fraction of the dose reaching systemic circulation unchanged.
CYP; CYP450	The cytochrome P450 oxidative metabolic enzyme superfamily.
extensive metabolizer (EM) – old term; normal metabolizer (NM; see below)	An individual with two “normal-function” alleles relative to a drug metabolizing enzyme.
genotype	The specific set of alleles inherited at a locus on a given gene.
intermediate metabolizer (IM)	In general, an individual with one “loss-of-function” allele and one “normal-function” allele relative to a drug metabolizing enzyme.
pharmacokinetics (PK)	The relationship of time and drug absorption, distribution, metabolism, and excretion.
phenotype	An individual's expression of a physical trait or physiologic function due to genetic makeup and environmental and other factors.
poor metabolizer (PM)	An individual with two “reduced-function” or “loss-of-function” alleles relative to a drug metabolizing enzyme.
prodrug	A drug that requires conversion to an active form.
ultrarapid metabolizer (UM)	An individual with two “gain-of-function” alleles (e.g., overexpression of a metabolic enzyme). In general, having increased metabolizing enzyme activity relative to an extensive/normal metabolizer.

Key Equations	Description
$C_{ave}^{ss} = \frac{F \cdot Dose}{CL \cdot \tau}$	The average steady-state drug concentration being directly related to the bioavailability and the dose and inversely related to the clearance and the dosing interval.
↑, ↓	The number of arrows indicates the relative difference in the magnitude of the change.

Introduction

SA is a 27-year-old white female who gave birth to her first child by cesarean section three days ago. Upon discharge from the hospital, SA was provided with a prescription for acetaminophen 300 mg/codeine phosphate 30 mg, with the directions to take one to two tablets every 4 to 6 hours as needed for pain. Several days following discharge, SA presents to the pediatrician's office noting that her newborn has been showing signs of labored breathing. SA has also noticed that her child is having very few bowel movements. Additionally, SA states that she has been "drowsy" and "itchy." SA contacted her pharmacist, and they discussed the possibility of the codeine component of her medication causing the drowsiness and itching. The pharmacist also discussed the possibility that her body is converting codeine to excessive amounts of the active drug, morphine. Because SA is breastfeeding her newborn, the possibility exists that her newborn is receiving morphine in the breast milk.

Codeine is considered a **prodrug** as it is converted to morphine in the liver by **CYP450 2D6** (CYP2D6; see **Figure 1**). SA agrees to undergo genetic testing to determine if codeine conversion to morphine is the potential cause of her newborn's labored breathing and reduced number of bowel movements. Upon completion of genetic testing, SA is found to be a **CYP2D6 ultrarapid metabolizer (UM)**, expressing multiple copies of the *2 allele, increasing the conversion of codeine to morphine. As the morphine enters into the breast milk, the newborn is exposed to morphine upon feeding, resulting in adverse events related to morphine toxicity. Now that it is known that SA is a CYP2D6 UM, her dose of codeine can be lowered, therefore decreasing her newborn's exposure to morphine. Alternatively, and likely preferably, a different analgesic may be used.

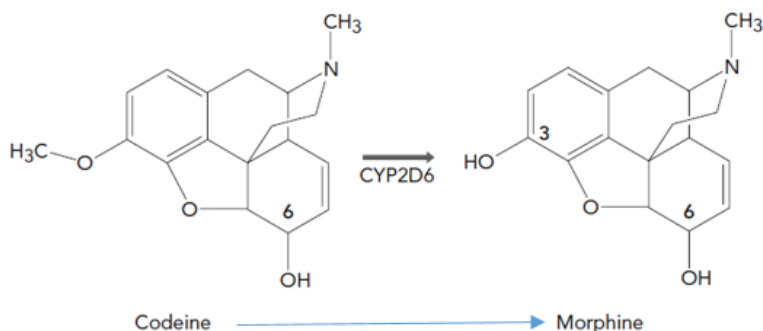


Figure 11-1 The conversion of interest as codeine is converted to morphine via CYP2D6. Codeine also is converted to norcodeine via CYP3A4. Codeine can be glucuronidated at the six position to form codeine-6-glucuronide. Morphine can be glucuronidated at the three and six positions to form morphine-3-glucuronide and morphine-6-glucuronide, respectively.

Due in part to growing concern about similar cases, the U.S. Food and Drug Administration (FDA) updated its Drug Safety Communications with a Safety Announcement in April, 2017 to provide further support to clinicians encountering this situation. Specifically, the prodrugs codeine and tramadol include strengthened warnings to mothers that breastfeeding is not recommended.¹ The Safety Announcement describes that some people metabolize codeine and tramadol to a much greater extent to their active form than usual (UM phenotype). These individuals may experience potentially dangerously high levels of opioids in their bodies. Unfortunately, the pharmacogenetic issues surrounding codeine are not only theoretical, but they are observed frequently enough to cause the FDA to alert health care professionals.¹

There has been substantial focus on the pharmacogenetics of codeine, but other related pain medications may also have pharmacogenetic implications. Tramadol, considered an opioid analgesic, was also mentioned specifically in the FDA Safety Announcement as noted above.¹ Other opioids, specifically hydrocodone and oxycodone, may also exhibit similar

differences in clinical effects given genetic variability in *CYP2D6* function. Codeine will be primarily discussed throughout this chapter due to focus from the FDA and the Clinical Pharmacogenetics Implementation Consortium (CPIC), but tramadol, hydrocodone, oxycodone, and other opioids will also be discussed.

Codeine and Related Opioid Pharmacodynamics

Codeine is an opioid analgesic with analgesic activity that is significantly less than that of morphine. The analgesia produced by codeine can be attributed largely to its conversion to morphine (see Figure 1).^{2,3} Codeine has been shown to be a selective agonist for mu opioid receptors. Codeine has a significantly lower binding affinity for mu receptors, resulting in limited analgesic properties.⁴ This results in analgesic effects roughly 10 times less than those of morphine.² Although the exact mechanism of codeine-mediated analgesia remains unknown at this time, the majority of pain relief is likely due to the formation of morphine following metabolism.^{2,3} The formation of morphine is a result of codeine undergoing O-demethylation via *CYP2D6* (see Figure 1), and although this pathway is responsible for approximately 10% of codeine metabolism, it is likely the most important step in codeine-mediated analgesia.⁵ Several articles have discussed the importance of *CYP2D6* polymorphisms with regard to the efficacy of codeine, with some patients experiencing little or no analgesic benefit from codeine and others experiencing toxicity.^{5,6} Dosing considerations include previous analgesic use, type and severity of pain, and concomitant medications, among other factors.² Beyond these considerations, codeine dosage adjustments should be based on individual patient response and tolerance to therapy.

In a similar fashion to codeine, tramadol is metabolized via several pathways, including via *CYP2D6*, to O-desmethyltramadol, with 200-fold greater affinity for mu opioid receptors than tramadol.⁷ Hydrocodone is a commonly prescribed opioid for analgesia. Hydrocodone is also

metabolized into hydromorphone via CYP2D6; hydromorphone exhibits 10- to 33-fold greater affinity for mu opioid receptors as compared with hydrocodone.⁷ Oxycodone, another commonly prescribed opioid for analgesia, is also converted via CYP2D6 into a more active metabolite, oxymorphone. Oxymorphone offers greater potency and a 40-fold higher affinity for mu opioid receptors as compared to oxycodone.⁷ Therefore, tramadol, hydrocodone, and oxycodone all offer some change in observed analgesic effect based on *CYP2D6* polymorphisms. Where there has been great attention paid to codeine and tramadol, conflicting evidence exists as to whether or not there is a clinically significant interaction between *CYP2D6* polymorphisms and hydrocodone and oxycodone (drug-gene interactions) at this time.⁷

In addition to CYP2D6, over 100 variants of the gene encoding for the mu opioid receptor, *OPRM1*, have been identified.⁸ Opiates not metabolized by CYP2D6 may still have observable changes in effect based on *OPRM1* and/or catechol-O-methyltransferase (COMT). The data for COMT is especially controversial and will not be further considered here. As an example, analgesic variability has been noted for codeine based on *OPRM1* polymorphisms, but variability of analgesia following fentanyl treatment based on *OPRM1* polymorphisms is conflicting in the literature.⁸ Recent literature suggests that *OPRM1* may offer a moderately actionable clinical target specifically for morphine; however, this is not yet supported by practice guidelines.^{7,8}

Codeine and Related Opioid Pharmacokinetics

Following oral administration, absorption of codeine occurs in the gastrointestinal tract, and it appears that administration with food alters neither the rate nor extent of absorption.² Maximal plasma concentrations are observed approximately one hour following administration, and the half-life of codeine and its metabolites is approximately 3 hours.² Codeine has a relatively large volume of distribution of 3–6 L/kg and a low plasma protein binding of approximately 7–25%.² Metabolism of

codeine can occur via multiple pathways.^{7,9-11} The majority of the drug, approximately 70–80%, undergoes glucuronidation to form codeine-6-glucuronide (C6G) via UDP-glucuronosyl-transferase (UGT) 2B7 and 2B4.^{2,10} An additional 10% undergoes N-demethylation via CYP3A4 to form norcodeine, and 5–10% undergoes O-demethylation via CYP2D6 to form morphine.^{7,11} Both morphine and norcodeine can undergo further metabolism by glucuronidation. Of the aforementioned compounds, morphine and one of its glucuronide metabolites, morphine-6-glucuronide (M6G), have analgesic properties; norcodeine and morphine-3-glucuronide (M3G) lack these properties; and the activity of codeine-6-glucuronide (C6G) with regard to pain relief in humans is currently unknown. Finally, approximately 10% of a dose of codeine is excreted unchanged in the urine.²

More than 150 variant alleles of *CYP2D6* have been identified, and the frequencies of each vary significantly across both ethnic and racial groups.^{7,12} Individual alleles can be classified as functional, reduced-function, or no function. Because of the large number of alleles, many combinations of functional, reduced-function, and no function forms are possible, resulting in various metabolic activities.^{7,12-13} The potential variation has been described as **activity scores** based on the metabolizer status. The activity score relates to the CYP2D6 phenotype. Activity scores have been assigned based on metabolism activity (in vivo and in vitro) of variant forms of the CYP2D6 enzyme as compared to the “normal” activity form as determined with probe compounds.¹³ For instance, a *CYP2D6**1/*2xN UM is someone with more than two (xN) functional alleles as a result of gene duplication. This individual would be very efficient at metabolizing CYP2D6 substrate probe compounds (e.g., dextromethorphan) as compared to a “normal” wild-type *1/*1 NM and would have a higher activity score as compared to the normal-functioning form. Based on the in vivo and in vitro activity, allelic variants resulting in no function enzymes are assigned an activity score of 0, allelic variants resulting in reduced-function enzymes are assigned a score of 0.5, and the normal-activity enzyme produced by the wild-type allele is scored with a value of 1.^{7,13} **Table 11-1** presents the

activity scores of CYP2D6 in populations with the highest frequencies of some common variant alleles.

When the alleles from each parent are combined, the resulting phenotypes (diplotypes) include **poor metabolizers (PM)** who carry two nonfunctional alleles (activity score = 0), **intermediate metabolizers (IM)** who carry one reduced-function and one nonfunctional allele (activity score = 0.5), **extensive/normal metabolizers (NM)** who carry either two functional or reduced-function alleles or one functional allele and one reduced-function or nonfunctional allele (activity score = 1–2), and UMs who carry more than two functional alleles as a result of gene duplication (activity score > 2).^{7,12} **Table 11-2** presents examples of genotypes, activity scores and metabolic phenotypes.

With regard to CYP2D6, approximately 5–10% of patients are classified as PMs, 2–11% as IMs, 77–92% as NMs (wild-type; normal), and 1–2% as UMs.^{7,12} Determining a patient's **genotype** and **phenotype** prior to administration of a codeine-containing regimen is beneficial when assessing the risk of therapeutic failure and potential for toxicity. Similar considerations need to be understood for other opiates. Because CYP2D6 is responsible for the conversion of codeine to morphine, which is the likely mechanism of analgesic activity, polymorphisms in the gene producing this enzyme (*CYP2D6*) are of great significance. The effects of specific polymorphic genotypes on the **pharmacokinetics (PK)** of codeine, which, again, is considered a prodrug, can be explained using pharmacokinetic parameter relationships. With codeine as a model drug, in the case of a poor metabolizer (PM) (e.g., *CYP2D6**4/*4),

Table 11-1 Example Variant Alleles and Populations (Ethnicity/Race) with the Highest Frequency of the Allele and Related CYP2D6 Activity Scores

Variant Allele	Populations with Highest Frequency (Frequency (%)) ^a	Activity Score
*2	South/Central Asian (29.9) Caucasian (27.6) Americas (22.1)	1
*3	Caucasian (1.32) Americas (0.603) African American (0.278)	0
*4	Caucasian (18.2) Americas (10.8) South/Central Asian (8.63)	0
*5	African American (6.38) African (6.24) East Asian (5.24)	0
*6	Caucasian (0.987) Middle Eastern (0.576) Americas (0.335)	0
*10	East Asian (42.7) South/Central Asian (17.8) African (6.61)	0.5
*17	African (19.9) African American (18.1) Americas (2.48)	0.5
*41	Middle Eastern (19.9) African (9.71) African American (8.73)	0.5

^aThe three populations having the highest frequencies of the variant allele. *Source:* Adapted from Crews KR, Gaedigk A, Dunnenberger HM, et al. Clinical Pharmacogenetics Implementation Consortium (CPIC) guidelines for cytochrome P450 2D6 genotype and codeine therapy: 2014 update. *Clin Pharmacol Ther.* 2014;95(4):376–382. Supplemental information available at cpicpgx.org/guidelines/guideline-for-codeine-and-cyp2d6

Table 11-2 Example Genotypes, Phenotypes (Metabolizer Status), and Related Activity Scores

Genotype Example	Activity Score	Metabolizer Status (Phenotype)
*1/*2xN	> 2	UM
*1/*2	1–2	EM/NM
*4/*10	0.5	IM
*4/*4	0	PM

Source: Adapted from Crews KR, Gaedigk A, Dunnenberger HM, et al. Clinical Pharmacogenetics Implementation Consortium (CPIC) guidelines for cytochrome P450 2D6 genotype and codeine therapy: 2014 update. *Clin Pharmacol Ther.* 2014;95(4):376–382. Supplemental information available at cpicpgx.org/guidelines/guideline-for-codeine-and-cyp2d6

it is expected that less codeine will be converted to morphine:

$$\uparrow C_{parent} = \frac{F \cdot Dose}{\downarrow CL \cdot \tau}$$

Thus, the concentration of the parent drug, codeine, is increased.

However, this is not the form of the drug responsible for the analgesic activity from which patients derive benefit. In the *CYP2D6**4/*4 individual, conceptually, the **bioavailability (F)** of morphine, i.e., the fraction of the dose of codeine reaching systemic circulation as morphine is reduced, and concentration of morphine is reduced:

$$\downarrow C_{active} = \frac{\downarrow F \cdot Dose}{CL \cdot \tau}$$

Another way to conceptualize this is that the “dose” of morphine from codeine is reduced. As a result of the decreased amounts of active drug being converted from codeine, it is likely that the drug’s efficacy will be decreased as well. Therefore, a PM is expected to derive little, if any, benefit from the use of codeine. In the case of a UM (e.g., *CYP2D6**1/*2xN), the situation is reversed. The patient will likely convert significantly more codeine to morphine:

$$\downarrow C_{parent} = \frac{F \cdot Dose}{\uparrow CL \cdot \tau}$$

Notice here that the concentration of the parent drug, codeine, is reduced, with more being converted to morphine (i.e., the increased clearance is related to codeine conversion to morphine). Therefore, the fraction of the dose of codeine reaching systemic circulation as morphine and concentration of morphine is increased:

$$\uparrow C_{active} = \frac{\uparrow F \cdot Dose}{CL \cdot \tau}$$

In this case, it is possible for patients to experience toxicities related to an overdose-like situation.

Tramadol, hydrocodone, and oxycodone offer similar pharmacokinetic changes and would potentially lead to increased risk for overdose-like effects based on *CYP2D6* polymorphisms resulting in a UM phenotype. However, analgesia and certain, more serious side effects may not be as greatly impacted as would other physiological alterations, such as miosis, following oxycodone administration. Though data are limited, *CYP2D6* polymorphism may not substantially impact analgesia following hydrocodone administration. Morphine, oxymorphone, buprenorphine, fentanyl, methadone, and hydromorphone are not metabolized by *CYP2D6* and would be expected to be safe from complications specific to *CYP2D6* polymorphisms. Similar pharmacokinetic alterations in other opiates may be observed to varying extents for different CYP enzymes, such as *CYP2B6* and methadone.⁸

As is evident from the examples presented, it is important to use genetic testing results in regard to *CYP2D6* to avoid both therapeutic failures in PMs and toxicities in UMs when utilizing codeine.

The Genetic–Kinetic Interface: Codeine

A patient is receiving paroxetine for treatment of depression. The patient has been on the drug for a number of weeks and still complains of some unpleasant side effects, including insomnia and nervousness, which have not diminished over time.

The individual experiences a severe ankle injury during a skateboarding accident and is prescribed acetaminophen 300 mg/codeine phosphate 30 mg, one to two tablets every 4–6 hours as needed for pain, as well as an anti-inflammatory agent. With the first few doses of the combination analgesic (acetaminophen/codeine), the patient does *not* experience any pain relief, and at 24 hours the patient is still in significant pain. Genetic testing indicates that the patient, an African American, has a *CYP2D6**2/*2 genotype, and thus is considered an EM.

The patient may not have been receiving benefit from codeine because of the concomitant use of paroxetine, a potent *CYP2D6* inhibitor.¹⁴ Discontinuation of the paroxetine due to the side effects would remove the *CYP2D6* inhibition, eventually returning the EM status of the patient. Although this may have no acute effect, because paroxetine has a long elimination half-life, if codeine were continued or used later the patient may experience analgesia.¹⁴

Codeine and Related Opioid Dosing

Guidelines for codeine therapy based on a patient's genetic information with regard to *CYP2D6* have been provided by the

Clinical Pharmacogenetics Implementation Consortium (CPIC).⁷ These guidelines additionally discuss tramadol, as well as hydrocodone and oxycodone. From a dosing standpoint, the guidelines state that both UMs and PMs should avoid the use of codeine because these groups are at risk for toxicity or therapeutic failure, respectively. Tramadol and, to a lesser extent, hydrocodone and oxycodone, are listed to not be good alternatives due to similar metabolic considerations.⁷ Decreased efficacy of tramadol is to be expected in PMs as noted in the CPIC guidelines; the FDA Safety Communication also mentions concern of tramadol use in UMs.^{1,7} Because morphine, oxymorphone, buprenorphine, fentanyl, methadone, and hydromorphone, as well as several nonopioid analgesics, are not metabolized substantially (or at all) by CYP2D6, these agents may be appropriate alternatives if indicated for the type and severity of pain necessitating treatment.⁷ It should be noted that currently guidelines related to *OPRM1* or other pharmacodynamic-based pharmacogenes have not published.

In addition to an individual's *CYP2D6* genotype, concomitant medication use should also be taken into consideration with respect to drug interactions. Here, CYP2D6 inhibitors are of primary interest. Consider, for example, an NM (e.g., *CYP2D6**1/*2) who is taking the relatively commonly prescribed drug fluoxetine, a strong CYP2D6 inhibitor, for depression.^{7,12} If the individual is injured and is prescribed acetaminophen and codeine for pain relief, it would be assumed the patient would derive benefit from this pain medication because the genotype would suggest that he or she has the NM phenotype (see Table 11-2). However, when taking into consideration concomitant use of fluoxetine, the individual's phenotype would be that of a PM, and he or she would be unlikely to achieve adequate pain relief from the prescribed analgesic. In this case, an alternative analgesic would be preferred.^{7,12} This example shows that not only are drug-gene interactions possible, but also drug-drug-gene interactions (i.e., phenoconversion).

Chapter Summary

An individual's *CYP2D6* genotype can help in drug selection, here related to the use of codeine or other opiates. Identification of *CYP2D6* UMs will help to identify patients at risk of significant toxicities; identification of PMs will help to identify patients at risk of therapeutic failure and ineffective pain management. In these cases, alternative analgesic medications should be employed to achieve adequate pain control, while minimizing the risk of toxicity. It is important to consider not only drug-gene interactions but also drug-drug-gene interactions as well because these may also affect a patient's response to therapy.

Answers to Case Questions

1. Because SA is an ultrarapid metabolizer, converting codeine to morphine excessively, it is likely the reason for the observed toxicities seen in SA and her newborn. The continued use of a standard dose of codeine would not be appropriate. Although a reduced dose of codeine may be considered, the CPIC guidelines recommend the use of an alternative analgesic.
2. It should be explained to SA that genetic testing can help determine the potential of codeine use being therapeutically successful and not putting her and her infant at increased risk of toxicity. SA needs to be reassured that the genetic testing is looking only at these potentials *related to codeine, not her susceptibility to disease or anything else. The discussion should be centered on analgesic use to achieve optimal pain control, while minimizing the risk of toxicity.*
3. Similar pharmacogenetic concerns related to *CYP2D6* UM status and tramadol also exist, with stronger recommendations for a need to change therapy.^{7,8} Given similarities between codeine and tramadol, extrapolation

of codeine recommendations to tramadol may be warranted.⁸ While hydrocodone and oxycodone may or may not demonstrate substantial variability in analgesia based on *CYP2D6* polymorphisms, their similar metabolic pathways cause them to be not recommended as a therapeutic alternative for codeine.

Review Questions

1. The majority of codeine is converted to morphine within the body.
 - a. True
 - b. False
2. Conversion of codeine to morphine is mediated by _____. More than _____ variant alleles of this enzyme have been discovered to date.
 - a. CYP3A4; 50
 - b. CYP3A4; 80
 - c. *CYP2D6*; 50
 - d. *CYP2D6*; 80
3. A(n) _____ metabolizer may have the genotype $*1/*1xN$, whereas a(n) _____ metabolizer may have the genotype $*4/*5$.
 - a. ultrarapid; intermediate
 - b. ultrarapid; poor
 - c. poor; intermediate
 - d. poor; ultrarapid
4. A patient's phenotype may be affected by which of the following?
 - a. Strong CYP2D6 inhibitors
 - b. Strong CYP2C9 inhibitors
 - c. Strong CYP3A4 inhibitors
 - d. Strong CYP2C19 inhibitors

5. Which of the following statements is true?
- UMs are at a higher risk of toxicity from codeine. An alternative analgesic should be considered.
 - PMs are at a higher risk of therapeutic failure. An alternative analgesic should be considered.
 - Normal codeine doses can be used in both IMs and EMs.
 - Both a and b
 - All of the above

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Section IV

The Breadth of Precision Medicine

Section IV introduces topics that constitute the breadth of precision medicine. From the cost of whole-genome sequencing to the ethics of precision medicine, these subjects represent some important aspects beyond the science. Additionally, a brief discussion of pharmacogenomics resources is presented.

CHAPTER Twelve

The Breadth of Precision Medicine

Learning Objectives

Upon completion of this chapter, the student will be able to:

1. Describe the evolution of DNA sequencing technology relative to cost of sequencing an entire genome (i.e., whole-genome sequencing).
2. Describe the need for education of healthcare providers, health professions students, and the general public with regard to precision medicine.
3. Recognize available pharmacogenomics resources.
4. Recognize other technologies, beyond sequencing, that will impact precision medicine.
5. Describe in broad terms the ethical and legal issues of precision medicine.

The student must understand that many factors will influence the use of pharmacogenomics. From technology at the point of care to legal and ethical issues and education, clinical application of pharmacogenomics will only become widespread once these

factors and others have been considered and acted upon.

Again in this chapter we will use the term *pharmacogenomics* to encompass both pharmacogenetics and pharmacogenomics in our discussion of precision medicine.

Key Terms	Definitions
Genetic Information Nondiscrimination Act (GINA)	Act by Congress that prohibits discrimination of an individual by health insurers and employers based on the individual's genetic information.
Health Insurance Portability and Accountability Act (HIPAA)	Act by Congress that allows individuals to keep their health insurance when they change or lose their job; decreases healthcare fraud and abuse; requires medical information confidentiality; and regulates industry standards related to medical billing and other processes.
Health Information Technology for Economic and Clinical Health Act (HITECH)	Part of the 2009 Recovery and Reinvestment Act mandating the use of electronic health records, primarily by physicians and hospitals.
pharmacogenetics (PGt)	The study of <u>a gene</u> involved in response to a drug.
pharmacogenomics (PGx)	The study of <u>many genes</u> , in some cases the <u>entire genome</u> , involved in response to a drug.
single nucleotide polymorphism (SNP)	A variant DNA sequence in which a single nucleotide has been replaced by another such base.

Introduction

The science of **pharmacogenomics (PGx)**, when interfaced with pharmacokinetics and pharmacodynamics, is poised to revolutionize clinical therapeutics. Whether for specific drugs or general therapeutic decision making, the influence of PGx will be far reaching, impacting therapies and dosages, with the promise of improving therapeutic outcomes and decreasing adverse events.

It has been the lightning-speed improvements in efficiency in genome sequencing that has brought PGx to the clinical realm. With testing soon becoming available at costs similar to other laboratory test, the utility of genome sequencing may be realized.

With genome sequencing becoming more available, other issues come into play, such as education, whereby “the masses” of healthcare professionals and healthcare professions students

will need to be educated on the appropriate interpretation and application of PGx test results.

Additionally, ethical, legal, and social issues will be brought to the forefront as more and more personal genetic data will be generated as whole-genome sequencing becomes standard. Healthcare professionals, insurers, employers, and society at large will have to learn how to handle and utilize this information in the light of expanded government regulation.

All of the above issues impact the use of genetic information and, in fact, will determine the scope of the use of the data, which will, in turn, determine how rapidly PGx becomes a standard of practice rather than a secluded, relatively infrequently used approach.

The Cost of Sequencing a Genome

As is the case with most technologies, the cost of a given technology and related ancillary items decreases with time. This is the case with whole-genome sequencing technologies. In 2001, the cost of whole-genome sequencing using the dideoxy (“Sanger”) method was just under 100 million dollars (\$95,263,072).^{1,2} By January of 2005, the cost had dropped to \$17.5 million, and as new second-generation sequencing technologies emerged the cost for whole-genome sequencing fell to just over \$1.3 million by April of 2008. The rate of decline in the cost of whole-genome sequencing then accelerated, with the estimated cost being under \$8,000 by January of 2012 (see **Figure 12-1**).^{2,3} The cost will not stop at \$8,000 because technology companies are continuing to explore next-generation sequencing methods, such as those that employ nanotechnology,

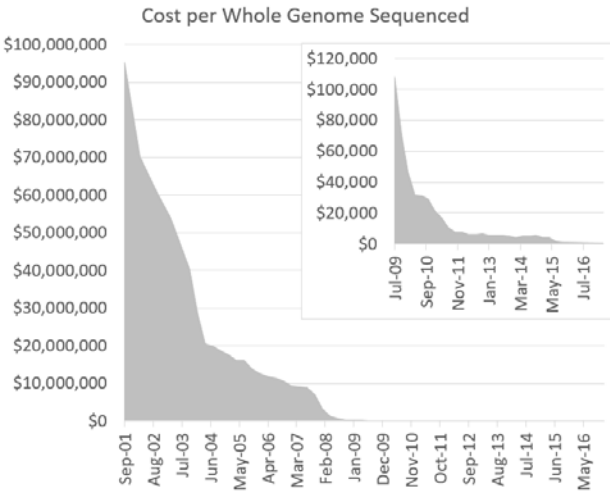


Figure 12-1 The cost of sequencing a complete (whole) genome has continued to decline, first following Moore’s law (i.e., doubling capacity every two years) but then accelerating to a faster-paced reduction with the advent of new technologies starting in about 2008. The inset figure show the decline in cost from July 2009 through July 2016, with the cost dropping to approximately \$1000 (USD). The cost is lower in 2017.

whereby DNA base pairs are read as they pass through nanopores.⁴ These newer approaches increase efficiency, with more bases being read in a shorter amount of time, meaning whole genomes can be read within a day, if not hours. An ultimate goal, to have an accurate, reproducible method for sequencing at a cost of \$1,000 or less was reached in 2017. The actual utility cost will be higher because expertise is needed to interpret and apply the information provided by sequencing.

As the cost of genetic testing, here with regard specifically for drug therapy, continues to decrease, a broader population of clinicians will utilize the information in therapeutic decision making. Certainly as standards of care change the clinician will be obligated to incorporate PGx into practice or potentially face litigation.

Partial-genome testing has been underway for years, with direct-to-consumer companies providing information on **single**

nucleotide polymorphisms (SNPs) of interest. For instance, one company offers “personal genome services” for as little as \$200, providing information on more than 950,000 SNPs. Although this is not complete or whole-genome sequencing, the service does provide an immense amount of data directly to the consumer. This raises a number of issues, including those of data storage and handling; education and interpretation of data; and ethical, legal, and social considerations.

Although genetic testing relative to PGx has great potential, one hurdle that must be overcome for optimal implementation of precision medicine is point-of-care testing. Here, we are not referring to whole-genome sequencing but rather specific testing for use in therapeutic decision making, such as testing an individual to identify his or her genotype relative to specific biomarkers (e.g., *HLA*, *CYP2C19*) related to drug therapy.

Technology is advancing in this realm as companies are working to develop point-of-care instruments for use in PGx-based therapy and dosing. A study utilizing rapid (one-hour) point-of-care genotyping showed its benefit in being able to initiate appropriate antiplatelet therapy in patients who underwent percutaneous coronary intervention for acute coronary syndrome or stable angina.⁵ Patients were randomized to a PGx point-of-care testing group and prasugrel or to standard treatment with clopidogrel. All patients had platelet-activity testing. Based on this testing, all patients in the PGx testing/prasugrel group benefitted from the therapeutic choice, whereas 30% of patients in the standard treatment clopidogrel group did not benefit from treatment.⁵ This was the first study to demonstrate the clinical applicability of point-of-care PGx testing. It is clear that the technology will continue to move to the bedside. Logistics may hinder this tactic of testing. Eventually, though, it is likely that whole-genome sequencing will replace this approach. At some point in the future when whole-genome sequencing is performed at the time of birth, the need for individual point-of-care testing, in the manner described, will cease. However, it will likely take years for this model to be implemented.

Data Storage and Presentation

Whole- or partial-genome sequencing results in the generation of extremely large quantities of data (e.g., terabytes to petabytes). Whereas the technology of sequencing used to be the rate-limiting step in moving genomics and PGx forward, today the bottleneck is related to data handling. For instance, as stated earlier, one direct-to-consumer company provides nearly 1,000,000 pieces of information to the individuals who utilize their services.

With technology poised to allow for the sequencing of millions of genomes in the near future, data storage, presentation, and interpretation will become critical if application of genomics, and PGx specifically, is to be realized on a large-scale basis. Bioinformatics—applying computer technology to gather, house, and analyze biologic data—will drive the utility of the data.^{6,7} The clinical use of the data will only be made possible once data centers, healthcare facilities, and providers utilize electronic health records for communicating information. In the United States, the 2009 **Health Information Technology for Economic and Clinical Health (HITECH) Act** formed the Office of the National Coordinator for Health Information Technology. Through this office, dollars are being made available to design and implement an infrastructure to facilitate the use of electronic health records.⁸ No matter how much data are generated, the realization of precision medicine will not occur if the data cannot be communicated and interpreted appropriately.

Education

As noted above, the technology that has allowed more efficient sequencing of the human genome has resulted in an “information glut.” The information being generated by genome sequencing has placed healthcare providers, health professions students, and the public in general on a steep learning curve.

Certainly, the field of PGx is not a core subject matter

covered across all health professions curricula, although this is changing rapidly. In 2001, the National Coalition for Health Professional Education in Genetics (NCHPEG) published *Core Competencies in Genetics Essential for All Health-Care Professionals*.⁹ Additionally, the 2001–2002 American Association of Colleges of Pharmacy Academic Affairs Committee recommended that PGx be included in colleges/schools of pharmacy curricula in recognition that genetics plays an inherent role in determining the pharmacokinetics and pharmacodynamics of drugs, resulting in a relationship between genetics and drug effects.¹⁰

A survey of colleges/schools of pharmacy relative to PGx education was performed in 2005, and a similar follow-up survey study was conducted in 2011.^{11,12} Clearly, as **Table 12-1** indicates, colleges/schools of pharmacy have moved to integrate PGx into their curricula to a greater extent. Overall, 75% and 98% of colleges/schools of pharmacy included PGx in their curricula in 2005 and 2010, respectively.^{11,12} Colleges/schools of pharmacy continue to increase PGx information in their curricula, and efforts have been made to provide comprehensive evidence-based educational content in PGx to colleges/schools that do not have their own content or want additional content to supplement their own.^{13,14} This comprehensive offering includes a train-the-trainer program for faculty in colleges/schools of pharmacy as well as other healthcare education programs.¹⁴ This component helps bring PGx/genomic expertise to institutions that do not have faculty with this background.¹⁴

Beyond the formal training of healthcare professions students, education of current practitioners is a necessity. A survey of more than 700 pharmacists revealed that more than 90% desired to learn more about **pharmacogenetics** and the utility of pharmacogenetic testing.¹⁵ The case is similar, if not

Table 12-1 Pharmacogenetics and Pharmacogenomics at Colleges/Schools of Pharmacy.

Question	2005 (n = 41) %	2010 (n = 75) %
Is pharmacogenetics/pharmacogenomics taught at your school?	78	92
Where does the subject reside in the PharmD curriculum?		
Standalone required didactic course.	9.8	21.7
Included as part of a required didactic course(s).	46.3	72.5
Elective didactic course.	2.4	34.8
What is the present state of pharmacogenomics instruction at most schools of pharmacy?		
Very Good	2.4	0
Good	9.8	2.7
Adequate	36.6	26.7
Poor	31.7	53.3
Not at all adequate	7.6	8
No response	11.9	9.3

Sources: Latif DA, McKay AB. Pharmacogenetics and pharmacogenomics instruction in colleges of pharmacy in the United States. *Am J Pharm Educ.* 2005;69(2):152–156; and Murphy JE, Green JS, Adams LA, et al. Pharmacogenomics in the curricula of colleges and schools of pharmacy in the United States. *Am J Pharm Educ.* 2010;74(1):1–10.

even more so, when considering other healthcare providers. A survey of over 10,000 physicians indicated that 10.3% felt they were adequately informed to utilize genetic testing, and only 29% had received formal training in PGx.¹⁶ This underscores the current need for practitioners to be educated about the breadth of precision medicine and speaks to the need of continuing education efforts in precision medicine.^{14,17–20} In fact, a review of education and training in PGx noted the “substantial coverage” of the subject in colleges of pharmacy as compared to schools of medicine, stating that “new learning models are needed to incentivize the training of physicians in genomics.”²¹

Beyond healthcare providers and health professions students, the public in general must be educated on the subject of PGx. The National Human Genome Research Institute (NHGRI) provides public education opportunities related to genomics through outreach efforts aimed at high school students, special populations, and the public at large.²² With respect to PGx, public education has been “called for” for over 10 years.^{23,24} Much of the education will take place as healthcare providers utilize precision medicine and explain the approach to their patients. Some

institutions have included public education on PGx in their broader mission of education related to precision medicine.¹⁴ Clearly, once precision medicine is adopted as a standard of care, education will be the job of all healthcare professionals.

Ethics and Discrimination

As the public in general and healthcare providers specifically encounter the growing world of medical applications of genetic information, ethical issues must be understood and addressed. The topics of ethical, legal, and social implications (ELSI) of genetic testing are broad and intricate and can be far reaching.

Patient autonomy is an inherent part of medical decision making. For example, the patient has the right to decide to receive a particular treatment, such as one for cancer, or the decision to undergo organ transplantation, among other independent decisions.²⁵ Oftentimes the decision is shared between the healthcare provider (or team) and the patient.²⁵ With genetic information, such as that provided by direct-to-consumer companies, patients have complete autonomy to share what information they choose. Certainly, there is a concern that individuals may not understand the potential consequences of sharing genetic information in that the information may be related to their sibling(s) and/or children; that is, related DNA between family members puts genetic information into a larger context. Autonomy is a key part of genetic information privacy; however, the general public likely needs to be educated to fully understand the results of whole- or partial-genome testing.

Privacy and confidentiality related to genetic information is not unlike that for other medical information, and it must be considered paramount in the provider–patient relationship. Certainly the **Health Insurance Portability and Accountability Act (HIPAA)** has provided a regulatory framework for privacy that allows appropriate disclosure of health information.²⁶

Issues related to the ethics of genetic testing encompass the

shared nature of DNA information, ownership of DNA data, inappropriate use of genetic testing, and the potential for discrimination, among other important topics.

With regard to discrimination, the **Genetic Information Nondiscrimination Act (GINA)** directly addresses discrimination by health insurers and employers relative to an individual's DNA that may impart risk of disease. The act, signed into federal law in 2008, prevents discrimination by health insurers and employers based on an individual's genetic information. However, discrimination by other entities, such as life insurers, lenders, and others is being addressed. For instance, in 2012 CalGINA, the California Genetic Information Nondiscrimination Act, went into effect.²⁷ This act extended nondiscrimination protection beyond just health insurers and employers.²⁷ It is likely that other individual states will consider broader-reaching genetic information nondiscrimination legislation.

Pharmacogenomics Resources

Numerous PGx resources are available for individuals to utilize that will provide greater depth and breadth in understanding the scientific basis for and application of genetic information/data.

PharmGKB and CPIC

PharmGKB, the "Pharmacogenomics Knowledgebase," is available online at www.pharmgkb.org. PharmGKB is an comprehensive database and resource that organizes, presents, and disseminates information and knowledge concerning genetic variation as it relates to drug response.²⁸ It includes annotations of relationships between genes, drugs, and disease that are supported by vetted literature. The resource provides researchers and clinicians with up-to-date information from reliable sources. The site was the primary dissemination portal for pharmacogenomic-based drug dosing guidelines produced by the Clinical Pharmacogenetics Implementation Consortium

(CPIC).²⁹ The CPIC guidelines are a joint effort between PharmGKB and the Pharmacogenetics Research Network (PGRN) to aid clinicians in the clinical application of PGx. CPIC guidelines are also available directly from the CPIC website www.cpicpgx.org.²⁹ Finally the CPIC guidelines also are published in the journal *Clinical Pharmacology and Therapeutics*. Guidelines have been published for the gene–drug pairs *TPMT*–thiopurines,³⁰ *CYP2C19*–clopidogrel,³¹ *CYP2C9/VKORC1*–warfarin,³² *CYP2D6*–codeine,³³ *HLA-B*–abacavir,³⁴ and *SLCO1B1*–simvastatin.³⁵ Additional guidelines published include *DPYD*–5-fluorouracil/capecitabine, *HLA-B*–carbamazepine, *CYP2C9/HLA-B*–phenytoin, *HLA-B*–allopurinol, *G6PD*–rasburicase–Septra, *IFNL3*–peginterferon, *CYP2D6/CYP2C19*–tricyclic antidepressants, *CYP2D6*–selective serotonin reuptake inhibitors, and others.^{29,36} The PharmGKB project is supported by the National Institutes of Health/National Institute of General Medical Services and is managed by Stanford University.

dbSNP

The Single Nucleotide Polymorphism database (dbSNP) is a public-domain database of a diverse compilation of simple genetic polymorphisms. It is provided by the National Center for Biotechnology Information (NCBI) of the U.S. National Library of Medicine.³⁷ The dbSNP was designed mainly to support research across a wide range of technical areas, such as physical mapping of nucleotide sequences, including genes related to drug response variation; functional analysis of specific regions of genes that relate variation of a region to expression of a protein, such as a drug metabolizing enzyme (part of PGx); association studies of gene variation related to complex genetic traits; and evolutionary studies, where genome diversity is recognized. This database can be used to search for specific SNPs by utilizing dbSNP record identifiers, including reference SNP (refSNP) numbers. These numbers are unique and consistent identifiers of a given SNP.³⁸

Other Resources

Although not described in detail here, some other potentially useful resources can be found at the Pharmacogenomics Resources website (<http://epi.grants.cancer.gov/pharm/gen-resources.html>) of the National Cancer Institute (NCI).³⁹ This site is a portal to collaborative opportunities, consortia, networks, databases, knowledge-synthesis resources, presentations and reports, and toolkits.³⁹ Additionally, the Genetics/Genomics Competency Center G2C2 (www.g-2-c-2.org) houses genomic information and is a portal to vetted resource information for nurses, physician assistants, genetic counselors, and, pharmacists.⁴⁰ A comprehensive paper on pharmacists competencies in pharmacogenomics put the professions' responsibilities in context of precision medicine as can be achieved through the pharmacists' patient care process.^{41,42}

Chapter Summary

Advances in technology have made DNA sequencing a reality, and it will move precision medicine to the forefront of patient care. However, most individuals will be on a steep learning curve, which will slow the implementation of precision medicine. Individuals will work to become expert at interpretation of data, while communication of the information will require a framework of privacy and nondiscrimination. To facilitate the understanding of PGx information, a number of resources have been developed, including important drug-dosing guidelines that support clinical decision making.

Review Questions

1. As technology advances relative to genome sequencing, the goal is to have a sequencing method that is:
 - a. \$10,000.
 - b. \$10.
 - c. \$1,000 or less.
 - d. \$5,000.

2. _____ is the discipline of applying computer technology to gather, house, and analyze biological data.
 - a. Pharmacogenetics
 - b. Bioinformatics
 - c. Pharmacogenomics
 - d. Pharmacokinetics
 - e. Bio-analysis

3. Which of the following is the federal law that is concerned with the privacy and portability of healthcare information?
 - a. HITECH
 - b. PGx
 - c. FDA
 - d. GINA
 - e. HIPAA

4. A 2012 survey of pharmacists identified that greater than _____ of those surveyed desired to learn more about pharmacogenetics and the utility of pharmacogenetic testing.
 - a. 90%
 - b. 70%
 - c. 50%
 - d. 20%
 - e. 10%

5. The Genetic Information Nondiscrimination Act (GINA; 2008) prevents _____ from discriminating based on an individual's genetic information.
 - a. lenders and borrowers
 - b. lawyers and law enforcement
 - c. life insurers and actuaries
 - d. health insurers and employers
6. CPIC guidelines are available through which of the following?
 - a. dbSNP
 - b. C-Path
 - c. PharmGKB
 - d. RS#
 - e. RefSNP
7. In general, resources for pharmacogenomics information include or will include which of the following?
 - a. dbSNP
 - b. PharmGKB/CPIC
 - c. G2C2
 - d. a and c only
 - e. a, b, and c

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Appendix A Biomarkers

In the context of this text, a biomarker is defined as a protein generated by genes that is related to a drug enzyme (e.g., CYP2D6), a drug transporter (e.g., P-glycoprotein), or a drug target (e.g., β_2 -adrenergic receptors) as well as other cellular components that can be analyzed and predictive of pharmacokinetics and pharmacodynamics related to drug response.

The Food and Drug Administration (FDA) provides a “Table of Valid Biomarkers” that presents approved drugs with pharmacogenomic information in specified sections of the package labeling. **Tables A-1** and **A-2** are two adaptations of the table, with information provided through August 16, 2012. The first adaptation, Table A-1, presents the information listed by biomarker and distinguishes the biomarker as related to pharmacokinetics (PK) and/or pharmacodynamics (PD). The second adaptation, Table A-2, presents the information listed by therapeutic area. The FDA table is regularly updated, and the student is encouraged to visit the FDA website for the most up-to-date information. The table can be found at:

www.fda.gov/drugs/scienceresearch/researchareas/pharmacogenetics/ucm083378.htm

Table A-1 Drugs Listed by Biomarker Related to Pharmacokinetics (PK) and/or Pharmacodynamics (PD).

PK/PD	Biomarker	Drug	Therapeutic Area	Package Label Section(s)
PD	ALK ^a	Crizotinib	Oncology	Indications and Usage, Warnings and Precautions, Adverse Reactions, Clinical Pharmacology, Clinical Studies
PD	ApoE2 ^b	Pravastatin	Metabolic and Endocrinology	Clinical Studies, Use in Specific Populations
PD	BRAF ^c	Vemurafenib	Oncology	Indications and Usage, Warnings and Precautions, Clinical Pharmacology, Clinical Studies, Patient Counseling Information
PD	CCR5 ^d	Maraviroc	Antivirals	Indications and Usage, Warnings and Precautions, Clinical Pharmacology, Clinical Studies, Patient Counseling Information
PD	CD20 ^e antigen	Tositumomab	Oncology	Indications and Usage, Clinical Pharmacology
PD	CD25 ^f	Denileukin diftitox	Oncology	Indications and Usage, Warnings and Precautions, Clinical Studies
PD	CD30 ^g	Brentuximab vedotin	Oncology	Indications and Usage, Description, Clinical Pharmacology
PD	CFTR ^h (G551D)	Ivacaftor	Pulmonary	Indications and Usage, Adverse Reactions, Use in Specific Populations, Clinical Pharmacology, Clinical Studies
PD	Chromosome 5q ⁱ	Lenalidomide	Hematology	Boxed Warning, Indications and Usage, Clinical Studies, Patient Counseling
PD	C-Kit ^j	Imatinib	Oncology	Indications and Usage, Dosage and Administration Clinical Pharmacology, Clinical Studies
PK	CYP1A2 ^k	Dexlansoprazole	Gastroenterology	Clinical Pharmacology
PK	CYP2C19 ^l	Carisoprodol	Musculoskeletal	Clinical Pharmacology, Special Populations
PK	CYP2C19 ^l	Citalopram	Psychiatry	Drug Interactions, Warnings
PK	CYP2C19 ^l	Clobazam	Neurology	Clinical Pharmacology, Dosage and Administration, Use in Specific Populations
PK	CYP2C19 ^l	Clopidogrel	Cardiovascular	Boxed Warning, Dosage and Administration, Warnings and Precautions, Drug Interactions, Clinical Pharmacology

Table A-1 Drugs Listed by Biomarker Related to Pharmacokinetics (PK) and/or Pharmacodynamics (PD). (continued)

PK/PD	Biomarker	Drug	Therapeutic Area	Package Label Section(s)
PK	CYP2C19 ^l	Dexlansoprazole	Gastroenterology	Clinical Pharmacology, Drug Interactions
PK	CYP2C19 ^l	Diazepam	Psychiatry	Drug Interactions, Clinical Pharmacology
PK	CYP2C19 ^l	Drospirenone and ethinyl estradiol	Reproductive	Precautions, Drug Interactions
PK	CYP2C19 ^l	Esomeprazole	Gastroenterology	Drug Interactions, Clinical Pharmacology
PK	CYP2C19 ^l	Omeprazole	Gastroenterology	Dosage and Administration, Warnings and Precautions, Drug Interactions
PK	CYP2C19 ^l	Pantoprazole	Gastroenterology	Clinical Pharmacology, Drug Interactions, Special Populations
PK	CYP2C19 ^l	Prasugrel	Cardiovascular	Use in Specific Populations, Clinical Pharmacology, Clinical Studies
PK	CYP2C19 ^l	Rabeprazole	Gastroenterology	Drug Interactions, Clinical Pharmacology
PK	CYP2C19 ^l	Ticagrelor	Cardiovascular	Clinical Studies
PK	CYP2C19 ^l	Voriconazole	Antifungals	Clinical Pharmacology, Drug Interactions
PK	CYP2C9 ^m	Celecoxib	Analgesics	Dosage and Administration, Drug Interactions, Use in Specific Populations, Clinical Pharmacology
PK	CYP2C9 ^m	Flurbiprofen	Rheumatology	Clinical Pharmacology, Special Populations
PK	CYP2C9 ^m	Warfarin	Hematology	Dosage and Administration, Precautions, Clinical Pharmacology
PK	CYP2D6 ⁿ	Aripiprazole	Psychiatry	Clinical Pharmacology, Dosage and Administration
PK	CYP2D6 ⁿ	Atomoxetine	Psychiatry	Dosage and Administration, Warnings and Precautions, Drug Interactions, Clinical Pharmacology
PK	CYP2D6 ⁿ	Carvedilol	Cardiovascular	Drug Interactions, Clinical Pharmacology
PK	CYP2D6 ⁿ	Cevimeline	Dermatology and Dental	Drug Interactions
PK	CYP2D6 ⁿ	Chlordiazepoxide and amitriptyline	Psychiatry	Precautions
PK	CYP2D6 ⁿ	Citalopram	Psychiatry	Drug Interactions
PK	CYP2D6 ⁿ	Clomipramine	Psychiatry	Drug Interactions
PK	CYP2D6 ⁿ	Clozapine	Psychiatry	Drug Interactions, Clinical Pharmacology

Table A-1 Drugs Listed by Biomarker Related to Pharmacokinetics (PK) and/or Pharmacodynamics (PD). (continued)

PK/PD	Biomarker	Drug	Therapeutic Area	Package Label Section(s)
PK	CYP2D6 ^a	Codeine	Analgesics	Warnings and Precautions, Use in Specific Populations, Clinical Pharmacology
PK	CYP2D6 ^a	Desipramine	Psychiatry	Drug Interactions
PK	CYP2D6 ^a	Dextromethorphan and quinidine	Neurology	Clinical Pharmacology, Warnings and Precautions
PK	CYP2D6 ^a	Doxepin	Psychiatry	Precautions
PK	CYP2D6 ^a	Fluoxetine	Psychiatry	Warnings, Precautions, Clinical Pharmacology
PK	CYP2D6 ^a	Fluoxetine and olanzapine	Psychiatry	Drug Interactions, Clinical Pharmacology
PK	CYP2D6 ^a	Fluvoxamine	Psychiatry	Drug Interactions
PK	CYP2D6 ^a	Galantamine	Neurology	Special Populations
PK	CYP2D6 ^a	Iloperidone	Psychiatry	Clinical Pharmacology, Dosage and Administration, Drug Interactions, Specific Populations, Warnings and Precautions
PK	CYP2D6 ^a	Imipramine	Psychiatry	Drug Interactions
PK	CYP2D6 ^a	Metoprolol	Cardiovascular	Precautions, Clinical Pharmacology
PK	CYP2D6 ^a	Modafinil	Psychiatry	Drug Interactions
PK	CYP2D6 ^a	Nefazodone	Psychiatry	Drug Interactions
PK	CYP2D6 ^a	Nortriptyline	Psychiatry	Drug Interactions
PK	CYP2D6 ^a	Paroxetine	Psychiatry	Clinical Pharmacology, Drug Interactions
PK	CYP2D6 ^a	Perphenazine	Psychiatry	Clinical Pharmacology, Drug Interactions
PK	CYP2D6 ^a	Pimozide	Psychiatry	Warnings, Precautions, Contraindications, Dosage and Administration
PK	CYP2D6 ^a	Propafenone	Cardiovascular	Clinical Pharmacology
PK	CYP2D6 ^a	Propranolol	Cardiovascular	Precautions, Drug Interactions, Clinical Pharmacology
PK	CYP2D6 ^a	Protriptyline	Psychiatry	Precautions
PK	CYP2D6 ^a	Quinidine	Antiarrhythmics	Precautions
PK	CYP2D6 ^a	Risperidone	Psychiatry	Drug Interactions, Clinical Pharmacology
PK	CYP2D6 ^a	Terbinafine	Antifungals	Drug Interactions

Table A-1 Drugs Listed by Biomarker Related to Pharmacokinetics (PK) and/or Pharmacodynamics (PD). (continued)

PK/PD	Biomarker	Drug	Therapeutic Area	Package Label Section(s)
PK	CYP2D6 ^a	Tetrabenazine	Neurology	Dosage and Administration, Warnings, Clinical Pharmacology
PK	CYP2D6 ^a	Thioridazine	Psychiatry	Precautions, Warnings, Contraindications
PK	CYP2D6 ^a	Tolterodine	Reproductive and Urologic	Clinical Pharmacology, Drug Interactions, Warnings and Precautions
PK	CYP2D6 ^a	Tramadol and acetaminophen	Analgesics	Clinical Pharmacology
PK	CYP2D6 ^a	Trimipramine	Psychiatry	Drug Interactions
PK	CYP2D6 ^a	Venlafaxine	Psychiatry	Drug Interactions
PK	DPD ^o	Capecitabine	Oncology	Contraindications, Precautions, Patient Information
PK	DPD ^o	Fluorouracil	Dermatology and Dental	Contraindications, Warnings
PD	EGFR ^p	Cetuximab	Oncology	Indications and Usage, Warnings and Precautions, Description, Clinical Pharmacology, Clinical Studies
PD	EGFR ^p	Erlotinib	Oncology	Clinical Pharmacology
PD	EGFR ^p	Gefitinib	Oncology	Clinical Pharmacology
PD	EGFR ^p	Panitumumab	Oncology	Indications and Usage, Warnings and Precautions, Clinical Pharmacology, Clinical Studies
PD	ER &/ PgR ^r receptor ^q	Exemestane	Oncology	Indications and Usage, Dosage and Administration, Clinical Studies, Clinical Pharmacology
PD	ER ^q &/ PgR ^r receptor	Letrozole	Oncology	Indications and Usage, Adverse Reactions, Clinical Studies, Clinical Pharmacology
PD	ER ^q receptor	Fulvestrant	Oncology	Indications and Usage, Patient Counseling Information
PD	ER ^q receptor	Tamoxifen	Oncology	Indications and Usage, Precautions, Medication Guide
PD	FIP1L1-PDGFR α ^s	Imatinib	Oncology	Indications and Usage, Dosage and Administration, Clinical Studies
PD	G6PD ^t	Chloroquine	Anti-infectives	Precautions

Table A-1 Drugs Listed by Biomarker Related to Pharmacokinetics (PK) and/or Pharmacodynamics (PD). (continued)

PK/PD	Biomarker	Drug	Therapeutic Area	Package Label Section(s)
PD	G6PD ⁱ	Dapsone	Dermatology and Dental	Indications and Usage, Precautions, Adverse Reactions, Patient Counseling Information
PD	G6PD ⁱ	Rasburicase	Oncology	Boxed Warning, Contraindications
PD	Her2/ <i>neu</i> ^u	Everolimus	Oncology	Indications and Usage, Boxed Warning, Adverse Reactions, Use in Specific Populations, Clinical Pharmacology, Clinical Studies
PD	Her2/ <i>neu</i> ^u	Lapatinib	Oncology	Indications and Usage, Clinical Pharmacology, Patient Counseling Information
PD	Her2/ <i>neu</i> ^u	Pertuzumab	Oncology	Indications and Usage, Warnings and Precautions, Adverse Reactions, Clinical Studies, Clinical Pharmacology
PD	Her2/ <i>neu</i> ^u	Trastuzumab	Oncology	Indications and Usage, Precautions, Clinical Pharmacology
PD	HLA-B*1502 ^v	Carbamazepine	Neurology	Boxed Warning, Warnings and Precautions
PD	HLA-B*1502 ^v	Phenytoin	Neurology	Warnings
PD	HLA-B*5701 ^w	Abacavir	Antivirals	Boxed Warning, Contraindications, Warnings and Precautions, Patient Counseling Information
PD	IL28B ^x	Boceprevir	Antivirals	Clinical Pharmacology
PD	IL28B ^x	Peginterferon alfa-2b	Antivirals	Clinical Pharmacology
PD	IL28B ^x	Telaprevir	Antivirals	Clinical Pharmacology
PD	KRAS ^y	Cetuximab	Oncology	Indications and Usage, Dosage and Administration, Warnings and Precautions, Adverse Reactions, Clinical Pharmacology, Clinical Studies
PD	KRAS ^y	Panitumumab	Oncology	Indications and Usage, Clinical Pharmacology, Clinical Studies
PD	LDLR ^z	Atorvastatin	Metabolic and Endocrinology	Indications and Usage, Dosage and Administration, Warnings and Precautions, Clinical Pharmacology, Clinical Studies
PK	NAT1; NAT2 ^{aa}	Isosorbide and hydralazine	Cardiovascular	Clinical Pharmacology

Table A-1 Drugs Listed by Biomarker Related to Pharmacokinetics (PK) and/or Pharmacodynamics (PD). (continued)

PK/PD	Biomarker	Drug	Therapeutic Area	Package Label Section(s)
PK	NAT1; NAT2 ^{ad}	Rifampin, isoniazid, and pyrazinamide	Anti-infectives	Adverse Reactions, Clinical Pharmacology
PD	PDGFR ^{bb}	Imatinib	Oncology	Indications and Usage, Dosage and Administration, Clinical Studies
PD	Ph chromosome ^{cc}	Busulfan	Oncology	Clinical Studies
PD	PML/RAR α ^{dd}	Arsenic trioxide	Oncology	Boxed Warning, Clinical Pharmacology, Indications and Usage, Warnings
PD	PML/RAR α ^{dd}	Tretinoin	Dermatology and Dental	Boxed Warning, Dosage and Administration, Precautions
PD	Rh genotype ^{ee}	Clomiphene	Reproductive and Urologic	Precautions
PK	TPMT ^{ff}	Azathioprine	Rheumatology	Dosage and Administration, Warnings and Precautions, Drug Interactions, Adverse Reactions, Clinical Pharmacology
PK	TPMT ^{ff}	Cisplatin	Oncology	Clinical Pharmacology, Warnings, Precautions
PK	TPMT ^{ff}	Mercaptopurine	Oncology	Dosage and Administration, Contraindications, Precautions, Adverse Reactions, Clinical Pharmacology
PK	TPMT ^{ff}	Thioguanine	Oncology	Dosage and Administration, Precautions, Warnings
PD	UCD (NAGS; CPS; ASS; OTC; ASL; ARG) ^{gg}	Sodium phenylacetate and sodium benzoate	Gastroenterology	Indications and Usage, Description, Clinical Pharmacology
PD	UCD (NAGS; CPS; ASS; OTC; ASL; ARG) ^{gg}	Sodium phenylbutyrate	Gastroenterology	Indications and Usage, Dosage and Administration, Nutritional Management
PD	UCD (NAGS; CPS; ASS; OTC; ASL; ARG) ^{gg}	Valproic acid	Psychiatry	Contraindications, Precautions, Adverse Reactions
PK	UGT1A1 ^{hh}	Indacaterol	Pulmonary	Clinical Pharmacology
PK	UGT1A1 ^{hh}	Irinotecan	Oncology	Dosage and Administration, Warnings, Clinical Pharmacology
PK	UGT1A1 ^{hh}	Nilotinib	Oncology	Warnings and Precautions, Clinical Pharmacology
PD	VKORC1 ⁱⁱ	Warfarin	Hematology	Dosage and Administration, Precautions, Clinical Pharmacology

^a Anaplastic lymphoma kinase; ^b apolipoprotein (allele) E2; ^c v-raf murine sarcoma viral oncogene homolog B1; ^d chemokine receptor 5; ^e B-cell surface protein; ^f IL-2 receptor alpha chain; ^g lymphocyte activation antigen; ^h cystic fibrosis transmembrane conductance regulator gene; ⁱ chromosome 5 (relative to deletion syndrome); ^j a receptor tyrosine kinase protein; ^k cytochrome P-450 enzyme family 1 subfamily A individual member 2; ^l cytochrome P-450 enzyme family 2 subfamily C individual member 19; ^m cytochrome P-450 enzyme family 2 subfamily C individual member 9; ⁿ cytochrome P-450 enzyme family 2 subfamily D individual member 6; ^o dihydropyrimidine dehydrogenase; ^p epidermal growth factor receptor; ^q estrogen receptor; ^r progesterone receptor; ^s cleavage and polyadenylation specificity factor and platelet-derived growth factor receptor alpha; ^t glucose-6-phosphate dehydrogenase; ^u human epidermal growth factor receptor 2; ^v human leukocyte antigen (major histocompatibility complex, class I, B) of a specific allele family (15); ^w human leukocyte antigen (major histocompatibility complex, class I, B) of a specific allele family (57); ^x interleukin 28 B; ^y kirsten RNA associated rat sarcoma 2 virus gene; ^z low density lipoprotein receptor; ^{aa} n-acetyltransferase; ^{bb} platelet derived growth factor receptor; ^{cc} Philadelphia chromosome; ^{dd} promyelocytic leukemia/retinoic acid receptor; ^{ee} rhesus factor; ^{ff} thiopurine methyltransferase; ^{gg} urea cycle disorder; ^{hh} uridine diphosphate glucuronosyltransferase 1A1; ⁱⁱ vitamin K epoxide reductase complex subunit 1.

Table A-2 Drugs Listed by Therapeutic Area.

Therapeutic Area	Drug	Biomarker	Package Label Section(s)
Analgesics	Celecoxib	CYP2C9	Dosage and Administration, Drug Interactions, Use in Specific Populations, Clinical Pharmacology
Analgesics	Codeine	CYP2D6	Warnings and Precautions, Use in Specific Populations, Clinical Pharmacology
Analgesics	Tramadol and acetaminophen	CYP2D6	Clinical Pharmacology
Antiarrhythmics	Quinidine	CYP2D6	Precautions
Antifungals	Voriconazole	CYP2C19 ^l	Clinical Pharmacology, Drug Interactions
Antifungals	Terbinafine	CYP2D6	Drug Interactions
Anti-infectives	Chloroquine	G6PD	Precautions
Anti-infectives	Rifampin, isoniazid, and pyrazinamide	NAT1; NAT2	Adverse Reactions, Clinical Pharmacology
Antivirals	Maraviroc	CCR5 ^d	Indications and Usage, Warnings and Precautions, Clinical Pharmacology, Clinical Studies, Patient Counseling Information
Antivirals	Abacavir	HLA-B*5701	Boxed Warning, Contraindications, Warnings and Precautions, Patient Counseling Information
Antivirals	Boceprevir	IL28B	Clinical Pharmacology
Antivirals	Peginterferon alfa-2b	IL28B	Clinical Pharmacology
Antivirals	Telaprevir	IL28B	Clinical Pharmacology
Cardiovascular	Clopidogrel	CYP2C19 ^l	Boxed Warning, Dosage and Administration, Warnings and Precautions, Drug Interactions, Clinical Pharmacology
Cardiovascular	Prasugrel	CYP2C19 ^l	Use in Specific Populations, Clinical Pharmacology, Clinical Studies
Cardiovascular	Ticagrelor	CYP2C19 ^l	Clinical Studies
Cardiovascular	Carvedilol	CYP2D6	Drug Interactions, Clinical Pharmacology
Cardiovascular	Metoprolol	CYP2D6	Precautions, Clinical Pharmacology
Cardiovascular	Propafenone	CYP2D6	Clinical Pharmacology

Table A-2 Drugs Listed by Therapeutic Area. (continued)

Therapeutic Area	Drug	Biomarker	Package Label Section(s)
Cardiovascular	Propranolol	CYP2D6	Precautions, Drug Interactions, Clinical Pharmacology
Cardiovascular	Isosorbide and hydralazine	NAT1; NAT2	Clinical Pharmacology
Dermatology and Dental	Cevimeline	CYP2D6	Drug Interactions
Dermatology and Dental	Fluorouracil	DPD	Contraindications, Warnings
Dermatology and Dental	Dapsone	G6PD	Indications and Usage, Precautions, Adverse Reactions, Patient Counseling Information
Dermatology and Dental	Tretinoin	PML/RAR α	Boxed Warning, Dosage and Administration, Precautions
Gastroenterology	Dexlansoprazole	CYP1A2 ^k	Clinical Pharmacology
Gastroenterology	Dexlansoprazole	CYP2C19 ^l	Clinical Pharmacology, Drug Interactions
Gastroenterology	Esomeprazole	CYP2C19 ^l	Drug Interactions, Clinical Pharmacology
Gastroenterology	Omeprazole	CYP2C19 ^l	Dosage and Administration, Warnings and Precautions, Drug Interactions
Gastroenterology	Pantoprazole	CYP2C19 ^l	Clinical Pharmacology, Drug Interactions, Special Populations
Gastroenterology	Rabeprazole	CYP2C19 ^l	Drug Interactions, Clinical Pharmacology
Gastroenterology	Sodium phenylacetate and sodium benzoate	UCD (NAGS; CPS; ASS; OTC; ASL; ARG)	Indications and Usage, Description, Clinical Pharmacology
Gastroenterology	Sodium phenylbutyrate	UCD (NAGS; CPS; ASS; OTC; ASL; ARG)	Indications and Usage, Dosage and Administration, Nutritional Management
Hematology	Lenalidomide	Chromosome 5q ^l	Boxed Warning, Indications and Usage, Clinical Studies, Patient Counseling
Hematology	Warfarin	CYP2C9	Dosage and Administration, Precautions, Clinical Pharmacology
Hematology	Warfarin	VKORC1	Dosage and Administration, Precautions, Clinical Pharmacology
Metabolic and Endocrinology	Pravastatin	ApoE2 ^b	Clinical Studies, Use in Specific Populations

Table A-2 Drugs Listed by Therapeutic Area. (continued)

Therapeutic Area	Drug	Biomarker	Package Label Section(s)
Metabolic and Endocrinology	Atorvastatin	LDL receptor	Indications and Usage, Dosage and Administration, Warnings and Precautions, Clinical Pharmacology, Clinical Studies
Musculoskeletal	Carisoprodol	CYP2C19 ^d	Clinical Pharmacology, Special Populations
Neurology	Clobazam	CYP2C19 ^d	Clinical Pharmacology, Dosage and Administration, Use in Specific Populations
Neurology	Dextromethorphan and quinidine	CYP2D6	Clinical Pharmacology, Warnings and Precautions
Neurology	Galantamine	CYP2D6	Special Populations
Neurology	Tetrabenazine	CYP2D6	Dosage and Administration, Warnings, Clinical Pharmacology
Neurology	Carbamazepine	HLA-B*1502	Boxed Warning, Warnings and Precautions
Neurology	Phenytoin	HLA-B*1502	Warnings
Oncology	Crizotinib	ALK ^a	Indications and Usage, Warnings and Precautions, Adverse Reactions, Clinical Pharmacology, Clinical Studies
Oncology	Vemurafenib	BRAF ^c	Indications and Usage, Warnings and Precautions, Clinical Pharmacology, Clinical Studies, Patient Counseling Information
Oncology	Tositumomab	CD20 ^e antigen	Indications and Usage, Clinical Pharmacology
Oncology	Denileukin diftitox	CD25 ^f	Indications and Usage, Warnings and Precautions, Clinical Studies
Oncology	Brentuximab vedotin	CD30 ^g	Indications and Usage, Description, Clinical Pharmacology
Oncology	Imatinib	C-Kit ^h	Indications and Usage, Dosage and Administration, Clinical Pharmacology, Clinical Studies
Oncology	Capecitabine	DPD	Contraindications, Precautions, Patient Information
Oncology	Cetuximab	EGFR	Indications and Usage, Warnings and Precautions, Description, Clinical Pharmacology, Clinical Studies

Table A-2 Drugs Listed by Therapeutic Area. (continued)

Therapeutic Area	Drug	Biomarker	Package Label Section(s)
Oncology	Erlotinib	EGFR	Clinical Pharmacology
Oncology	Gefitinib	EGFR	Clinical Pharmacology
Oncology	Panitumumab	EGFR	Indications and Usage, Warnings and Precautions, Clinical Pharmacology
Oncology	Exemestane	ER &/ PgR receptor	Indications and Usage, Dosage and Administration, Clinical Studies, Clinical Pharmacology
Oncology	Letrozole	ER &/ PgR receptor	Indications and Usage, Adverse Reactions, Clinical Studies, Clinical Pharmacology
Oncology	Fulvestrant	ER receptor	Indications and Usage, Patient Counseling Information
Oncology	Tamoxifen	ER receptor	Indications and Usage, Precautions, Medication Guide
Oncology	Imatinib	FIP1L1-PDGFR α	Indications and Usage, Dosage and Administration, Clinical Studies
Oncology	Rasburicase	G6PD	Boxed Warning, Contraindications
Oncology	Everolimus	Her2/ <i>neu</i>	Indications and Usage, Boxed Warning, Adverse Reactions, Use in Specific Populations, Clinical Pharmacology, Clinical Studies
Oncology	Lapatinib	Her2/ <i>neu</i>	Indications and Usage, Clinical Pharmacology, Patient Counseling Information
Oncology	Pertuzumab	Her2/ <i>neu</i>	Indications and Usage, Warnings and Precautions, Adverse Reactions, Clinical Studies, Clinical Pharmacology
Oncology	Trastuzumab	Her2/ <i>neu</i>	Indications and Usage, Precautions, Clinical Pharmacology
Oncology	Cetuximab	KRAS	Indications and Usage, Dosage and Administration, Warnings and Precautions, Adverse Reactions, Clinical Pharmacology, Clinical Studies

Table A-2 Drugs Listed by Therapeutic Area. (continued)

Therapeutic Area	Drug	Biomarker	Package Label Section(s)
Oncology	Panitumumab	KRAS	Indications and Usage, Clinical Pharmacology, Clinical Studies
Oncology	Imatinib	PDGFR	Indications and Usage, Dosage and Administration, Clinical Studies
Oncology	Busulfan	Ph chromosome	Clinical Studies
Oncology	Dasatinib	Ph chromosome	Indications and Usage, Clinical Studies, Patient Counseling Information
Oncology	Imatinib	Ph chromosome	Indications and Usage, Dosage and Administration, Clinical Pharmacology, Clinical Studies
Oncology	Nilotinib	Ph chromosome	Indications and Usage, Patient Counseling Information
Oncology	Arsenic trioxide	PML/RAR α	Boxed Warning, Clinical Pharmacology, Indications and Usage, Warnings
Oncology	Cisplatin	TPMT	Clinical Pharmacology, Warnings, Precautions
Oncology	Mercaptopurine	TPMT	Dosage and Administration, Contraindications, Precautions, Adverse Reactions, Clinical Pharmacology
Oncology	Thioguanine	TPMT	Dosage and Administration, Precautions, Warnings
Oncology	Irinotecan	UGT1A1	Dosage and Administration, Warnings, Clinical Pharmacology
Oncology	Nilotinib	UGT1A1	Warnings and Precautions, Clinical Pharmacology
Psychiatry	Citalopram	CYP2C19 ^d	Drug Interactions, Warnings
Psychiatry	Diazepam	CYP2C19	Drug Interactions, Clinical Pharmacology
Psychiatry	Aripiprazole	CYP2D6	Clinical Pharmacology, Dosage and Administration
Psychiatry	Atomoxetine	CYP2D6	Dosage and Administration, Warnings and Precautions, Drug Interactions, Clinical Pharmacology

Table A-2 Drugs Listed by Therapeutic Area. (continued)

Therapeutic Area	Drug	Biomarker	Package Label Section(s)
Psychiatry	Chlordiazepoxide and amitriptyline	CYP2D6	Precautions
Psychiatry	Citalopram	CYP2D6	Drug Interactions
Psychiatry	Clomipramine	CYP2D6	Drug Interactions
Psychiatry	Clozapine	CYP2D6	Drug Interactions, Clinical Pharmacology
Psychiatry	Desipramine	CYP2D6	Drug Interactions
Psychiatry	Doxepin	CYP2D6	Precautions
Psychiatry	Fluoxetine	CYP2D6	Warnings, Precautions, Clinical Pharmacology
Psychiatry	Iloperidone	CYP2D6	Clinical Pharmacology, Dosage and Administration, Drug Interactions, Specific Populations, Warnings and Precautions
Psychiatry	Imipramine	CYP2D6	Drug Interactions
Psychiatry	Modafinil	CYP2D6	Drug Interactions
Psychiatry	Nefazodone	CYP2D6	Drug Interactions
Psychiatry	Nortriptyline	CYP2D6	Drug Interactions
Psychiatry	Paroxetine	CYP2D6	Clinical Pharmacology, Drug Interactions
Psychiatry	Perphenazine	CYP2D6	Clinical Pharmacology, Drug Interactions
Psychiatry	Pimozide	CYP2D6	Warnings, Precautions, Contraindications, Dosage and Administration
Psychiatry	Protriptyline	CYP2D6	Precautions
Psychiatry	Risperidone	CYP2D6	Drug Interactions, Clinical Pharmacology
Psychiatry	Thioridazine	CYP2D6	Precautions, Warnings, Contraindications
Psychiatry	Trimipramine	CYP2D6	Drug Interactions
Psychiatry	Venlafaxine	CYP2D6	Drug Interactions
Psychiatry	Valproic Acid	UCD (NAGS; CPS; ASS; OTC; ASL; ARG)	Contraindications, Precautions, Adverse Reactions
Pulmonary	Ivacaftor	CFTR ^h (G551D)	Indications and Usage, Adverse Reactions, Use in Specific Populations, Clinical Pharmacology, Clinical Studies
Pulmonary	Indacaterol	UGT1A1	Clinical Pharmacology
Reproductive	Drospirenone and ethinyl estradiol	CYP2C19 ^l	Precautions, Drug Interactions
Reproductive and Urologic	Tolterodine	CYP2D6	Clinical Pharmacology, Drug Interactions, Warnings and Precautions

Table A-2 Drugs Listed by Therapeutic Area. (continued)

Therapeutic Area	Drug	Biomarker	Package Label Section(s)
Reproductive and Urologic	Clomiphene	Rh genotype	Precautions
Rheumatology	Flurbiprofen	CYP2C9	Clinical Pharmacology, Special Populations
Rheumatology	Azathioprine	TPMT	Dosage and Administration, Warnings and Precautions, Drug Interactions, Adverse Reactions, Clinical Pharmacology

^a Anaplastic lymphoma kinase; ^b apolipoprotein (allele) E2; ^c v-raf murine sarcoma viral oncogene homolog B1; ^d chemokine receptor 5; ^e B-cell surface protein; ^f IL-2 receptor alpha chain; ^g a lymphocyte activation antigen; ^h cystic fibrosis transmembrane conductance regulator gene; ⁱ chromosome 5 (relative to deletion syndrome); ^j a receptor tyrosine kinase protein; ^k cytochrome P-450 enzyme family 1 subfamily A individual member 2; ^l cytochrome P-450 enzyme family 2 subfamily C individual member 19; ^m cytochrome P-450 enzyme family 2 subfamily C individual member 9; ⁿ cytochrome P-450 enzyme family 2 subfamily D individual member 6; ^o dihydropyrimidine dehydrogenase; ^p epidermal growth factor receptor; ^q estrogen receptor; ^r progesterone receptor; ^s cleavage and polyadenylation specificity factor and platelet-derived growth factor receptor alpha; ^t glucose-6-phosphate dehydrogenase; ^u human epidermal growth factor receptor 2; ^v human leukocyte antigen (major histocompatibility complex, class I, B) of a specific allele family (15); ^w human leukocyte antigen (major histocompatibility complex, class I, B) of a specific allele family (57); ^x interleukin 28 B; ^y kirsten RNA associated rat sarcoma 2 virus gene; ^z low density lipoprotein receptor; ^{aa} n-acetyltransferase; ^{bb} platelet derived growth factor receptor; ^{cc} Philadelphia chromosome; ^{dd} promyelocytic leukemia/retinoic acid receptor; ^{ee} rhesus factor; ^{ff} thiopurine methyltransferase; ^{gg} urea cycle disorder; ^{hh} uridine diphosphate glucuronosyltransferase 1A1; ⁱⁱ vitamin K epoxide reductase complex subunit 1.

Appendix B

Pharmacogenomics and the Most Commonly Prescribed Drugs

Pharmacogenomic information is found in the package labels of some of the most commonly prescribed medications. The data presented herein considers the list of the top 200 drugs by number of prescriptions as presented by *Pharmacy Times* in 2011. While the data is a bit “dated” the information makes the point that pharmacogenomics plays a key role, among other variables related to commonly prescribed medications. In 2011, 17 of the top 200 medications included pharmacogenomic information in their package labeling, including the 5th and 7th most commonly prescribed drugs (see **Table B-1**). In 2011, in total, more than 362 million prescriptions were filled for these 17 drugs. This number is certain to increase dramatically as precision medicine progresses. Consider that the Precision Medicine Coalition reports that the number of “precision medicines” has grown from 5 in 2008 to 132 in 2016.

Table B-1 Therapeutic Areas with Examples of Drugs for Which There Is Pharmacogenomic Information in the Package Label

Therapeutic Area: Drug Example (Top 200 Rank 2011) ^{a,b}	Biomarker	Package Label Section(s)
Metabolic and endocrinology—atorvastatin (5)	LDLR ^c	Indications and Usage, Dosage and Administration, Warnings and Precautions, Clinical Pharmacology, Clinical Studies
Cardiovascular—clopidogrel (7)	CYP2C19 ^d	Boxed Warning, Dosage and Administration, Warnings and Precautions, Drug Interactions, Clinical Pharmacology
Gastroenterology—omeprazole (21)	CYP2C19 ^d	Dosage and Administration, Warnings and Precautions, Drug Interactions
Analgesics—tramadol (25)	CYP2D6 ^e	Clinical Pharmacology
Hematology—warfarin (35)	CYP2C9/VKORC1 ^g	Dosage and Administration, Precautions, Clinical Pharmacology
Cardiovascular—pravastatin (38)	ApoE2 ^h	Clinical Studies, Use in Specific Populations
Cardiovascular—metoprolol (40)	CYP2D6 ^e	Precautions, Clinical Pharmacology
Psychiatry—fluoxetine (65)	CYP2D6 ^e	Warnings, Precautions, Clinical Pharmacology
Psychiatry—citalopram (67)	CYP2C19 ^d /CYP2D6 ^e	Drug Interactions
Psychiatry—venlafaxine (75)	CYP2D6 ^e	Drug Interactions
Cardiovascular—carvedilol (86)	CYP2D6 ^e	Drug Interactions, Clinical Pharmacology
Psychiatry—diazepam (105)	CYP2C19 ^d	Drug Interactions, Clinical Pharmacology
Psychiatry—amitriptyline (120)	CYP2D6 ^e	Precautions
Psychiatry—paroxetine (143)	CYP2D6 ^e	Clinical Pharmacology, Drug Interactions
Musculoskeletal—carisoprodol (148)	CYP2C19 ^d	Clinical Pharmacology, Special Populations
Psychiatry—risperidone (157)	CYP2D6 ^e	Drug Interactions, Clinical Pharmacology
Gastroenterology—pantoprazole (193)	CYP2C19 ^d	Clinical Pharmacology, Drug Interactions, Special Populations

^a Highest ranking; ^b all manufacturers and dosage forms; ^c low density lipoprotein receptor; ^d cytochrome P-450 enzyme family 2 subfamily C individual member 19; ^e cytochrome P-450 enzyme family 2 subfamily D individual member 6; ^f cytochrome P-450 enzyme family 2 subfamily C individual member 9; ^g vitamin K epoxide reductase complex subunit 1; ^h apolipoprotein (allele) E2.

Sources: *Pharmacy Times*. 2011 Top 200 drugs. Available at: <http://www.pharmacytimes.com/publications/issue/2012/july2012/top-200-drugs-of-2011>. Accessed August 9, 2017. The Precision Medicine Coalition. The precision Medicine Report. Available at: http://www.precisionmedicinecoalition.org/Userfiles/PMC-Corporate/file/The_PM_Report.pdf. Accessed August 4, 2017.

Appendix C

Precision Medicine and Me

The case presented here describes how an individual examined his risk of disease and drug response information using data provided by a direct-to-consumer genetic testing company. “Precision Medicine and Me” provides an example of how genomic information could be provided for all individuals in the future. Currently, in the clinical setting panels of genetic tests (e.g., *CYP2C19*, *CYP2C9*, *VKORC1*, others) are ordered to support specific decision making relative to a patient’s drug therapy or other clinical question. It is likely that this approach will be replaced by whole-genome sequencing, likely at the time of birth. In the future, the genetic-testing results will be stored securely and will be available for query throughout an individual’s life.

This example provides real data obtained from a saliva sample provided by the given individual. Although not based on whole-genome sequencing, the genetic testing provided more than 950,000 SNPs that were available for query, depending on the individual’s particular needs. Here, the individual makes the assumption that the genetic testing was performed using a validated analytical procedure.

The Process

The first step is ordering a testing kit. Certainly, this is not how the process will work at the time of birth, when testing (in the future) will likely be performed; however, this is the process the individual completed. The kit was ordered online from the genetic testing company. The company offered choices for different “levels” of service (e.g., monthly updates related to new genetic discoveries). The product was identified, online registration was completed, and payment was made by credit card. In approximately one week, the testing kit arrived via

standard U.S. Postal Service delivery. The kit contained specific instructions, which the individual read completely before obtaining the sample. Following the directions, the saliva sample was provided. It took about 5 minutes and involved spitting into a tube to obtain the required volume. The plastic tube containing the saliva was then capped. The tube’s cap had a compartment that contained a DNA stabilization buffer, and upon securing the cap the buffer automatically mixed with the saliva. The tube was then inverted a number of times to ensure thorough mixing of the saliva and buffer. At this point the “shipping cap” was placed on the tube, replacing the cap that contained the buffer. The tube was placed in the provided zip-lock “biohazard-bag” and placed into a preaddressed return container. An email was sent at the time the results were ready for viewing. After agreeing to online consent questions, the results were made available via the online portal.

Disease Risk Data

The results included the following information relative to the individual’s genetic-based increased risk for disease (see **Table C-1**). We present only the information with adequate scientific and clinical research support.

Table C-1 Diseases for Which the Individual Has an Increased Risk, Based on Genetic Testing

Disease	Individual’s Risk ^a (%)	Population Average Risk (%)	Ratio: Individual/Population
Prostate cancer	26.7	17.8	1.50
Venous thromboembolism	17.9	12.3	1.45
Rheumatoid arthritis	2.9	2.4	1.20
Ulcerative colitis	1.0	0.8	1.25

^a Relative risk.

Table C-2 Single Nucleotide Polymorphisms (SNPs) Related to Prostate Cancer

SNP	Genotype	Comment
rs1447295	CC	Average risk
rs6983267	GT	1.2 × the average risk
rs1859962	GT	Average risk
rs4430796	AA	1.38 × the average risk
rs16901979	CC	Average risk

To understand how this information may be used, the results from the genetic testing of the individual’s saliva provided the information shown in **Table C-2** relative to prostate cancer risk. The first four SNPs listed in Table C-2 were provided in a summary section of results. The four SNPs, plus a fifth SNP, rs16901979, were individually related to risk of prostate cancer and collectively, with a family history, had a cumulative association with prostate cancer.¹ The fifth SNP, rs16901979, was not provided in the summary results; however, the genetic-testing company provided the “raw SNP data,” which included 960,613 SNPs. The SNP database was queried (search term rs16901979”), and the search returned the following information:

RefSNP ID: rs16901979 hromosome: 8 enotype: CC

The CC genotype, in the context of Table C-2, provides information on rs16901979.

This individual has two SNPs with genotypes that impart an increased risk of prostate cancer; however, this is a relative risk, and it does not mean that the individual will definitely develop this cancer. This is the individual’s attempt at understanding the data, and the interpretation may not be accurate. It is presented here as an example. Most importantly, the individual has undergone appropriate screening with a physical examination and lab work, showing a prostate specific antigen value of 1.18 ng/mL, with less than 4 ng/mL being considered normal. The individual will continue to follow standard screening with the guidance of his physician.

Drug Response Data

The summary data provided the following information regarding two drugs for which the response was different from “normal.”

Table C-3 shows the results that are related to the term “response.”

The prodrug clopidogrel is metabolized to its active form via CYP2C19. Additionally, relative to altered metabolism and activation of clopidogrel, the *CYP2C19**2 allele is the most common loss-of-function variant. The polymorphism is noted as c.681G>A, with the reference SNP number rs4244285. Searching the individual’s data, the following is noted:

RefSNP ID: rs4244285 hromosome: 10 enotype: AG

Table C-3 Drug Response Data from the Direct-to-Consumer Genetic Testing Company.

Name	Status
Clopidogrel (Plavix) Efficacy	Reduced
Warfarin (Coumadin) Sensitivity	Increased

common loss-of-function variant. The polymorphism is noted as c.681G>A, with the reference SNP number rs4244285. Searching the individual’s data, the following is noted:

RefSNP ID: rs4244285 hromosome: 10 enotype: AG

Therefore, this individual is heterozygous with a *CYP2C19**1/*2 genotype and would be considered an intermediate metabolizer. If the individual requires antiplatelet therapy, it is recommended that he receive prasugrel or another antiplatelet drug instead of clopidogrel.²

With regard to warfarin, pharmacokinetic and pharmacodynamic variability is introduced by genetic variation. Here, CYP2C9 is related to warfarin metabolism, whereas the genomic biomarker vitamin K epoxide reductase complex subunit 1 (VKORC1) is the target enzyme for the drug.

The most common reduced-function *CYP2C9* variants are the *2 and *3 forms, seen in white, Asian, and black individuals at frequencies of 0.13, 0, 0.03 and 0.07, 0.04, and 0.02, respectively.³ The *CYP2C9**2 variant has the reference SNP number rs1799853, and the *CYP2C9**3 variant is rs1057910. Individuals with the *2 or *3 variants have decreased metabolism of warfarin and require reduced doses of the drug. Searching the individual's data, the following is noted:

RefSNP ID: rs1799853 hromosome 10 osition: 96702047
Genotype: CC

RefSNP ID: rs1057910 hromosome 10 osition: 96741053
Genotype: AA

The cytosine at position 96702047 and the adenine at position 96741053 on each of the two number 10 chromosomes impart extensive/normal metabolism of warfarin (i.e., these are *not* variant alleles), and the individual is a *CYP2C9**1/*1 (wild-type) individual.

The warfarin target, VKORC1, can be present in a variant form, with rs9923231 (–1639G>A, also known as 1173C>T) imparting warfarin sensitivity due to decreased VKORC1 expression. Essentially, in this case less warfarin is needed to inhibit VKORC1.

Again, searching the individual's genetic data, the following information is retrieved:

RefSNP ID: rs9923231 hromosome 16 osition: 31107689
Genotype: TT

Therefore, this individual would require a lower maintenance dose of warfarin, not because of decreased metabolism of the drug, but rather due to increased “sensitivity” as VKORC1 expression is decreased.

This case of an individual being able to query his SNP data is an example of what may occur in the future with whole-genome sequencing data. Once the data are obtained, the storage and retrieval of the needed information will be of utmost

importance; that is, keeping the data secure, but accessible in appropriate situations, such as determining the correct drug or dose of a drug when there is a relationship between genetics and drug response.

Note that this example refers to data from a direct-to-consumer (DTC) company. The U.S. General Accounting Office (GAO) has determined that different DTC companies provide different, if not contrary, disease risk results and caution that interpretation of the data could be difficult and that results from some companies may be misleading. Additionally, deceptive advertising practices have been noted for a number of companies.⁴

The individual in the above case provided samples to the company on two different occasions, and the results were identical. The individual believed the raw data to be accurate. With respect to the genetic data related to the drugs, the individual confirmed the *CYP2C19**2 variant using a research laboratory approach. The key to the future use of genetic data will be the availability of *valid* genomic data provided by reputable laboratories. Consumers should be cautioned when utilizing DTC genetic testing.

References

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Glossary

absorption rate constant (k_a ; time⁻¹) The rate constant representing the first-order absorption of drug from an extravascular site (e.g., gastrointestinal tract).

affinity The strength of the reversible interaction between a drug and a drug target (receptor).

agonist An endogenous or exogenous ligand that activates a drug target to induce a response.

allele Alternate sequences or versions of the same gene inherited from each parent.

antagonist An endogenous or exogenous ligand that attenuates another endogenous or exogenous ligand from activating a drug target to induce a response.

area under the curve (AUC; amt/vol · time) A measure of drug exposure as the integrated area under the plasma drug concentration versus time curve from time zero to infinity.

bioavailability (F) The rate and extent of drug absorption; the fraction of the dose reaching systemic circulation unchanged.

biomarker (genomic) A measurable DNA and/or RNA characteristic that is an indicator of normal biologic processes, pathogenic processes, and/or response to therapeutic or other interventions.

C_{ss,ave} (amt/vol) The average steady-state drug concentration.

clearance (CL; vol/time) The volume of biologic fluid from which drug is removed per unit time.

codon Three adjacent nucleotide bases that ultimately encodes a specific amino acid.

CYP; CYP450 The cytochrome P450 oxidative metabolic enzyme superfamily.

dissociation constant (KD) The ratio of free drug (D) and receptor (R) concentration to drug-receptor [DR] concentration. Used to determine the affinity of an agonist.

drug resistance The inability of a drug to produce a pharmacodynamic response at a standard dose.

drug target Endogenous binding sites for drugs. Drug targets can include receptors, enzymes, and membrane transporters.

EC50 The half-maximal (50%) effective concentration of a drug producing a specific response.

efficacy The effect (E) elicited by a drug (D) and the concentration of drug-receptor complex [DR].

efflux transporter A protein that moves drug out of cells/tissues.

elimination rate constant (ke; time-1) The rate constant representing the first-order elimination of drug from a one-compartment model.

exon A nucleotide sequence that codes information for protein synthesis.

extensive metabolizer (EM) An individual with two “normal-function” alleles relative to a drug metabolizing enzyme. Replaced by “normal Metabolizer”. Old term. Normal metabolizer preferred.

gene Regions of the genome (DNA) that contain the instructions to make proteins.

Genetic Information Nondiscrimination Act (GINA) Act by Congress that prohibits discrimination of an individual by health insurers and employers based on the individual’s genetic information.

genome The entire DNA of an organism.

genotype The specific set of alleles inherited at a locus on a given gene.

haplotype A series of polymorphisms that are inherited together.

Health Insurance Portability and Accountability Act (HIPAA) Act by Congress that allows individuals to keep their health insurance when they change or lose their job; decreases healthcare fraud and abuse; requires medical information confidentiality; and regulates industry standards related to medical billing and other processes.

Health Information Technology for Economic and Clinical Health Act (HITECH) Part of the 2009 Recovery and Reinvestment Act mandating the use of electronic health records, primarily by physicians and hospitals.

heterozygous Possessing two different alleles for the same trait.

histone A protein around which DNA coils to form chromatin, thus “packaging” the DNA.

homozygous Possessing identical alleles for the same trait.

IC₅₀ The antagonist concentration eliciting a 50% reduction in response (inhibition).

indel Insertion or deletion of DNA either as single nucleotides or spanning regions of DNA involving many nucleotides.

influx (uptake) transporter A protein that moves drug into cells/tissues.

intermediate metabolizer (IM) In general, an individual with one “loss-of-function” allele and one “normal-function” allele relative to a drug metabolizing enzyme.

intermediate metabolizer (IM)

intron A nucleotide sequence in DNA that does not code information for protein synthesis and is removed before translation of messenger RNA.

K_i Affinity of an antagonist drug for a receptor.

ligand Endogenous or exogenous agent that binds to a drug target.

loading dose (DL; amt) The initial dose of a drug; administered with the intent of producing a near steady-state average concentration.

maximum concentration (C_{max}; amt/vol) Highest concentration of drug in biologic fluid following drug administration during a dosing interval.

minimum concentration (C_{min}; amt/vol) Lowest concentration of drug in biologic fluid following drug administration during a dosing interval. Typically occurring immediately before a subsequent dose.

monogenic trait Characteristics derived from a single gene.

multigenic trait Characteristics derived from multiple genes.

mutation A change in DNA sequence between individuals.

normal metabolizer (NM) An individual with two “normal-function” alleles relative to a drug metabolizing enzyme. Formerly “extensive metabolizer”

nucleotide One of the structural components, or building blocks, of DNA, including adenine (A), cytosine (C), guanine (G), and thymine (T), and of RNA, including adenine (A), cytosine (C), guanine (G), and (U) uracil.

precision medicine The use of patient-specific information and biomarkers to make more informed choices regarding the optimal therapeutic treatment regimen for a given patient.

pharmacodynamics (PD) The relationship between drug exposure and pharmacologic response.

pharmacogenetics (PGt) The study of a gene involved in response to a drug.

pharmacogenomics (PGx) The study of many genes, in some cases the entire genome, involved in response to a drug.

pharmacokinetics (PK) The relationship of time and drug absorption, distribution, metabolism, and excretion.

precision medicine An emerging approach for disease treatment and prevention that takes into account individual variability in genes, environment, and lifestyle for each person.

phase 1 metabolism Drug metabolizing processes involving oxidation, reduction, or hydrolysis.

phase 2 metabolism Conjugative drug metabolizing processes.

phenotype An individual’s expression of a physical trait or physiologic function due to genetic makeup and environmental and other factors.

polymorphism A mutation in DNA in a given population that may be observed at greater than 1% frequency.

poor metabolizer (PM) An individual with two “reduced-function” or “loss-of-function/no function” alleles relative to a drug metabolizing enzyme.

potency The dependence of the pharmacologic effect(s) of the drug on the drug concentration.

prodrug A drug that requires conversion to an active form.

rapid metabolizer (RM) An individual with typically one normal and one increased function allele. Increased enzyme activity when compared to normal metabolizers but less than ultrarapid metabolizers.

reference sequence number (refSNP; rs#) A unique and consistent identifier of a given single nucleotide polymorphism (SNP).

serotonin reuptake transporter (SERT) A transport protein that regulates the amounts of serotonin in the synaptic cleft.

single nucleotide polymorphism (SNP) A variant DNA sequence in which a single nucleotide has been replaced by another base.

tau (τ ; time) The dosing interval.

Tmax (time) The time of occurrence of the maximum concentration of drug.

topoisomerase A class of enzymes that alter the supercoiling of double-stranded DNA.

ultrarapid metabolizer (UM) An individual with two “gain-of-function” alleles (e.g., overexpression of a metabolic enzyme). In general, having increased metabolizing enzyme activity relative to a normal metabolizer.

volume of distribution (V, Vd, V1, Vss; vol) A proportionality constant relating the amount of drug in the body with the drug concentration.

wild-type The typical or normally occurring genotype of an organism.

xenobiotics Substances (often drugs) introduced into the body but not produced by it.

Index

Note: As this book is provided in electronic format, the text, for indexing purposes can be searched using your software search function.

END